

M.O., 2023-06**Order number D-9.2-2023-06 of the Minister of Finance, May 17, 2023**

Act respecting the distribution of financial products and services
(chapter D-9.2)

CONCERNING Regulation to amend the Regulation respecting the issuance and renewal of representatives' certificates

WHEREAS paragraph 9 of section 200 of the Act respecting the distribution of financial products and services (chapter D-9.2) provides that the *Autorité des marchés financiers* may, for each discipline, determine by regulation the information and documents that a representative or prospective representative must furnish;

WHEREAS paragraph 6 of section 203 of such Act provides that the *Autorité des marchés financiers* may, for each sector, make regulations to determine the manner in which and time within which the Authority must be informed by a representative of any change affecting the information entered in the register in respect of that representative;

WHEREAS the first and the second paragraphs of section 194 of such Act provide, in particular, that the *Autorité des marchés financiers* shall publish its draft regulations in the information bulletin and every draft regulation must be published with a notice stating the time that must elapse before the draft regulation may be made or be submitted for approval, and stating the fact that any interested person may, during that time, submit comments to the person designated in the notice;

WHEREAS the first and the third paragraphs of section 217 of such Act provide, in particular, that a regulation made by the *Autorité des marchés financiers* under this Act must be submitted to the Minister of Finance for approval with or without amendment, that such regulation may not be submitted for approval before 30 days have elapsed since its publication as a draft and that such regulation comes into force on the date of its publication in the *Gazette officielle du Québec* or on any later date specified in this regulation;

WHEREAS the draft Regulation to amend the Regulation respecting the issuance and renewal of representatives' certificates was published in the *Bulletin de l'Autorité des marchés financiers*, volume 19, no. 48 of December 8, 2022;

WHEREAS the *Autorité des marchés financiers* made, on April 27, 2023, by the decision no. 2023-PDG-0020, Regulation to amend the Regulation respecting the issuance and renewal of representatives' certificates;

WHEREAS there is cause to approve this regulation without amendment;

CONSEQUENTLY, the Minister of Finance approves without amendment the Regulation to amend the Regulation respecting the issuance and renewal of representatives' certificates appended hereto.

May 17, 2023

ERIC GIRARD
Minister of Finance

Regulation to amend the Regulation respecting the issuance and renewal of representatives' certificates

Act respecting the distribution of financial products and services
(chapter D-9.2, s. 200, par. (9) and s. 203, par. (6))

1. Section 37 of the Regulation respecting the issuance and renewal of representatives' certificates (chapter D-9.2, r. 7) is amended by inserting "or, in the case of a change to information pertaining to the pursuit of an outside activity, within the meaning of the second paragraph of section 5.1 of the Regulation respecting the pursuit of activities as a representative (chapter D-9.2, r. 10), within 30 days of such change" in the first paragraph after "of such change".

2. Section 62 of the Regulation is amended by inserting "or, in the case of a change to information pertaining to the pursuit of an outside activity, within the meaning of the second paragraph of section 5.1 of the Regulation respecting the pursuit of activities as a representative (chapter D-9.2, r. 10), within 30 days of such change" at the end.

3. This Regulation comes into force on 2 December 2023.

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