

8. Section 9 of the Regulation is amended, in the French text:

(1) by replacing, in paragraph (1), the words “n’octroie” with the words “n’accorde”;

(2) by replacing, subparagraph (b) of paragraph (2), the words “des parties à l’opération, les 2 parties” with the words “des deux parties à l’opération, celles-ci”.

9. The Regulation is amended by inserting, after section 9, the following:

“9.1. Support Use of DEA Client Identifiers

A marketplace must not permit a marketplace participant to provide direct electronic access to a person unless the marketplace’s systems support the use of DEA client identifiers.”.

10. This Regulation comes into force on March 1, 2014.

2927

M.D., 2013-19

Order number V-1.1-2013-19 of the Minister of Finance and the Economy, August 23, 2013

Securities Act
(chapter V-1.1)

CONCERNING Regulation to amend Regulation 11-102 respecting Passport System

WHEREAS subparagraphs 11, 32 and 33.8 of section 331.1 of the Securities Act (chapter V-1.1) provide that the *Autorité des marchés financiers* may make regulations concerning the matters referred to in those paragraphs;

WHEREAS the third and fourth paragraphs of section 331.2 of the said Act provide that a draft regulation shall be published in the *Bulletin de l’Autorité des marchés financiers*, accompanied with the notice required under section 10 of the Regulations Act (chapter R-18.1) and may not be submitted for approval or be made before 30 days have elapsed since its publication;

WHEREAS the first and fifth paragraphs of the said section provide that every regulation made under section 331.1 must be approved, with or without amendment, by the Minister of Finance and comes into force on the date of its publication in the *Gazette officielle du Québec* or on any later date specified in the regulation;

WHEREAS the sixth paragraph of the said section stipulates that a draft regulation under Chapter II of Title X and paragraphs 33.1 to 33.9 of section 331.1 may be

submitted for approval only if accompanied by a favourable notice from the Minister responsible for Canadian Intergovernmental Affairs;

WHEREAS the Regulation 11-102 respecting Passport System was approved by ministerial order no. 2008-04 dated March 4, 2008;

WHEREAS there is cause to amend that regulation;

WHEREAS the draft Regulation to amend Regulation 11-102 respecting Passport System was published in the *Bulletin de l’Autorité des marchés financiers*, volume 9, no. 43 of October 25, 2012;

WHEREAS the *Autorité des marchés financiers* made, on July 30, 2013, by the decision no. 2013-PDG-0138, Regulation to amend Regulation 11-102 respecting Passport System;

WHEREAS there is cause to approve this regulation without amendment;

CONSEQUENTLY, the Minister of Finance and the Economy approves without amendment Regulation to amend Regulation 11-102 respecting Passport System appended hereto.

August 23, 2013

NICOLAS MARCEAU,
Minister of Finance and the Economy

Regulation to amend Regulation 11-102 respecting passport system

Securities Act
(chapter V-1.1, s. 331.1, par. (11), (32) and (33.8))

1. Appendix D of Regulation 11-102 respecting Passport System is amended by replacing the row that refers to Regulation 23-103 with the following:

“

Electronic trading and direct electronic access to marketplaces	Regulation 23-103 (only sections 3(1), 3(2), 3(3)(a) to 3(3)(d), 3(4) to 3(7), 4, 4.2, 4.3, 4.4(a)(ii), 4.4(a)(iii), 4.4(a)(v) to 4.4(a)(vii), 4.4(b), 4.5, 4.7 and 5(3))
---	--

”.

2. Appendix E of the Regulation is amended by replacing “- Regulation 23-103 respecting Electronic Trading (c. V-1.1, r. X)”, with the following row:

“—Regulation 23-103 respecting Electronic Trading and Direct Electronic Access to Marketplaces (c. V-1.1, r. X)”.

3. This Regulation comes into force on March 1, 2014.

2928

M.D., 2013-20

Order number I-14.01-2013-20 of the Minister of Finance and the Economy, August 23, 2013

Derivatives Act
(chapter I-14.01).

CONCERNING Regulation to amend the Derivatives Regulation

WHEREAS subparagraphs 1, 9, 11, 12, 14 and 29 of par. 1 of section 175 of the Derivatives Act (chapter I-14.01) stipulates that the *Autorité des marchés financiers* may make regulations concerning the matters referred to in those paragraphs;

WHEREAS the fourth and fifth paragraphs of section 175 of the said Act stipulate that a draft regulation shall be published in the *Bulletin de l'Autorité des marchés financiers*, accompanied with the notice required under section 10 of the Regulations Act (chapter R-18.1) and may not be submitted for approval or be made before 30 days have elapsed since its publication;

WHEREAS the second and sixth paragraphs of the said section stipulate that every regulation made under section 175 must be submitted to the Minister of Finance for approval with or without amendment and comes into force on the date of its publication in the *Gazette officielle du Québec* or any later date specified in the regulation;

WHEREAS the Derivatives Regulation has been approved by Ministerial Order no. 2009-01 dated January 15, 2009;

WHEREAS there is cause to amend this regulation;

WHEREAS the draft Regulation to amend the Derivatives Regulation was published in the *Bulletin de l'Autorité des marchés financiers*, volume 9, no. 43 of October 25, 2012;

WHEREAS the *Autorité des marchés financiers* made, on July 30, 2013, by the decision no. 2013-PDG-0139, Regulation to amend the Derivatives Regulation;

WHEREAS there is cause to approve this regulation without amendment;

CONSEQUENTLY, the Minister of Finance and the Economy approves without amendment Regulation to amend the Derivatives Regulation appended hereto.

August 23, 2013

NICOLAS MARCEAU,
Minister of Finance and the Economy

Regulation to amend the Derivatives Regulation

Derivatives Act
(R.S.Q., c. I-14.01, s. 175, par. 1, subpars. (1), (9), (11), (12), (14) and (29))

1. Section 11.22.1 of the Derivatives Regulation (R.R.Q., c. I-14.01, r. 1) is amended by adding the words “and Direct Electronic Access to Marketplaces” after the words “Electronic Trading”.

2. This Regulation comes into force on 1 March 2014.

2929