

Regulations and other acts

Gouvernement du Québec

O.C. 989-2006, 1 November 2006

An Act respecting the Government and Public Employees Retirement Plan
(R.S.Q., c. R-10)

Commission administrative des régimes de retraite et d'assurances — Signing of certain deeds, documents or writings

Regulation respecting the signing of certain deeds, documents or writings of the Commission administrative des régimes de retraite et d'assurances

WHEREAS, under the first paragraph of section 144 of the Act respecting the Government and Public Employees Retirement Plan (R.S.Q., c. R-10), no deed, document or writing binds the Commission unless it is signed by the chair, the vice-chairs or by an officer and, in the case of an officer, only to the extent determined by regulation of the Government published in the *Gazette officielle du Québec*;

WHEREAS, by Order in Council 981-96 dated 14 August 1996, the Government made the Regulation respecting the signing of certain deeds, documents or writings of the Commission administrative des régimes de retraite et d'assurances;

WHEREAS it is expedient to replace the Regulation;

IT IS ORDERED, therefore, on the recommendation of the Minister responsible for Government Administration and Chair of the Conseil du trésor:

THAT the Regulation respecting the signing of certain deeds, documents or writings of the Commission administrative des régimes de retraite et d'assurances, attached to this Order in Council, be made.

GÉRARD BIBEAU,
Clerk of the Conseil exécutif

Regulation respecting the signing of certain deeds, documents or writings of the Commission administrative des régimes de retraite et d'assurances

An Act respecting the Government and Public Employees Retirement Plan
(R.S.Q., c. R-10, s. 144)

1. The officers of the Commission administrative des régimes de retraite et d'assurances who hold the positions mentioned in this Regulation permanently or on an interim basis are authorized, within the limits of their duties, to sign the contracts described below alone and with the same authority as the chair of the Commission.

2. A contract for the purchase or lease of movable property and any contract of enterprise or for services may be signed

(1) by a service head if the amount of the contract is less than \$5,000;

(2) by a director if the amount of the contract is less than \$10,000; or

(3) by the director of the financial and material resources service if the amount of the contract is less than \$25,000.

3. A contract of enterprise or for services that is to be performed by or under the responsibility of professionals may be signed by a director if the amount of the contract is less than \$25,000.

For the purposes of the first paragraph, professionals are persons who hold an undergraduate university degree, or the equivalent, recognized by the Minister of Education, Recreation and Sports and, in the case of an exclusive profession, are members of a professional order governed by the Professional Code (R.S.Q., c. C-26).

4. Contracts to lease space entered into with the Société immobilière du Québec may be signed by the director of the financial and material resources service.

5. This Regulation replaces the Regulation respecting the signing of certain deeds, documents or writings of the Commission administrative des régimes de retraite et d'assurances, made by Order in Council 981-96 dated 14 August 1996.

6. This Regulation comes into force on the fifteenth day following the date of its publication in the *Gazette officielle du Québec*.

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Gouvernement du Québec

O.C. 1010-2006, 8 November 2006

An Act respecting the distribution of financial products and services
(R.S.Q., c. D-9.2)

Chambre de la sécurité financière — Compulsory professional development

Regulation of the Chambre de la sécurité financière respecting compulsory professional development

WHEREAS, under paragraph 2 of section 202.1 of the Act respecting the distribution of financial products and services (R.S.Q., c. D-9.2), the Autorité des marchés financiers shall determine, by regulation, the rules governing compulsory professional development for representatives of each sector or class of sector other than financial planning;

WHEREAS the Chambre de la sécurité financière is a legal person established under the Act;

WHEREAS, under the fourth paragraph of section 312 of the Act, the Chambre de la sécurité financière shall exercise, in respect of its members, the regulatory power provided for in section 202.1;

WHEREAS, under the first paragraph of section 217 of the Act, a regulation made pursuant to the Act shall be submitted to the Government for approval with or without amendment;

WHEREAS, by Order in Council 1171-99 dated 13 October 1999, the Government approved the Regulation governing compulsory professional development of the Chambre de la sécurité financière;

WHEREAS the Chambre de la sécurité financière made the Regulation of the Chambre de la sécurité financière respecting compulsory professional development on 28 February 2006 to replace the above-mentioned Regulation;

WHEREAS, in accordance with sections 10 and 11 of the Regulations Act (R.S.Q., c. R-18.1), the draft Regulation of the Chambre de la sécurité financière respecting

compulsory professional development was published in the *Gazette officielle du Québec* of 14 June 2006 with a notice that it could be submitted to the Government for approval on the expiry of 45 days following that publication;

WHEREAS the 45-day period has expired;

WHEREAS it is expedient to approve the Regulation with amendments;

IT IS ORDERED, therefore, on the recommendation of the Minister of Finance:

THAT the Regulation of the Chambre de la sécurité financière respecting compulsory professional development, attached to this Order in Council, be approved.

GÉRARD BIBEAU,
Clerk of the Conseil exécutif

Regulation of the Chambre de la sécurité financière respecting compulsory professional development

An Act respecting the distribution of financial products and services
(R.S.Q., c. D-9.2, s. 202.1, par. 2 and s. 312)

DIVISION I SCOPE AND INTERPRETATION

1. This Regulation applies to all representatives, whether or not they are independent, who hold a certificate issued by the Autorité des marchés financiers authorizing them to practise in any of the following sectors, including the classes thereof provided for in the Regulation respecting the issuance and renewal of representatives' certificates, adopted by the Autorité des marchés financiers by Resolution 99.07.08 dated 6 July 1999:

- (1) insurance of persons;
- (2) group insurance of persons;
- (3) group savings plan brokerage;
- (4) investment contract brokerage;
- (5) scholarship plan brokerage.

For the purposes of this Regulation, the sectors listed in subparagraphs 3 to 5 of the first paragraph constitute a single sector.

2. In this Regulation, the term “professional development unit” or “PDU” means one hour of training recognized by the Chambre de la sécurité financière.