

## Regulations and other acts

Gouvernement du Québec

### O.C. 22-2006, 25 January 2006

An Act respecting the distribution of financial products and services  
(R.S.Q., c. D-9.2)

#### Securities sectors — Exemptions applicable — Amendment

Regulation to amend the Regulation respecting exemptions applicable to securities sectors

WHEREAS, under section 217.1 of the Act respecting the distribution of financial products and services (R.S.Q., c. D-9.2), the Autorité des marchés financiers may, by regulation, conditionally or unconditionally exempt a group of persons from some or all of the requirements of the Act or of the regulations applicable to a securities sector;

WHEREAS, under the first paragraph of section 217 of the Act, a regulation made pursuant to the Act shall be submitted to the Government for approval with or without amendment;

WHEREAS, by Order in Council 747-2005 dated 17 August 2005, the Government approved the Regulation respecting exemptions applicable to securities sectors;

WHEREAS it is expedient to amend the Regulation;

WHEREAS, on 1 June 2005, the Autorité des marchés financiers made the Regulation to amend the Regulation respecting exemptions applicable to securities sectors;

WHEREAS, in accordance with sections 10 and 11 of the Regulations Act (R.S.Q., c. R-18.1), the draft Regulation was published in the *Gazette officielle du Québec* of 26 October 2005 with a notice that it could be submitted to the Government for approval on the expiry of 45 days following that publication;

WHEREAS it is expedient to approve the Regulation without amendment;

IT IS ORDERED, therefore, on the recommendation of the Minister of Finance:

THAT the Regulation to amend the Regulation respecting exemptions applicable to securities sectors, attached to this Order in Council, be approved.

ANDRÉ DICAIRE,  
*Clerk of the Conseil exécutif*

### Regulation to amend the Regulation respecting exemptions applicable to securities sectors\*

An Act respecting the distribution of financial products and services  
(R.S.Q., c. D-9.2, s. 217.1)

**1.** The Regulation respecting exemptions applicable to securities sectors is amended by adding the following after section 1:

“**1.1.** A person that acts as a firm or representative in the group savings plan brokerage sector and pursues its activities in accordance with section 5.3 or 5.5 of Regulation 11-101 respecting Principal Regulator System approved by Minister’s Order 2005-18 dated 10 August 2005 is, as the case may be, exempt from registering with the Authority or from holding a certificate in that sector, provided the other provisions under Part 5 of the Regulation are complied with.”

**2.** This Regulation comes into force on the fifteenth day following the date of its publication in the *Gazette officielle du Québec*.

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\* The Regulation respecting exemptions applicable to securities sectors, approved by Order in Council 747-2005 dated 17 August 2005 (2005, *G.O.* 2, 3456), has not been amended since being approved.