Gouvernement du Québec

O.C. 1171-2005, 30 November 2005

An Act respecting income security (R.S.Q., c. S-3.1.1)

Income security

- Amendments

Regulation to amend the Regulation respecting Income Security

WHEREAS, in accordance with the Act respecting income security (R.S.Q., c. S-3.1.1), the Government made the Regulation respecting Income Security by Order in Council 922-89 dated 14 June 1989;

WHEREAS the Act was amended by section 178 of the Individual and Family Assistance Act (2005, c. 15);

WHEREAS it is expedient to amend the Regulation accordingly;

WHEREAS, under sections 10 and 11 of the Regulations Act (R.S.Q., c. R-18.1), a draft Regulation to amend the Regulation respecting Income Security was published in Part 2 of the *Gazette officielle du Québec* of 28 September 2005, on page 4208, with a notice that it could be made by the Government on the expiry of 45 days following that publication;

WHEREAS the 45-day period has expired;

WHEREAS it is expedient to make the Regulation with an amendment;

IT IS ORDERED, therefore, on the recommendation of the Minister of Employment and Social Solidarity:

THAT the Regulation to amend the Regulation respecting Income Security, attached to this Order in Council, be made.

ANDRÉ DICAIRE, Clerk of the Conseil executif

Regulation to amend the Regulation respecting Income Security*

An Act respecting income security (R.S.Q., c. S-3.1.1, s. 91, 1st par., subpar. 33.0.1; 2005, c. 15, ss. 178 and 179)

- **1.** Section 100.2 of the Regulation respecting Income Security is amended by adding "or the Education Act (R.S.Q., c. I-13.3)" at the end.
- **2.** Section 1 has effect in respect of periods subsequent to 31 August 1998 and prior to 1 October 1999.
- **3.** This Regulation comes into force on 1 January 2006.

7302

Gouvernement du Québec

O.C. 1183-2005, 7 December 2005

Securities Act (R.S.Q., c. V-1.1)

Securities

- Amendment

Regulation to amend the Securities Regulation

WHEREAS, under subparagraph 9 of the first paragraph of section 331 of the Securities Act (R.S.Q., c. V-1.1), the Autorité des marchés financiers may, by regulation, prescribe the fees payable for any formality provided for in the Act or the regulations and for services rendered by the Authority, and the terms and conditions of payment;

WHEREAS, under subparagraph 11.1 of the first paragraph of section 331 of the Act, the Autorité des marchés financiers may, by regulation, determine the provisions of Title III of the Act the contravention of which may be sanctioned by an administrative monetary penalty, and prescribe the amounts and conditions of such a penalty for the purposes of section 274.1 of the Act;

WHEREAS, under the second paragraph of section 331 of the Act, a regulation made under that section shall be submitted to the Government for approval, with or without amendment:

WHEREAS the Government made the Securities Regulation by Order in Council 660-83 dated 30 March 1983;

WHEREAS it is expedient to amend the Regulation;

WHEREAS the Autorité des marchés financiers made the Regulation to amend the Securities Regulation on 15 August 2005;

WHEREAS, in accordance with sections 10 and 11 of the Regulations Act (R.S.Q., c. R-18.1), the draft Regulation to amend the Securities Regulation was published in the *Gazette officielle du Québec* of 5 October 2005 with a notice that it could be submitted to the Government for approval on the expiry of 45 days following that publication;

WHEREAS it is expedient to approve the Regulation without amendment;

IT IS ORDERED, therefore, on the recommendation of the Minister of Finance:

THAT the Regulation to amend the Securities Regulation, attached to this Order in Council, be approved.

ANDRÉ DICAIRE, Clerk of the Conseil exécutif

Regulation to amend the Securities Regulation*

Securities Act (R.S.Q., c. V-1.1, s. 331, 1st par., subpars. 9 and 11.1)

1. The Securities Regulation is amended by inserting the following chapter after section 271.12:

"CHAPTER III

ADMINISTRATIVE MONETARY PENALTIES

271.13. Any reporting issuer who contravenes a provision of Division II of Chapter II or Chapter III of Title III of the Act for failure to file a disclosed document is liable to an administrative monetary penalty of \$100 per document for each business day during which such failure occurs, to a maximum amount of \$5,000 during any given fiscal year of the Authority.

- **271.14.** Any insider or senior executive deemed to be an insider who contravenes a provision of any of sections 96 to 98 or 102 of the Act for failure to disclose control or a change in control over securities is liable to an administrative monetary penalty of \$100 for each day during which such failure to report occurs, to a maximum amount of \$5,000.
- **271.15.** An administrative monetary penalty is payable as of the time the Authority sends a notice thereof.".
- **2.** This Regulation comes into force on the fifteenth day following the date of its publication in the *Gazette* officielle du Québec.

7308

Gouvernement du Québec

O.C. 1184-2005, 7 December 2005

An Act respecting the distribution of financial products and services (R.S.Q., c. D-9.2)

Fonds d'indemnisation des services financiers

- Eligibility of a claim submitted
- Amendments

Regulation to amend the Regulation respecting the eligibility of a claim submitted to the Fonds d'indemnisation des services financiers

WHEREAS, under paragraph 4 of section 228 of the Act respecting the distribution of financial products and services (R.S.Q., c. D-9.2), the Autorité des marchés financiers shall, by regulation, determine the conditions governing the eligibility of a claim presented to the Fonds d'indemnisation des services financiers and the maximum amount of compensation that may be paid;

WHEREAS, under the first paragraph of section 217 of that Act, a regulation made pursuant to the Act shall be submitted to the Government for approval with or without amendments;

WHEREAS, under Order in Council 831-99 dated 7 July 1999, the Government approved the Regulation respecting the eligibility of a claim submitted to the Fonds d'indemnisation des services financiers;

WHEREAS it is expedient to amend the Regulation;

WHEREAS, on 22 August 2005, the Autorité des marchés financiers made the Regulation to amend the Regulation respecting the eligibility of a claim submitted to the Fonds d'indemnisation des services financiers;

^{*} The Securities Regulation, made by Order in Council 660-83 dated 30 March 1983 (1983, G.O. 2, 1269) was last amended by the regulations approved by Minister's Orders 2005-04 dated 19 May 2005 (2005, G.O. 2, 1496), 2005-17 dated 2 August 2005 (2005, G.O. 2, 3523) and 2005-22 dated 17 August 2005 (2005, G.O. 2, 3643) and by Order in Council 748-2005 dated 17 August 2005 (2005, G.O. 2, 3457). For previous amendments, refer to the Tableau des modifications et Index sommaire, Québec Official Publisher, 2005, updated to 1 September 2005.