

3. This Regulation comes into force on the fifteenth day following publication thereof in the *Gazette officielle du Québec*.

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Gouvernement du Québec

O.C. 835-99, 7 July 1999

An Act respecting the distribution of financial products and services
(1998, c. 37)

Titles similar to the title of financial planner

Regulation respecting titles similar to the title of financial planner

WHEREAS under section 215 of the Act respecting the distribution of financial products and services (1998, c. 37), the Bureau des services financiers may, by regulation, determine the titles similar to the title of financial planner or claims adjuster, and the abbreviations, that may not be used;

WHEREAS under that section, the Bureau made the Regulation respecting titles similar to the title of financial planner;

WHEREAS in accordance with sections 10 and 11 of the Regulations Act (R.S.Q., c. R-18.1), the draft Regulation was published in the *Gazette officielle du Québec* of 12 May 1999 with a notice that it could be submitted to the Government for approval upon the expiry of 45 days following that publication;

WHEREAS it is expedient to approve the Regulation with amendments;

IT IS ORDERED, therefore, upon the recommendation of the Minister of State for the Economy and Finance and Minister of Finance:

THAT the Regulation respecting titles similar to the title of financial planner, attached to this Order in Council, be approved.

MICHEL NOËL DE TILLY,
Clerk of the Conseil exécutif

Regulation respecting titles similar to the title of financial planner

An Act respecting the distribution of financial products and services
(1998, c. 37, s. 215)

1. The following titles are similar to the title of financial planner and may not be used by anyone:

- (1) chartered financial planner (CFP);
- (2) registered financial planner (RFP);
- (3) chartered financial adviser (CFA);
- (4) financial consultant;
- (5) financial co-ordinator;
- (6) financial adviser;
- (7) personal finance consultant;
- (8) personal finance co-ordinator;
- (9) personal finance planner;
- (10) any title including one of the following five expressions, in which the words composing:
 - (a) financial planner;
 - (b) financial planning;
 - (c) financial adviser;
 - (d) financial consultant;
 - (e) financial co-ordinator.

2. This Regulation comes into force on October 1, 1999.

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