

Regulations and other acts

Gouvernement du Québec

O.C. 688-99, 16 June 1999

An Act respecting the Inspector General of Financial Institutions
(R.S.Q., c. I-11.1)

Signing of certain documents — Amendments

Regulation to amend the Regulation respecting the signing of certain documents of the Inspector General of Financial Institutions

WHEREAS under section 29 of the Act respecting the Inspector General of Financial Institutions (R.S.Q., c. I-11.1), amended by section 7 of Chapter 35 of the Statutes of 1997, the Government may, by regulation, determine the cases in which a member of the personnel of the Inspector General may sign a document;

WHEREAS by Order in Council 311-83 dated 23 February 1983, the Government made the Regulation respecting the signing of certain documents of the Inspector General of Financial Institutions;

WHEREAS the administrative structure of the Inspector General of Financial Institutions has been changed;

WHEREAS the positions mentioned in that Regulation do not correspond to the new administrative structure;

WHEREAS it is expedient to amend the Regulation;

IT IS ORDERED, therefore, on the recommendation of the Minister of Finance:

THAT the Regulation to amend the Regulation respecting the signing of certain documents of the Inspector General of Financial Institutions, attached to this Order in Council, be made.

MICHEL NOËL DE TILLY,
Clerk of the Conseil exécutif

Regulation to amend the Regulation respecting the signing of certain documents of the Inspector General of Financial Institutions*

An Act respecting the Inspector General of Financial Institutions
(R.S.Q., c. I-11.1, s. 29; 1997, c. 35, s. 7)

1. Section 1 of the Regulation respecting the signing of certain documents of the Inspector General of Financial Institutions is amended by substituting the following for the part preceding paragraph 1:

“1. The Director of Undertakings, the Head of the Service des personnes morales in Québec, the Head of the Service des personnes morales à Montréal and the Head of the Service de la gestion du registre are authorized to sign any documents that may be signed by the Inspector General of Financial Institutions under the Act respecting the legal publicity of sole proprietorships, partnerships and legal persons (R.S.Q., c. P-45) and under the acts concerning the incorporation or constitution, operation, inspection and winding-up of companies and corporations carrying on business in Québec, except for the following:”.

2. The words “a senior office clerk in the personnel of the Service des personnes morales in Québec is” are substituted for the words “the head of the Division statuts et perception and the members of the Groupe étude des dénominations sociales of the Service des compagnies are” in section 2.

3. The following is substituted for the part preceding paragraph 1 of section 3:

“3. The Director of Administrative Services and the Director of Organization and Technology are authorized to sign:”.

4. The words “Assistant Director of Administrative Services” is substituted for the words “Director of the Direction de la gestion” in section 4.

* The Regulation respecting the signing of certain documents of the Inspector General of Financial Institutions, made by Order in Council 311-83 dated 23 February 1983 (1983, *G.O.* 2, 1086), was last amended by the Regulation made by Order in Council 940-94 dated 22 June 1994 (1994, *G.O.* 2, 2378). For previous amendments, refer to the *Tableau des modifications et Index sommaire*, Éditeur officiel du Québec, 1999, updated to 1 March 1999.

5. The words “The Head of the Service des ressources humaines, the Head of the Service des revenus et du budget and the Head of the Service des communications et ressources matérielles are” is substituted for the words “The Head of Auxiliary Services is” in section 5.

6. This Regulation comes into force on the tenth day following the date of its publication in the *Gazette officielle du Québec*.

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Gouvernement du Québec

O.C. 694-99, 16 June 1999

An Act respecting the distribution of financial products and services
(1998, c. 37)

Transitional provisions

Regulation respecting certain transitional provisions in respect of the application of the Act respecting the distribution of financial products and services

WHEREAS under section 577 of the Act respecting the distribution of financial products and services (1998, c. 37), the Government may, in a regulation made before 1 July 1999, make any other transitional provision needed to supply any deficiency and ensure the application of the Act;

WHEREAS under the second paragraph of that section, a regulation made under the first paragraph is not subject to the publication requirements prescribed by section 8 of the Regulations Act (R.S.Q., c. R-18.1);

WHEREAS it is expedient to make certain transitional provisions needed to supply deficiencies of the Act respecting the distribution of financial products and services;

IT IS ORDERED, therefore, upon the recommendation of the Minister of State for the Economy and Finance and Minister of Finance:

THAT the Regulation respecting certain transitional provisions in respect of the application of the Act respecting the distribution of financial products and services, attached to this Order in Council, be made.

MICHEL NOËL DE TILLY,
Clerk of the Conseil exécutif

Regulation respecting certain transitional measures in respect of the application of the Act respecting the distribution of financial products and services

An Act respecting the distribution of financial products and services
(1998, c. 37, s. 577)

1. A natural person who, between 20 July 1999 and 30 September 1999, becomes the holder of a certificate issued pursuant to the Act respecting market intermediaries (R.S.Q., c. I-15.1) is entitled, if he makes an application to the Bureau des services financiers therefor prior to 1 April 2000, to be issued a certificate corresponding to the certificate obtained, unless such certificate is a certificate set out in paragraphs 1 and 2 of section 1 of the Regulation of the Conseil des assurances de personnes respecting market intermediaries in insurance of persons, approved by order in council number 1014-91 of 17 July 1991 or paragraph 1 of section 1 of the Regulation of the Conseil des assurances de dommages respecting market intermediaries in damage insurance, approved by order in council number 1015-91 of 17 July 1991.

2. A natural person who, between 20 July 1999 and 30 September 1999, becomes the holder of a certificate of a market intermediary in insurance of persons issued pursuant to the Act respecting market intermediaries is entitled, if he makes an application to the Bureau therefor prior to 1 April 2000, to be issued a certificate authorizing him to act as a representative in insurance of persons and as a representative in group insurance of persons, unless the certificate obtained is a certificate contemplated in paragraphs 1 and 2 of section 1 of the Regulation of the Conseil des assurances de dommages respecting market intermediaries in insurance of persons.

3. A natural person who, between 20 July 1999 and 30 September 1999, obtains a registration as a representative of a dealer with a restricted practice broker in group saving plans, investment contracts or scholarship plans pursuant to the Securities Act (R.S.Q., c. V-1.1) is entitled, if he makes an application to the Bureau prior to 1 April 2000, subject to the same conditions and restrictions, to be issued a certificate corresponding to his registration.

4. A natural person who, between 20 July 1999 and 30 September 1999, obtains a diploma in financial planning issued by the Institut québécois de planification financière is entitled, if it makes an application to the