

Part 2 **LAWS AND REGULATIONS**

31 May 2023 / Volume 155

Summary

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Part 2 – LAWS AND REGULATIONS

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Contents

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Part 2 shall contain:

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- (2) proclamations and Orders in Council for the coming into force of Acts;
- (3) regulations and other statutory instruments whose publication in the *Gazette officielle du Québec* is required by law or by the Government;
- (4) regulations made by courts of justice and quasi-judicial tribunals:
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PROVINCE OF QUÉBEC

1ST SESSION

43RD LEGISLATURE

Québec, 12 may 2023

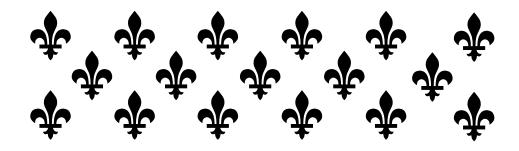
Office of the Lieutenant-Governor

Québec, 12 May 2023

This day, at half past ten o'clock in the morning, His Excellency the Lieutenant-Governor was pleased to assent to the following bill:

21 Appropriation Act No. 2, 2023–2024

To this bill the Royal assent was affixed by His Excellency the Lieutenant-Governor.



NATIONAL ASSEMBLY OF QUÉBEC

FIRST SESSION

FORTY-THIRD LEGISLATURE

Bill 21 (2023, chapter 9)

Appropriation Act No. 2, 2023-2024

Introduced 11 May 2023 Passed in principle 11 May 2023 Passed 11 May 2023 Assented to 12 May 2023

Québec Official Publisher 2023

EXPLANATORY NOTES

This Act authorizes the Government to pay out of the general fund of the Consolidated Revenue Fund, for the 2023–2024 fiscal year, a sum not exceeding \$70,717,256,100.00, including \$301,100,000.00 for the payment of expenditures chargeable to the 2024–2025 fiscal year, representing the appropriations to be voted for each of the portfolio programs, less the appropriations already authorized.

Moreover, the Act indicates which programs are covered by a net voted appropriation. It also determines to what extent the Conseil du trésor may authorize the transfer of appropriations between programs or portfolios.

Lastly, the Act approves the balance of the expenditure and investment forecasts for the special funds for the 2023–2024 fiscal year, and the excess special fund expenditures and investments for the 2021–2022 fiscal year.

Bill 21

APPROPRIATION ACT NO. 2, 2023–2024

THE PARLIAMENT OF QUÉBEC ENACTS AS FOLLOWS:

- **1.** The Government may draw out of the general fund of the Consolidated Revenue Fund a sum not exceeding \$70,717,256,100.00 to defray part of the Expenditure Budget of Québec tabled in the National Assembly for the 2023–2024 fiscal year, for which provision has not otherwise been made, including an amount of \$301,100,000.00 for the payment of expenditures chargeable to the 2024–2025 fiscal year, being the amount of the appropriations to be voted for each of the programs listed in Schedules 1 and 2, less the amounts totalling \$27,380,298,200.00 of the appropriations voted pursuant to Appropriation Act No. 1, 2023–2024 (2023, chapter 4).
- **2.** In the case of programs for which a net voted appropriation appears in the Expenditure Budget, the amount of the appropriation for the programs concerned may be increased, subject to the stipulated conditions, when the revenues associated with the net voted appropriation exceed revenue forecasts.
- **3.** The Conseil du trésor may authorize the transfer between programs or portfolios of the portion of an appropriation for which provision has been made to that end, for the purposes of and, where applicable, according to the conditions described in the Expenditure Budget.

Furthermore, it may, in cases other than the transfer of a portion of an appropriation referred to in the first paragraph, authorize the transfer of a portion of an appropriation between programs in the same portfolio, insofar as such a transfer does not increase or decrease the amount of the appropriation authorized by law by more than 10.0%, excluding, where applicable, the portion of the appropriation for which provision has been made.

- **4.** The balance of the expenditure and investment forecasts for the special funds listed in Schedule 3 is approved for the 2023–2024 fiscal year.
- **5.** The excess special fund expenditures and investments for the 2021–2022 fiscal year listed in Schedule 4 are approved.
- **6.** This Act comes into force on 12 May 2023.

SCHEDULE 1

GENERAL FUND

AFFAIRES MUNICIPALES ET HABITATION

PROG	RAM	1

Support for Departmental Activities 62,821,725.00

PROGRAM 2

Municipal Infrastructure Modernization 377,859,375.00

PROGRAM 3

Compensation in Lieu of Taxes

and Support to Municipalities 533,380,075.00

PROGRAM 4

Development of the Regions

and Territories 2,075,175.00

PROGRAM 5

Promotion and Development

of Greater Montréal 104,630,025.00

PROGRAM 6

Commission municipale du Québec 9,993,675.00

PROGRAM 7

Housing 658,154,700.00

1,748,914,750.00

AGRICULTURE, PÊCHERIES ET ALIMENTATION

PROGRAM 1

Bio-food Business Development

and Food Quality

413,113,950.00

PROGRAM 2

Government Bodies

320,666,625.00

733,780,575.00

CONSEIL DU TRÉSOR ET ADMINISTRATION GOUVERNEMENTALE

PROGRAM 1

Support for the Conseil du trésor 72,478,950.00

PROGRAM 2

Support for Government Operations 194,143,275.00

PROGRAM 3

Commission de la fonction publique 4,526,700.00

PROGRAM 4

Retirement and Insurance Plans 2,435,850.00

PROGRAM 5

Contingency Fund 8,519,175,000.00

PROGRAM 6

Support for Government Infrastructure 6,762,225.00

PROGRAM 7

Promotion and Development of the Capitale-Nationale

62,074,800.00

8,861,596,800.00

CONSEIL EXÉCUTIF

PRO	OGR	AM	1

Lieutenant-Governor's Office 583,275.00

PROGRAM 2

Support Services for the Premier and the Conseil exécutif 80,799,825.00

PROGRAM 3

Canadian Relations 12,461,550.00

PROGRAM 4

Relations with the First Nations and the Inuit 280,355,700.00

PROGRAM 5

Democratic Institutions, Access to Information and Laicity 11,878,875.00

PROGRAM 6

High-speed Internet and Special
Connectivity Projects 207,177,150.00
593,256,375.00

CULTURE ET COMMUNICATIONS

PROGRAM 1

Management, Administration

and Mission Support

53,737,875.00

PROGRAM 2

Support and Development of Culture,

Communications and Heritage

710,910,825.00

PROGRAM 3

Youth

44,617,650.00

809,266,350.00

CYBERSÉCURITÉ ET NUMÉRIQUE

PROGRAM 1

Management and Administration 50,629,950.00

PROGRAM 2

Management of Specific Information

Resources 83,223,375.00 133,853,325.00

ÉCONOMIE, INNOVATION ET ÉNERGIE

PR	OGR	AM 1	

Management and Administration 29,228,025.00

PROGRAM 2

Economic Development 243,576,300.00

PROGRAM 3

Development of Science, Research

and Innovation 294,589,425.00

PROGRAM 4

Economic Development Fund

Interventions 403,687,650.00

PROGRAM 5

Research and Innovation Bodies 177,767,850.00

PROGRAM 6

Energy 28,976,625.00

1,177,825,875.00

ÉDUCATION

PROGRAM 1

Administration 203,093,475.00

PROGRAM 2

Support for Organizations 83,721,300.00

PROGRAM 3

School Taxes – Fiscal Balancing

Subsidy 1,144,466,550.00

PROGRAM 4

Preschool, Primary

and Secondary Education 10,047,578,725.00

PROGRAM 5

Development of Recreation

and Sports 179,814,175.00

11,658,674,225.00

EMPLOI ET SOLIDARITÉ SOCIALE

PROGRAM 1

Governance, Administration

and Client Services 390,075,500.00

PROGRAM 2

Social Solidarity

and Community Action 2,275,545,575.00

PROGRAM 3

Employment 694,619,200.00

3,360,240,275.00

ENSEIGNEMENT SUPÉRIEUR

PROGRAM 1

Administration 82,759,425.00

PROGRAM 2

Support for Bodies 38,104,800.00

PROGRAM 3

Financial Assistance for Education

and Incentive Scholarships 833,600,975.00

PROGRAM 4

Higher Education 5,613,404,075.00

6,567,869,275.00

ENVIRONNEMENT, LUTTE CONTRE LES CHANGEMENTS CLIMATIQUES, FAUNE ET PARCS

PROGRAM 1

Environmental and Wildlife Protection 487,012,800.00

PROGRAM 2

Bureau d'audiences publiques sur l'environnement

r l'environnement 6,365,550.00

493,378,350.00

FAMILLE

PROGRAM 1

Planning, Research and Administration 67,052,700.00

PROGRAM 2

Assistance Measures for Families 67,820,325.00

PROGRAM 3

Childcare Services 2,130,965,975.00

PROGRAM 4

Public Curator 57,628,425.00

2,323,467,425.00

953

FINANCES

PR	OGI	RΑ	M	1

Management and Administration 32,459,550.00

PROGRAM 2

Economic, Taxation, Budgetary and Financial Activities 40,639,800.00

PROGRAM 3

Contributions, Bank Service Fees and Provisions for Transferring Appropriations 51,130,725.00

PROGRAM 4

Relations with English-speaking Quebecers

 $\frac{3,858,675.00}{128,088,750.00}$

IMMIGRATION, FRANCISATION ET INTÉGRATION

PROGRAM 1

Management and Support for Departmental Activities

54,972,450.00

PROGRAM 2

Immigration, Francization

and Integration

492,448,350.00

547,420,800.00

JUSTICE

PROGRAM 1	
Administration of Justice	327,128,075.00
PROGRAM 2	
I KOOKAWI 2	
Judicial Activity	29,564,500.00
PROGRAM 3	
	10.051.005.00
Administrative Justice	12,271,225.00
PROGRAM 5	
Other Bodies Reporting to the Minister	143,221,525.00
PROGRAM 6	
Criminal and Penal Prosecutions	161,862,825.00
_	674,048,150.00

LANGUE FRANÇAISE

PROGRAM 1

French Language 46,333,350.00 46,333,350.00

PERSONS APPOINTED BY THE NATIONAL ASSEMBLY

PROGRAM 1

The Public Protector 16,398,900.00

PROGRAM 2

The Auditor General 35,464,875.00

PROGRAM 4

The Lobbyists Commissioner 4,391,625.00

PROGRAM 6

French Language Commissioner 1,747,500.00

58,002,900.00

RELATIONS INTERNATIONALES ET FRANCOPHONIE

PROGRAM 1

Management and Administration 17,244,150.00

PROGRAM 2

International Affairs 84,337,150.00

PROGRAM 3

Status of Women 21,954,750.00

123,536,050.00

RESSOURCES NATURELLES ET FORÊTS

PROGRAM 1

Management of Natural and Forest Resources

254,115,575.00 254,115,575.00

SANTÉ ET SERVICES SOCIAUX

PROGRAM 1

Coordination Functions 208,820,775.00

PROGRAM 2

Services to the Public 27,050,828,625.00

PROGRAM 3

Office des personnes handicapées

du Québec 17,103,150.00

PROGRAM 5

Status of Seniors 40,698,900.00

27,317,451,450.00

SÉCURITÉ PUBLIQUE

PROGRAM 1	
Management and Administration	90,018,000.00
PROGRAM 2	
Services of the Sûreté du Québec	394,941,550.00
PROGRAM 3	
Management of the Correctional System	474,300,075.00
PROGRAM 4	
Police	165,120,100.00
PROGRAM 5	
Scientific and Forensic Expertise	25,498,575.00
PROGRAM 6	
Management and Oversight	45,504,675.00
PROGRAM 7	
Public Safety and Fire Prevention	47,306,050.00
_	1,242,689,025.00

TOURISME

PROGRAM 1

Management, Administration

and Program Management 11,229,900.00

PROGRAM 2

Tourism Development 119,190,550.00

PROGRAM 3

Bodies Reporting to the Minister 73,380,625.00

203,801,075.00

TRANSPORTS ET MOBILITÉ DURABLE

PROGRAM 1

Infrastructures and Transportation

Systems 1,584,101,700.00

PROGRAM 2

Administration and Corporate Services

50,144,025.00

1,634,245,725.00

TRAVAIL

PROGRAM 1

Labour

25,399,650.00 25,399,650.00

70,717,256,100.00

SCHEDULE 2

GENERAL FUND

APPROPRIATIONS TO BE VOTED FOR EXPENDITURES CHARGEABLE TO THE 2024–2025 FISCAL YEAR

FAMILLE

PROGRAM 3		
Childcare Services	301,100,000.00	
	301,100,000.00	
	- -	301,100,000.00

SCHEDULE 3

SPECIAL FUNDS

AFFAIRES MUNICIPALES ET HABITATION

REGIONS AND RURALITY FUND

Expenditure Forecast 234,460,125.00

SUBTOTAL

Expenditure Forecast 234,460,125.00

CULTURE ET COMMUNICATIONS

AVENIR MÉCÉNAT CULTURE FUND

Expenditure Forecast 3,754,500.00

QUÉBEC CULTURAL HERITAGE FUND

Expenditure Forecast 37,758,150.00

SUBTOTAL

Expenditure Forecast 41,512,650.00

CYBERSÉCURITÉ ET NUMÉRIQUE

CYBERSECURITY AND DIGITAL TECHNOLOGY FUND

Expenditure Forecast	419,173,575.00
Investment Forecast	99,799,875.00

SUBTOTALS

Expenditure Forecast 419,173,575.00 Investment Forecast 99,799,875.00

ÉCONOMIE, INNOVATION ET ÉNERGIE

NATURAL RESOURCES AND ENERGY CAPITAL FUND

Expenditure Forecast 131,250.00 Investment Forecast 258,750,000.00

NATURAL RESSOURCES FUND

Expenditure Forecast 11,330,700.00 Investment Forecast 71,250.00

ECONOMIC DEVELOPMENT FUND

Expenditure Forecast 964,395,750.00 Investment Forecast 1,677,144,000.00

QUÉBEC ENTERPRISE GROWTH FUND

Expenditure Forecast 112,500.00 Investment Forecast 135,000,000.00

SUBTOTALS

Expenditure Forecast 975,970,200.00 Investment Forecast 2,070,965,250.00

ÉDUCATION

SPORTS AND PHYSICAL ACTIVITY DEVELOPMENT FUND

Expenditure Forecast	143,511,375.00
Investment Forecast	53,779,425.00

SUBTOTALS

Expenditure Forecast 143,511,375.00 Investment Forecast 53,779,425.00

EMPLOI ET SOLIDARITÉ SOCIALE

ASSISTANCE FUND FOR INDEPENDENT COMMUNITY ACTION

Expenditure Forecast 25,492,525.00

LABOUR MARKET DEVELOPMENT FUND

Expenditure Forecast 1,115,312,325.00

GOODS AND SERVICES FUND

Expenditure Forecast 138,452,100.00 Investment Forecast 6,015,450.00

INFORMATION TECHNOLOGY FUND OF THE MINISTÈRE DE L'EMPLOI ET DE LA SOLIDARITÉ SOCIALE

Expenditure Forecast 13,573,500.00 Investment Forecast 14,450,925.00

FONDS QUÉBÉCOIS D'INITIATIVES SOCIALES

Expenditure Forecast 6,772,025.00

SUBTOTALS

Expenditure Forecast 1,299,602,475.00 Investment Forecast 20,466,375.00

ENSEIGNEMENT SUPÉRIEUR

UNIVERSITY EXCELLENCE AND PERFORMANCE FUND

Expenditure Forecast 18,750,000.00

SUBTOTAL

Expenditure Forecast 18,750,000.00

ENVIRONNEMENT, LUTTE CONTRE LES CHANGEMENTS CLIMATIQUES, FAUNE ET PARCS

ELECTRIFICATION
AND CLIMATE CHANGE FUND

Expenditure Forecast 1,204,183,050.00 Investment Forecast 900,000.00

FUND FOR THE PROTECTION OF THE ENVIRONMENT AND THE WATERS IN THE DOMAIN OF THE STATE

Expenditure Forecast 183,010,275.00 Investment Forecast 187,500.00

NATURAL RESOURCES FUND

Expenditure Forecast 60,000.00

ENERGY TRANSITION, INNOVATION AND EFFICIENCY FUND

Expenditure Forecast 209,939,675.00 Investment Forecast 143,550.00

SUBTOTALS

Expenditure Forecast 1,597,193,000.00 Investment Forecast 1,231,050.00

FAMILLE

EDUCATIONAL CHILDCARE SERVICES FUND

Expenditure Forecast 2,199,876,175.00

SUBTOTAL

Expenditure Forecast 2,199,876,175.00

FINANCES

FINANCING FUND

Expenditure Forecast 2,283,900.00

SPECIAL CONTRACTS AND FINANCIAL ASSISTANCE FOR INVESTMENT FUND

Expenditure Forecast 173,250,000.00

FUND TO COMBAT ADDICTION

Expenditure Forecast 159,792,975.00

NORTHERN PLAN FUND

Expenditure Forecast 109,721,025.00

FUND OF THE FINANCIAL MARKETS ADMINISTRATIVE TRIBUNAL

Expenditure Forecast 2,834,100.00 Investment Forecast 8,356,275.00

TAX ADMINISTRATION FUND

Expenditure Forecast 880,682,400.00

SUBTOTALS

Expenditure Forecast 1,328,564,400.00 Investment Forecast 8,356,275.00

JUSTICE

ACCESS TO JUSTICE FUND

Expenditure Forecast 24,116,325.00

FUND DEDICATED TO ASSISTANCE FOR PERSONS WHO ARE VICTIMS OF CRIMINAL OFFENCES

Expenditure Forecast 37,956,150.00

REGISTER FUND OF THE MINISTÈRE DE LA JUSTICE

Expenditure Forecast 34,729,050.00 Investment Forecast 2,171,550.00

FUND OF THE ADMINISTRATIVE TRIBUNAL OF QUÉBEC

Expenditure Forecast 40,566,900.00 Investment Forecast 1,157,850.00

PUBLIC CONTRACTS FUND

Expenditure Forecast 4,725.00

SUBTOTALS

Expenditure Forecast 137,373,150.00 Investment Forecast 3,329,400.00

RESSOURCES NATURELLES ET FORÊTS

NATURAL RESOURCES FUND

Expenditure Forecast	463,406,825.00
Investment Forecast	17,685,550.00

TERRITORIAL INFORMATION FUND

Expenditure Forecast 135,763,125.00 Investment Forecast 27,727,275.00

SUBTOTALS

Expenditure Forecast 599,169,950.00 Investment Forecast 45,412,825.00

SANTÉ ET SERVICES SOCIAUX

CANNABIS PREVENTION AND RESEARCH FUND

Expenditure Forecast 89,003,100.00

HEALTH AND SOCIAL SERVICES INFORMATION RESOURCES FUND

Expenditure Forecast 418,676,400.00 Investment Forecast 109,382,625.00

SUBTOTALS

Expenditure Forecast 507,679,500.00 Investment Forecast 109,382,625.00

SÉCURITÉ PUBLIQUE

POLICE SERVICES FUND

571,958,175.00
16,257,300.00

SUBTOTALS

Expenditure Forecast	571,958,175.00
Investment Forecast	16,257,300.00

TOURISME

TOURISM PARTNERSHIP FUND

Expenditure Forecast	257,572,875.00
Investment Forecast	871,725.00

SUBTOTALS

Expenditure Forecast	257,572,875.00
Investment Forecast	871,725.00

TRANSPORTS ET MOBILITÉ DURABLE

AIR SERVICE FUND

Expenditure Forecast	76,745,100.00
Investment Forecast	7,311,225.00

ROLLING STOCK MANAGEMENT FUND

Expenditure Forecast	121,683,750.00
Investment Forecast	40,205,250.00

HIGHWAY SAFETY FUND

Expenditure Forecast	59,520,075.00
Investment Forecast	1 067 250 00

LAND TRANSPORTATION NETWORK FUND

Expenditure Forecast	4,461,497,175.00
Investment Forecast	2,356,182,000.00

SUBTOTALS

Expenditure Forecast	4,719,446,100.00
Investment Forecast	2,404,765,725.00

TRAVAIL

ADMINISTRATIVE LABOUR
TRIBUNAL FUND

Expenditure Forecast	73,475,925.00
Investment Forecast	2,643,750.00

SUBTOTALS

Expenditure Forecast 73,475,925.00 Investment Forecast 2,643,750.00

TOTALS

Expenditure Forecast 15,125,289,650.00 Investment Forecast 4,837,261,600.00

SCHEDULE 4

EXCESS SPECIAL FUND EXPENDITURES AND INVESTMENTS FOR THE 2021–2022 FISCAL YEAR

CULTURE ET COMMUNICATIONS

AVENIR MÉCÉNAT CULTURE FUND

Expenditure excess 56,600.00

SUBTOTAL

Expenditure excess 56,600.00

CYBERSÉCURITÉ ET NUMÉRIQUE

CYBERSECURITY AND DIGITAL TECHNOLOGY FUND

Expenditure excess 3,141,500.00

SUBTOTAL

Expenditure excess 3,141,500.00

ÉCONOMIE, INNOVATION ET ÉNERGIE

NATURAL RESOURCES AND ENERGY CAPITAL FUND

Expenditure excess 51,471,900.00

QUÉBEC ENTERPRISE GROWTH FUND

Expenditure excess 463,400.00 Investment excess 107,928,900.00

SUBTOTALS

Expenditure excess 51,935,300.00 Investment excess 107,928,900.00

EMPLOI ET SOLIDARITÉ SOCIALE

LABOUR MARKET DEVELOPMENT FUND

Expenditure excess 87,722,300.00

FONDS QUÉBÉCOIS D'INITIATIVES SOCIALES

Expenditure excess 1,006,900.00

SUBTOTAL

Expenditure excess 88,729,200.00

FAMILLE

EDUCATIONAL CHILDCARE SERVICES FUND

Expenditure excess 119,033,300.00

SUBTOTAL

Expenditure excess 119,033,300.00

FINANCES

TAX ADMINISTRATION FUND

Expenditure excess 609,200.00

SUBTOTAL

Expenditure excess 609,200.00

RESSOURCES NATURELLES ET FORÊTS

NATURAL RESOURCES FUND

Expenditure excess 30,011,500.00

SUBTOTAL

Expenditure excess 30,011,500.00

RESSOURCES NATURELLES ET FORÊTS

NATURAL RESOURCES FUND

Investment excess 70,800.00

TERRITORIAL INFORMATION FUND

Investment excess 13,690,900.00

SUBTOTAL

Investment excess 13,761,700.00

SANTÉ ET SERVICES SOCIAUX

CAREGIVER SUPPORT FUND

Expenditure excess 41,334,600.00

HEALTH AND SOCIAL SERVICES INFORMATION RESOURCES FUND

Expenditure excess 62,598,100.00

SUBTOTAL

Expenditure excess 103,932,700.00

SÉCURITÉ PUBLIQUE

POLICE SERVICES FUND

Expenditure excess 2,838,500.00

SUBTOTAL

Expenditure excess 2,838,500.00

TRANSPORTS ET MOBILITÉ DURABLE

AIR SERVICE FUND

Expenditure excess 4,559,900.00

ROLLING STOCK MANAGEMENT FUND

Expenditure excess 208,100.00

LAND TRANSPORTATION NETWORK FUND

Expenditure excess 970,344,600.00

SUBTOTAL

Expenditure excess 975,112,600.00

TOTALS

Expenditure excess 1,375,400,400.00 Investment excess 121,690,600.00

Regulations and other Acts

Gouvernement du Québec

O.C. 871-2023, 24 May 2023

Securities Act (chapter V-1.1)

Regulation 13-102 respecting System Fees and the exemption of the fees in the Regulation from the adjustment provided for in section 83.3 of the Financial Administration Act

WHEREAS, under subparagraph 9 of the first paragraph of section 331 of the Securities Act (chapter V-1.1), the Autorité des marchés financiers may, by regulation, prescribe the fees payable for any formality provided for in the Act or the regulations and for services rendered by the Authority, and the terms and conditions of payment;

WHEREAS, under subparagraph 12 of the first paragraph of section 331 of the Act, the Autorité des marchés financiers may, by regulation, define the terms and expressions used for the purposes of the Act or the regulations under that section;

WHEREAS, under the second paragraph of section 331 of the Act, a regulation made under that section is to be submitted to the Government for approval, with or without amendment;

WHEREAS the Autorité des marchés financiers made the Regulation 13-102 respecting System Fees by the decision no. 2023-PDG-0009 dated 9 March 2023;

WHEREAS, in accordance with sections 10 and 11 of the Regulations Act (chapter R-18.1), the draft regulation 13-102 respecting System Fees was published in Part 2 of the *Gazette officielle du Québec* of 22 March 2023 with a notice that it could be submitted to the Government for approval on the expiry of 45 days following that publication;

WHEREAS it is expedient to approve the Regulation without amendment;

WHEREAS, under subparagraph 3 of the first paragraph of section 83.1 of the Financial Administration Act (chapter A-6.001), for the purposes of chapter VIII.1 of the Act, a "fee" means the consideration in money, set by a law, the Government, a minister or a body, to be paid for a particular public service or a set of public services delivered by a body or an institution in the course of its activities:

Whereas, under section 83.3 of the Act, fees are adjusted by operation of law on 1 January of each year by a rate corresponding to the annual change in the average all-items Consumer Price Index for Québec excluding alcoholic beverages, tobacco products and recreational cannabis for the 12-month period ending on 30 September of the year preceding the year for which the fee is to be adjusted;

WHEREAS, under the fifth paragraph of section 83.4 of the Act, on the recommendation of the Minister of Finance, the Government may exempt a fee or a set of fees from being adjusted under section 83.3;

WHEREAS it is expedient to exempt the fees in Regulation 13-102 respecting System Fees, attached to this Order in Council, from the adjustment provided for in section 83.3 of the Financial Administration Act;

IT IS ORDERED, therefore, on the recommendation of the Minister of Finance:

THAT the Regulation 13-102 respecting System Fees, attached to this Order in Council, be approved;

THAT the fees in the Regulation be exempted from the adjustment provided for in section 83.3 of the Financial Administration Act (chapter A-6.001).

YVES OUELLET Clerk of the Conseil exécutif

REGULATION 13-102 RESPECTING SYSTEM FEES

Securities Act (chapter V-1.1, s. 331, 1st par., subpar. (9) and (12))

Definitions

1. (1) In this Regulation,

"annual information form" means

- (a) an "AIF" as defined by Regulation 51-102 respecting Continuous Disclosure Obligations (chapter V-1.1, r. 24), or
- (b) an annual information form referred to in Part 9 of Regulation 81-106 respecting Investment Fund Continuous Disclosure (chapter V-1.1, r. 42);

"principal regulator" means the principal regulator determined under section 5 of Regulation 13-103 respecting the System for Electronic Data Analysis and Retrieval + (SEDAR +), approved by ministerial order no. 2023-10 dated 18 May 2023;

"shelf prospectus" means a prospectus filed under Regulation 44-102 respecting Shelf Distributions (chapter V-1.1, r. 17);

"system fee" means a fee set out in Appendix A or B.

(2) In this Regulation, a term referred to in Column 1 of the following table has the meaning ascribed to it in the Regulation referred to in Column 2 opposite that term:

Column 1	Column 2	
Defined Term	Regulation	
CPC instrument	Regulation 45-106 respecting Prospectus	
	Exemptions (chapter V-1.1, r. 21)	
document	Regulation 13-103 respecting the System for	
	Electronic Data Analysis and Retrieval +	
	(SEDAR +)	
long form prospectus	Regulation 41-101 respecting General	
	Prospectus Requirements (chapter V-1.1, r. 14)	

preliminary MJDS prospectus	National Instrument 71-101, The		
	Multijurisdictional Disclosure System (chapter V		
	1.1, r. 36)		
rights offering circular	Section 2.1 of Regulation 45-106 respecting		
	Prospectus Exemptions		
SEDAR +	Regulation 13-103 respecting the System for		
	Electronic Data Analysis and Retrieval +		
	(SEDAR +)		
short form prospectus	Regulation 41-101 respecting General		
	Prospectus Requirements		
sponsoring firm	Regulation 33-109 respecting Registration		
	Information (chapter V-1.1, r. 12)		

Inconsistency with other regulations

2. If there is any conflict or inconsistency between this Regulation and Regulation 13-103 respecting the System for Electronic Data Analysis and Retrieval + (SEDAR +), approved by ministerial order no. 2023-10 dated 18 May 2023, this Regulation prevails.

System fees for transmission

- **3.** (1) A person described in Column A of Appendix A must pay the corresponding system fee specified in Column C of the Appendix to the person's principal regulator, if the person transmits a filing of a type described in Column B of the Appendix.
- (2) Subsection (1) does not apply unless the securities regulatory authority in the local jurisdiction is the person's principal regulator

Annual registrant system fee

4. On December 31 of each year, a sponsoring firm must, for each individual registrant of the sponsoring firm, pay the system fee specified in Column C of Appendix B to the securities regulatory authority if the securities regulatory authority in the local jurisdiction is the individual registrant's principal regulator on that date.

Means of payment

A person required to pay a system fee must pay the fee through SEDAR +.

Exemption

- **6.** (1) The regulator, except in Québec, or the securities regulatory authority may grant an exemption from this Regulation, in whole or in part, subject to such conditions or restrictions as may be imposed in the exemption.
- (2) Despite subsection (1), in Ontario, only the regulator may grant such an exemption.
- (3) Except in Alberta and Ontario, an exemption referred to in subsection (1) is granted under the statute referred to in Appendix B of Regulation 14-101 respecting Definitions (chapter V-1.1, r. 3), opposite the name of the local jurisdiction.

Transition

- 7. (1) Despite section 5, a person required to pay a system fee under Item 1 of Appendix A or under Appendix B must pay the fee through NRD, as defined in Regulation 31-102 respecting National Registration Database (chapter V-1.1, r. 9), until Regulation 13-103 respecting the System for Electronic Data Analysis and Retrieval + (SEDAR +), approved by ministerial order no. 2023-10 dated 18 May 2023, requires that the person transmit, through SEDAR +, a filing of a type described in Item 1 of Appendix A or in Appendix B.
- (2) Despite section 3, a person is not required to pay a system fee under Item 2 of Appendix A until Regulation 13-103 respecting the System for Electronic Data Analysis and Retrieval + (SEDAR +) requires that the person transmit, through SEDAR +, a filing of a type described in Item 2 of Appendix A.

Repeal

8. Regulation 13-102 respecting System Fees for SEDAR and NRD (chapter V-1.1, r. 2.1) is repealed.

Effective date

9. This Regulation comes into force on the fifteenth day following its publication in the *Gazette officielle du Québec*.

APPENDIX A SYSTEM FEES

In this Appendix,

"application" means a request transmitted through SEDAR + for a decision of the regulator or securities regulatory authority but, for greater certainty, does not include a pre-filing;

"pre-filing" means a request to consult with the principal regulator regarding the application of securities legislation or securities directions generally or the application of securities legislation or a direction to a particular transaction or matter or proposed transaction or matter.

Item	Column A Person required to file	Column B Filing Type	Column C System Fee
1		Application for registration or reactivation of registration	\$86
2	International dealer or international adviser	Annual notice of reliance on exemption from dealer registration requirement or adviser registration requirement	
3	Investment fund that is a reporting issuer	Annual financial statements	\$525
4	Investment fund	Preliminary, pro forma, or combined preliminary and pro forma long form prospectus	\$2200, regardless of whether the applicable long form prospectus relates to the distribution of the securities of one or more than one investment fund
		Preliminary, pro forma, or combined preliminary and pro forma simplified prospectus	\$2200, regardless of whether the applicable simplified prospectus relates to the distribution of the securities of one or more than one investment fund

Item	Column A Person required to file	Column B Filing Type	Column C System Fee
5	Reporting issuer other than an investment fund	Annual financial statements	\$765
6	Reporting issuer, other than an investment fund, that is not a short form prospectus issuer		\$430
7	Investment fund that is not a short form prospectus issuer		\$430
8	Reporting issuer that is a short form prospectus issuer		\$2530
9	Issuer other than an investment fund	Preliminary long form prospectus Preliminary prospectus governed by a CPC instrument	\$950
		Preliminary short form prospectus, preliminary shelf prospectus or preliminary MJDS prospectus	\$1500
10	All filers	Issuer bid circular filed under Part 2 of Regulation 62-104 respecting Take-Over Bids and Issuer Bids (chapter V-1.1, r. 35) or take-over bid circular filed under Part 2 of Regulation 62-104 respecting Take-Over Bids and Issuer Bids	\$350
11	Issuer, other than an investment fund	Rights offering circular	\$1500

12	All filers	Report of exempt distribution	\$40
13	All filers	Pre-filing that is transmitted through SEDAR +	\$350
14	All filers	Application that is required to be transmitted through SEDAR + under Regulation 13-103 respecting the System for Electronic Data Analysis and Retrieval + (SEDAR +), approved by ministerial order no. 2023-10 dated 18 May 2023, (a) if a pre-filing referred to in Item 13 was previously transmitted in respect of the application, and (b) in any other case.	\$0

APPENDIX B SYSTEM FEES

		Column C System Fee
Sponsoring firm – in respect of each individual registrant sponsored by the firm	_	\$86

106288

Gouvernement du Québec

O.C. 881-2023, 24 May 2023

Code of Civil Procedure (chapter C-25.01)

Mediation of small claims —Amendment

Regulation to amend the Regulation respecting the mediation of small claims

WHEREAS, under the first paragraph of article 556 of the Code of Civil Procedure (chapter C-25.01), the court clerk informs the parties at the earliest opportunity that they may at no additional cost submit their dispute to mediation, if the parties consent to mediation, they may request the court clerk to refer them to the mediation service and, in that case, the mediation session is presided over by a lawyer or a notary, certified as a mediator by their professional order;

WHEREAS, under paragraph 2 of article 570 of the Code, the Government, by regulation, may establish a tariff of professional fees payable to certified mediators by the mediation service, and the maximum number of sessions for which a mediator may be paid fees in relation to the same application;

WHEREAS, under paragraph 3 of article 570 of the Code, the Government, by regulation, may establish special rules and obligations with which certified mediators must comply in the exercise of their functions, as well as the sanctions applicable for non-compliance;

Whereas, in accordance with sections 10 and 11 of the Regulations Act (chapter R-18.1), a draft Regulation to amend the Regulation respecting the mediation of small claims was published in Part 2 of the *Gazette officielle du Québec* of 25 January 2023 with a notice that it could be made by the Government on the expiry of 45 days following that publication;

WHEREAS it is expedient to make the Regulation with amendments:

It is ordered, therefore, on the recommendation of the Minister of Justice:

THAT the Regulation to amend the Regulation respecting the mediation of small claims, attached to this Order in Council, be made.

YVES OUELLET Clerk of the Conseil exécutif

Regulation to amend the Regulation respecting the mediation of small claims

Code of Civil Procedure (chapter C-25.01, arts. 556 and 570)

- **1.** Section 4 of the Regulation respecting the mediation of small claims (chapter C-25.01, r. 0.6) is amended by replacing "to a mediator" in the first paragraph by "to only one mediator".
- **2.** Section 5 is amended by inserting "or mediation sessions" after "mediation session" in the first paragraph.
- **3.** Section 7 is amended by replacing "wait a minimum of 30 minutes after the scheduled time for the mediation session to begin before cancelling" in the first paragraph by "cancel".
- **4.** Section 9 is amended by replacing "session was held" in the first paragraph by "session or sessions were held".
- **5.** Section 13 is replaced by the following:
- "13. The fees payable to a mediator for the carrying out of a mediation mandate are \$121 per hour for a maximum of 3 hours, including any work performed outside the sessions in connection with the mediation."
- **6.** The following is added after section 13:
- "13.0.1. Where a mediation session cannot be held by reason of a failure by one party, the mediator is entitled to fees for the work performed outside the sessions.
- **13.1.** The mediator may work additional hours to carry out a mediation mandate, including any work performed outside the sessions in connection with the mediation, at the parties' expense. In such a case, the fees payable to a mediator are \$121 per hour."
- **7.** Section 14 is replaced by the following:
- **"14.** A mediator who goes to a courthouse at the court's request and to whom no mediation mandate is assigned is entitled to fees equal to 1 hour of mediation.".
- **8.** This Regulation comes into force on the fifteenth day following the date of its publication in the *Gazette officielle du Ouébec*.

106287

M.O., 2023

Order 2023-18 of the Minister of Transport and Sustainable Mobility dated 18 May 2023

Highway Safety Code (chapter C-24.2)

Amendment to the Order respecting motorized mobility aids

THE MINISTER OF TRANSPORT AND SUSTAINABLE MOBILITY.

Considering section 633.2 of the Highway Safety Code (chapter C-24.2), which provides that the Minister of Transport and Sustainable mobility may, by order and after consultation with the Société de l'assurance automobile du Québec, suspend the application of a provision of the Code or the regulations for the period specified by the Minister, if the Minister considers that it is in the interest of the public and is not likely to compromise highway safety;

CONSIDERING that section 633.2 of the Code also provides that the Minister may prescribe any rule, applicable when using the exemption, that ensures an equivalent level of safety in the Minister's opinion;

CONSIDERING that section 633.2 of the Code provides that the publication requirement set out in section 8 of the Regulations Act (chapter R-18.1) does not apply to an order made under section 633.2;

CONSIDERING the Order respecting motorized mobility aids (chapter C-24.2, r. 1.01);

Considering that the Minister considers that the suspension of the obligation for the driver of a road vehicle to comply with the reasonable distance prescribed by section 341 of the Highway Safety Code in certain circumstances is no longer in the interest of the public;

Considering that the Minister considers that the amendments to the rules prescribed by the Minister applicable when using the exemptions provided for in the Order respecting motorized mobility aids ensure an equivalent level of safety;

CONSIDERING that the Société de l'assurance automobile du Québec has been consulted on the amendments to the Order;

Considering that it is expedient to amend the Order respecting motorized mobility aids;

ORDERS AS FOLLOWS:

1. The Order respecting motorized mobility aids (chapter C-24.2, r. 1.01) is amended in section 1 by replacing the definition of "health professional" in the first paragraph by the following:

""health professional" means

- (1) a chiropractor;
- (2) an occupational therapist;
- (3) a specialized nurse practitioner;
- (4) a physician;
- (5) a physiotherapist.".
- 2. Sections 20 and 21 are amended
- (1) by replacing "prescribing the use of" in the first paragraph by "confirming the need to use";
- (2) by replacing the word "prescription" wherever it appears by the word "attestation".
 - **3.** Section 39 is revoked.
 - **4.** Schedule I is replaced by the following:

SCHEDULE I

(ss. 20 and 21)

ATTESTATION OF THE RECOMMENDATION TO USE A MOTORIZED MOBILITY AID WHOSE WIDTH EXCEEDS 75 CM OR A WHEELCHAIR PROPELLED BY AN ELECTRIC MOTOR AND THAT IS OPERATED STANDING UP

l .		
	(first and last names of professional)	(number of licence to practise)
practisino	g as a	
	chiropractor; occupational therapist; specialized nurse practitioner; physician; physiotherapist;	
recomme	end that	
		,
	(first and last names of patient)	(date of birth)
	use a motorized mobility aid whose width exceeds 7 use a wheelchair propelled by an electric motor and up.	
The reco	mmendation is	
	for a temporary period, namely, untilabsence of an end date, the attestation is valid for five ye perpetual.	ears); (in the
In	, this day of 20_	,
(signature of	health professional)	
(name of ins	titution or clinical site)	
(telephone n	umber)	
(mailing add	ress)	
Event for th	a signature of the professional this attractation must be completed in print	or block letters

".

5. This Order comes into force on the fifteenth day following the date of its publication in the *Gazette officielle du Québec*.

Québec, 18 May 2023

GENEVIÈVE GUILBAULT
Minister of Transport and Sustainable Mobility

106280

M.O., 2023

Order 2023-002 of the Minister of Higher Education dated 17 May 2023

General and Vocational Colleges Act (chapter C-29)

Regulation to amend the Regulation respecting certain conditions of employment of senior staff of general and vocational colleges

THE MINISTER OF HIGHER EDUCATION,

CONSIDERING section 18.1 of the General and Vocational Colleges Act (chapter C-29);

Considering the making of the Regulation respecting certain conditions of employment of senior staff of general and vocational colleges by the Minister's Order dated 17 June 2005 approved by the Conseil du trésor on 21 June 2005 (T.B. 202574) and its amendments;

CONSIDERING that it is expedient to amend the existing Regulation and to make the Regulation attached to this Order;

CONSIDERING that authorization has been obtained from the Conseil du trésor in accordance with section 18.1 of the General and Vocational Colleges Act;

CONSIDERING that the Regulations Act (chapter R-18.1) is not applicable to such a regulation;

ORDERS AS FOLLOWS:

The Regulation to amend the Regulation respecting certain conditions of employment of senior staff of general and vocational colleges, attached to this Order, is hereby made.

Québec, 17 May 2023

Pascale Déry Minister of Higher Education

Regulation to amend the Regulation respecting certain conditions of employment of senior staff of general and vocational colleges

General and Vocational Colleges Act (chapter C-29, s. 18.1)

- **1.** The Regulation respecting certain conditions of employment of senior staff of general and vocational colleges is amended in section 1
 - (1) by striking out the definition of "local committee";
- (2) by replacing "l'Éducation et de l'Enseignement supérieur" in the definition of "Ministry" by "l'Enseignement supérieur, de la Recherche, de la Science et de la Technologie";
- (3) by replacing "Responsible for Higher Education" in the definition of "Minister" by "of Higher Education, Research, Science and Technology";
- (4) by inserting "school service centres," after "colleges," in paragraph 3 of the definition of "public and parapublic sectors";
 - (5) by inserting the following in alphabetical order:

""local branch" means the aggregate of the senior staff of a college who are members of the Association;".

- **2.** Section 4 is amended by inserting ", including various paid leaves," after "cash benefit".
- **3.** Section 12 is amended by adding the following paragraphs at the end:

"He shall inform the Association of the reception of a special evaluation request and shall notify the Association of his decision concerning the job classification and the classification of the position.

The evaluation and determination of the salary scale is the Minister's responsibility.".

- **4.** Section 18 is amended by replacing "campus or collegial studies centre" by "collegial studies centre or campus".
- **5.** Sections 19 and 19.1 are replaced by the following:
- "19. The salary of a person who was not employed by a college shall be determined on the basis of the person's education and experience without exceeding the maximum rate of the applicable salary scale, taking into account, where applicable, the application of section 19.1.

The salary of a person already employed by a college as senior staff member or in another class of staff shall be determined by adding to the salary the person was receiving 10% of the maximum of the new salary scale applicable to the person which salary must be situated between the minimum and maximum rates of the new salary scale, taking into account, where applicable, the application of section 19.1.

19.1. The maximum salary of a senior staff member with a successfully completed master's degree in a recognized institution and whose field of study is pertinent or related to the position is equivalent to 102.5% of the maximum of the salary scale for the class of his position.

The maximum salary of a senior staff member with a successfully completed doctoral degree in a recognized institution and whose field of study is pertinent or related to the position is equivalent to 105% of the maximum of the salary scale for the class of his position.

The senior staff member who has master's and doctoral degrees cannot receive both salary rates prescribed by the preceding paragraphs.

The additional remuneration is part of the salary defined in section 1.

The senior staff member who receives the salary prescribed by any of the preceding paragraphs is not considered as overscale.".

- **6.** Section 25 is amended by replacing "in the management policy" in the second paragraph by "in section 45.1 of this Regulation".
- **7.** Section 30 is amended
 - (1) by replacing "4.0%" by "4.5%";
- (2) by adding ", taking into account, where applicable, the application of section 19.1." at the end.
- **8.** The heading of Division V of Chapter IV is amended by replacing "positions" by "responsibilities".
- **9.** Section 36 is replaced by the following:
- **"36.** Where a senior staff position is vacant, a college shall grant a premium to a senior staff member who temporarily performs, in addition to his usual duties, part or all of the responsibilities of that position during more than two consecutive months. Such premium cannot exceed 10% of the salary of his position. It is paid to a senior staff

member who has held the responsibilities of the vacant position in addition to his usual duties during more than two consecutive months, but it is calculated as of the first day of the combination of the responsibilities.

If the responsibilities of the vacant position are held by a number of senior staff members, in addition to their usual duties, the premium distributed among the senior staff members cannot exceed 15% of the maximum of the salary scale of the vacant position.

The premium shall be paid according to the same terms and conditions as those for the payment of his salary, until the end of the combination of the responsibilities.

The premium for the temporary combination of responsibilities shall not apply to the replacement of a senior staff member during his period of annual vacation.

The college shall inform the Ministry, according to the modalities determined by the Minister, of the payment of the premium to one or more senior staff members for a period of more than twelve months.

The college may not, during the period of vacancy of the position, designate another senior staff member or interrupt that period for the sole purpose of circumventing the application of this section.

The college's decision to share the responsibilities of the position between a number of senior staff members cannot be subject to a recourse referred to in Chapter XV of this Regulation. The same applies to the allocation of the salary percentage, determined by the college, between the senior staff members."

10. The following is inserted after section 38.2:

"DIVISION VIII RECOGNITION MEASURE

38.3. A senior staff member shall be entitled to a recognition measure for the periods concerned in accordance with the terms and conditions prescribed by Schedule V to this Regulation.

The recognition measure is not deemed to be salary and is not part of the pensionable salary for the purposes of pension plans.".

11. The heading of Chapter VI is amended by adding "AND LEAVES" at the end.

12. Sections 44 and 44.1 are replaced by the following:

"DIVISION I

ANNUAL VACATION

44. A senior staff member shall be entitled to a period of annual vacation paid according to the years of continuous service completed in a school service centre, a school board or a college on 31 May of each year, according to the following:

Number of years of continuous service	Number of days of annual vacation
Less than one year	2.5 days per month of continuous service, up to 30 days
1 year	30 days
2 years	31 days
3 years	32 days
4 years	33 days
5 years	34 days
6 years or more	35 days

- **44.1.** A disabled senior staff member who is receiving a short-term salary insurance plan benefit provided for in Division II of Chapter VII accumulates vacation days as if the senior staff member were at work for a total period of twelve (12) months from the beginning of the disability. The same applies to absences without pay of more than twenty days, subject to the chapter on parental rights.".
- **13.** The following is inserted after section 45:

"DIVISION II

STATUTORY HOLIDAYS AND PERSONAL HOLIDAYS

45.1. A senior staff member is entitled to the same number of statutory holidays and personal holidays as the unionized professional personnel of the college.

DIVISION III

IN-SERVICE TRAINING LEAVE, PROFESSIONAL ACTIVITY LEAVE AND RENEWAL LEAVES

45.2. Upon agreement with the college, a senior staff member may be absent to take part in an in-service training activity, a professional activity or for renewal.

DIVISION IV

LEAVE FOR PUBLIC RESPONSIBILITIES

45.3. A senior staff member is entitled to leave without pay to be a candidate in a provincial election or any other elective public office.

A senior staff member who benefits from such leave must agree on the terms and conditions for leaving and returning to work with the college.".

- **14.** Section 197 is amended by replacing "of the executive" in the first paragraph by "of the board of directors".
- **15.** Section 211 is amended by striking out the second paragraph.
- **16.** Section 262 is amended
- (1) by replacing what follows the last dash in subparagraph 3 of the first paragraph by the following:

"telework, where the nature of the position allows it";

- (2) by replacing subparagraph 4 of the first paragraph by the following:
 - "(4) fringe benefits, including:
- -the terms and conditions for taking annual vacation, subject to Chapter VI;
 - leaves without pay;
 - -time management;";
- (3) by replacing "the local committee" in the second paragraph by "the local branch".
- **17.** The following is inserted after section 262.4:

"CHAPTER XVI.2

ASSESSMENT TO A PROFESSIONAL ORDER

262.5. A senior staff member is entitled to the refund of the assessment to a professional order where it is required for the position held.".

18. Schedule I is replaced by the following:

SCHEDULE I CLASSIFICATION OF SENIOR STAFF POSITIONS¹

Employment group	Class ²	
DIRECTORS		
Director of services	8, 9 or 10	
Center director	8 or 9	
Director of college centre for transfer of technology	8, 9 or 10	
Coordinators		
Deputy academic dean	6, 7, 8 or 9	
Coordinators of services or assistant director of services	6 or 7	
Coordinator of continuing education	7 or 8	
Managers		
Administratives services supervisor	5	
General superintendent	5	
Superintendent	4	
Maintenance foreman	3	
Administrative officer	3	

"

^{1.} Where the principal and usual duties of a senior staff position do not correspond to any of the employment groups found in the Plan de classification des emplois types et Guide de classement des postes de cadre pour le personnel d'encadrement des collèges d'enseignement général et professionnel, sections 11 and 12 of the Regulation apply.

^{2.} Where more than one class applies to the same position, the classification of that position shall be determined by applying the classification criteria prescribed in the Plan de classification des emplois types et Guide de classement des postes de cadre pour le personnel d'encadrement des collèges d'enseignement général et professionnel.

19. Schedule II is amended

(1) by inserting the following paragraph after the first paragraph of section 1:

"In addition to the foregoing, the salary scales in force on 31 March 2022 for employment classes 6 to 10 are increased by an additional 0.75% applicable as of 1 April 2022.";

(2) by replacing section 2 by the following:

2. Salary scales
Senior staff
(Annual rates)

Class	Rate 2020- to 2021-	04-01 o		04-01	Rate 2022- tr 2022-	03-31	2022-	of
	Minimum ``	Maximum	Minimum	Maximum	<u>Minimum</u>	Maximum	<u>Minimum</u>	Maximum
10	96 067	128 085	97 988	130 647	97 988	130 647	100 698	134 259
9	90 815	121 083	92 631	123 505	92 631	123 505	95 193	126 920
8	85 849	114 463	87 566	116 752	87 566	116 752	89 987	119 980
7	80 233	106 976	81 838	109 116	81 838	109 116	84 101	112 133
6	74 985	99 977	76 485	101 977	76 485	101 977	78 600	104 797
5	70 079	93 437	71 481	95 306	71 481	95 306	72 911	97 212
4	64 029	85 370	65 310	87 077	65 310	87 077	66 616	88 819
3	58 501	77 999	59 671	79 559	59 671	79 559	60 864	81 150
2	53 450	71 265	54 519	72 690	54 519	72 690	55 609	74 144
1	48 836	65 113	49 813	66 415				

20. The following is added at the end:

"SCHEDULE V RECOGNITION MEASURE

- 1. A senior staff member is entitled to a recognition measure corresponding,
 - (1) for the period from 1 April 2020 to 31 March 2021,
- (a) to \$250 if the senior staff member has completed between three and six months less one day of service;
- (b) to \$500 if the senior staff member has completed between six and nine months less 1 day of service;
- (c) to \$1,000 if the senior staff member has completed nine months of service or more;
 - (2) for the period from 1 April 2021 to 31 March 2022,
- (a) to \$500 if the senior staff member has completed between three and six months less 1 day of service;

- (b) to \$1,025 if the senior staff member has completed between six and nine months less 1 day of service;
- (c) to \$2,045 if the senior staff member has completed nine months of service or more.
- 2. For the purpose of calculating the completed period of service provided for in this Schedule, the periods during which the senior staff member received salary insurance benefits, maternity, paternity or adoption leave benefits under the Québec Parental Insurance Plan, indemnities paid by the senior staff member's employer in the case of parental leave or an industrial accident, and indemnities paid by the Commission des normes, de l'équité, de la santé et de la sécurité du travail and by the Société de l'assurance automobile du Québec, and the financial assistance paid in accordance with the assistance plan established under the Act to assist persons who are victims of criminal offences and to facilitate their recovery (chapter P-9.2.1), where applicable, are taken into account."

"

- **21.** The Regulation is amended by replacing the words "local committee" wherever they appear by "local branch", with the necessary modifications.
- **22.** This Regulation comes into force on the day of its publication in the *Gazette officielle du Québec*, except
 - (1) section 18 which comes into force on 31 March 2022;
 - (2) sections 7 which comes into force on 1 April 2023;
- (3) section 12 insofar as it replaces section 44 of the Regulation respecting certain conditions of employment of senior staff of general and vocational colleges, which comes into force on 1 June 2023;
- (4) sections 6 and 13, which come into force on 1 July 2023.

106273

M.O., 2023-05

Order number D-9.2-2023-05 of the Minister of Finance, May 17, 2023

Act respecting the distribution of financial products and services (chapter D-9.2)

CONCERNING Regulation to amend the Regulation respecting firms, independent representatives and independent partnerships

WHEREAS section 196 of the Act respecting the distribution of financial products and services (chapter D-9.2) provides that the *Autorité des marchés financiers* may determine by regulation, for each sector and class of sectors, the requirements with which the liability insurance contracts of firms, representatives acting on behalf of a firm without being employees, independent representatives and independent partnerships must be consistent and that the regulation may, in particular, prescribe the extent of coverage, the amount covered per claim, the amount of the deductible and the notice that must be given before a contract is cancelled, or prescribe the formulations of a standard policy;

WHEREAS paragraph 8 of section 223 of such Act provides that the *Autorité des marchés financiers* may, by regulation, determine for each sector, the rules relating to the keeping of records and the register of commissions;

WHEREAS the first and the second paragraphs of section 194 of such Act provide, in particular, that the *Autorité des marchés financiers* shall publish its draft regulations in the information bulletin and every draft regulation must be published with a notice stating the time that must elapse before the draft regulation may be made or be submitted for approval, and stating the fact that any interested person may, during that time, submit comments to the person designated in the notice;

Whereas the first and the third paragraphs of section 217 of such Act provide, in particular, that a regulation made by the *Autorité des marchés financiers* under this Act must be submitted to the Minister of Finance for approval with or without amendment, that such regulation may not be submitted for approval before 30 days have elapsed since its publication as a draft and that such regulation comes into force on the date of its publication in the *Gazette officielle du Québec* or on any later date specified in this regulation;

Whereas the draft Regulation to amend the Regulation respecting firms, independent representatives and independent partnerships was published in the *Bulletin de l'Autorité des marchés financiers*, volume 19, no. 48 of December 8, 2022:

WHEREAS the *Autorité des marchés financiers* made, on April 27, 2023, by the decision no. 2023-PDG-0019, Regulation to amend the Regulation respecting firms, independent representatives and independent partnerships;

WHEREAS there is cause to approve this regulation without amendment;

CONSEQUENTLY, the Minister of Finance approves without amendment the Regulation to amend the Regulation respecting firms, independent representatives and independent partnerships appended hereto.

May 17, 2023

Eric Girard

Minister of Finance

Regulation to amend the Regulation respecting firms, independent representatives and independent partnerships

Act respecting the distribution of financial products and services (chapter D-9.2, s. 196 and s. 223, par. (8))

- **1.** Section 16 of the Regulation respecting firms, independent representatives and independent partnerships (chapter D-9.2, r. 2) is amended by inserting "to the records on representatives" outside activities referred to in subdivision 2.1 and" after "modifications.".
- **2.** The Regulation is amended by inserting the following after section 21.1:
- "§2.1. Records on representatives' outside activities
- **"21.2.** A firm must keep a record on the outside activities, within the meaning of section 5.1 of the Regulation respecting the pursuit of activities as a representative (chapter D-9.2., r. 10), pursued by each representative who acts on its behalf. An independent partnership must keep such a record in respect of each of its partners and each of the representatives who are employed by it. An independent representative must keep such a record in respect of his outside activities.

Such a record must include the following documents and information:

- (1) a description of the outside activity;
- (2) if applicable, the representative's declaration of pursuit of outside activity;
- (3) the start date and end date, if known, of the outside activity;
- (4) the actions taken, if applicable, by the firm or independent partnership to ensure that the representative acts on its behalf in accordance with the Act respecting the distribution of financial products and services (chapter D-9.2) and the actions taken by the independent representative to ensure that he acts in accordance with the Act.

- **3.** Section 29 of the Regulation is amended, in the first paragraph:
- (1) by replacing "Except regarding the category of claims adjuster employed by an insurer, the" by "The" in the introductory clause;
 - (2) in subparagraph 3:
- (a) by inserting, in subparagraphs a to c, "including gross fault," after "fault,";
- (b) by replacing, in subparagraph d, "from the time the firm, independent representative or independent partnership was struck off or suspended from the Authority's roll" by "from the time the registration of the firm, independent representative or independent partnership is revoked, cancelled or suspended, as the case may be, whether or not the firm or independent partnership has been dissolved or whether or not the person has died";
 - (c) by adding the following subparagraph at the end:
- "(h) that the contract is considered to include coverage at least equal to the coverage required by the law applicable in Québec and to satisfy the conditions set out in this Regulation.".
- **4.** A professional liability insurance contract made or renewed by a firm, independent representative or independent partnership must be compliant with section 29 of the Regulation respecting firms, independent representatives and independent partnerships (chapter D-9.2, r. 2), as amended by paragraph 2 of section 3 of this Regulation:
- (1) on the date that immediately follows the date that is 12 months after the making or renewal of the contract, in cases where the contract is made or renewed between 1 June 2023 and 30 September 2023; or
 - (2) on 1 June 2024, in all other cases.
- **5.** This Regulation comes into force on 1 June 2023, except for sections 1 and 2, which come into force on 2 December 2023.

Order number D-9.2-2023-06 of the Minister of Finance, May 17, 2023

Act respecting the distribution of financial products and services (chapter D-9.2)

CONCERNING Regulation to amend the Regulation respecting the issuance and renewal of representatives' certificates

WHEREAS paragraph 9 of section 200 of the Act respecting the distribution of financial products and services (chapter D-9.2) provides that the *Autorité des marchés financiers* may, for each discipline, determine by regulation the information and documents that a representative or prospective representative must furnish;

Whereas paragraph 6 of section 203 of such Act provides that the *Autorité des marchés financiers* may, for each sector, make regulations to determine the manner in which and time within which the Authority must be informed by a representative of any change affecting the information entered in the register in respect of that representative;

WHEREAS the first and the second paragraphs of section 194 of such Act provide, in particular, that the *Autorité des marchés financiers* shall publish its draft regulations in the information bulletin and every draft regulation must be published with a notice stating the time that must elapse before the draft regulation may be made or be submitted for approval, and stating the fact that any interested person may, during that time, submit comments to the person designated in the notice;

WHEREAS the first and the third paragraphs of section 217 of such Act provide, in particular, that a regulation made by the *Autorité des marchés financiers* under this Act must be submitted to the Minister of Finance for approval with or without amendment, that such regulation may not be submitted for approval before 30 days have elapsed since its publication as a draft and that such regulation comes into force on the date of its publication in the *Gazette officielle du Québec* or on any later date specified in this regulation;

WHEREAS the draft Regulation to amend the Regulation respecting the issuance and renewal of representatives' certificates was published in the *Bulletin de l'Autorité des marchés financiers*, volume 19, no. 48 of December 8, 2022;

WHEREAS the *Autorité des marchés financiers* made, on April 27, 2023, by the decision no. 2023-PDG-0020, Regulation to amend the Regulation respecting the issuance and renewal of representatives' certificates;

WHEREAS there is cause to approve this regulation without amendment;

CONSEQUENTLY, the Minister of Finance approves without amendment the Regulation to amend the Regulation respecting the issuance and renewal of representatives' certificates appended hereto.

May 17, 2023

Eric Girard Minister of Finance

Regulation to amend the Regulation respecting the issuance and renewal of representatives' certificates

Act respecting the distribution of financial products and services (chapter D-9.2, s. 200, par. (9) and s. 203, par. (6))

- 1. Section 37 of the Regulation respecting the issuance and renewal of representatives' certificates (chapter D-9.2, r. 7) is amended by inserting "or, in the case of a change to information pertaining to the pursuit of an outside activity, within the meaning of the second paragraph of section 5.1 of the Regulation respecting the pursuit of activities as a representative (chapter D-9.2, r. 10), within 30 days of such change" in the first paragraph after "of such change".
- 2. Section 62 of the Regulation is amended by inserting "or, in the case of a change to information pertaining to the pursuit of an outside activity, within the meaning of the second paragraph of section 5.1 of the Regulation respecting the pursuit of activities as a representative (chapter D-9.2, r. 10), within 30 days of such change" at the end.
- **3.** This Regulation comes into force on 2 December 2023.

Order number D-9.2-2023-09 of the Minister of Finance, May 17, 2023

Act respecting the distribution of financial products and services (chapter D-9.2)

CONCERNING Regulation to amend the Regulation respecting the keeping and preservation of books and registers

WHEREAS paragraph 12 of section 223 of the Act respecting the distribution of financial products and services (chapter D-9.2) provides that the *Autorité des marchés financiers* may, by regulation, determine for each sector the rules relating to the use, conservation and destruction of the records, books and registers to be kept by firms, independent representatives and independent partnerships;

WHEREAS the first and the second paragraphs of section 194 of such Act provide, in particular, that the *Autorité des marchés financiers* shall publish its draft regulations in the information bulletin and every draft regulation must be published with a notice stating the time that must elapse before the draft regulation may submitted for approval, and stating the fact that any interested person may, during that time, submit comments to the person designated in the notice;

WHEREAS the first and the third paragraphs of section 217 of such Act provide, in particular, that a regulation made by the *Autorité des marchés financiers* under this Act must be submitted to the Minister of Finance for approval with or without amendment, that such regulation may not be submitted for approval before 30 days have elapsed since its publication as a draft and that such regulation comes into force on the date of its publication in the *Gazette officielle du Québec* or on any later date specified in this regulation;

WHEREAS the draft Regulation to amend the Regulation respecting the keeping and preservation of books and registers was published in the *Bulletin de l'Autorité des marchés financiers*, volume 19, no. 48 of December 8, 2022;

WHEREAS the *Autorité des marchés financiers* made, on April 27, 2023, by the decision no. 2023-PDG-0023, Regulation to amend the Regulation respecting the keeping and preservation of books and registers;

Whereas there is cause to approve this regulation without amendment:

CONSEQUENTLY, the Minister of Finance approves without amendment the Regulation to amend the Regulation respecting the keeping and preservation of books and registers appended hereto.

May 17, 2023

ERIC GIRARD

Minister of Finance

Regulation to amend the Regulation respecting the keeping and preservation of books and registers

Act respecting the distribution of financial products and services (chapter D-9.2, s. 223, par. (12))

- **1.** The Regulation respecting the keeping and preservation of books and registers (chapter D 9.2, r. 19) is amended by inserting the following section after section 15:
- "15.1. All firms, independent representatives or independent partnerships shall preserve their records on representatives' outside activities referred to in subdivision 2.1 of Division II of the Regulation respecting firms, independent representatives and independent partnerships (chapter D-9.2, r. 2) for a period of at least five years from the date on which the representative ceases to act on their behalf or ceases to act as an independent representative, as the case may be."
- **2.** Section 16 is amended by inserting "or records on representatives' outside activities" after "client records".
- **3.** This Regulation comes into force on 2 December 2023.

Order number D-9.2-2023-07 of the Minister of Finance, May 17, 2023

Act respecting the distribution of financial products and services (chapter D-9.2)

CONCERNING Regulation to amend the Regulation respecting the pursuit of activities as a representative

WHEREAS section 196 of the Act respecting the distribution of financial products and services (chapter D-9.2) provides that the Autorité des marchés financiers may determine by regulation, for each sector and class of sectors, the requirements with which the liability insurance contracts of firms, representatives acting on behalf of a firm without being employees, independent representatives and independent partnerships must be consistent and that the regulation may, in particular, prescribe the extent of coverage, the amount covered per claim, the amount of the deductible and the notice that must be given before a contract is cancelled, or prescribe the formulations of a standard policy;

WHEREAS paragraph 2 of section 202 of such Act provides that the *Autorité des marchés financiers* may, for each sector, determine by regulation the conditions and restrictions that apply to the pursuit of activities as a representative;

WHEREAS the first and the second paragraphs of section 194 of such Act provide, in particular, that the *Autorité des marchés financiers* shall publish its draft regulations in the information bulletin and every draft regulation must be published with a notice stating the time that must elapse before the draft regulation may be made or be submitted for approval, and stating the fact that any interested person may, during that time, submit comments to the person designated in the notice;

WHEREAS the first and the third paragraphs of section 217 of such Act provide, in particular, that a regulation made by the *Autorité des marchés financiers* under this Act must be submitted to the Minister of Finance for approval with or without amendment, that such regulation may not be submitted for approval before 30 days have elapsed since its publication as a draft and that such regulation comes into force on the date of its publication in the *Gazette officielle du Québec* or on any later date specified in this regulation;

WHEREAS the draft Regulation to amend the Regulation respecting the pursuit of activities as a representative was published in the *Bulletin de l'Autorité des marchés financiers*, volume 19, no. 48 of December 8, 2022;

WHEREAS the *Autorité des marchés financiers* made, on April 27, 2023, by the decision no. 2023-PDG-0021, Regulation to amend the Regulation respecting the pursuit of activities as a representative;

WHEREAS there is cause to approve this regulation without amendment;

CONSEQUENTLY, the Minister of Finance approves without amendment the Regulation to amend the Regulation respecting the pursuit of activities as a representative appended hereto.

May 17, 2023

ERIC GIRARD

Minister of Finance

Regulation to amend the Regulation respecting the pursuit of activities as a representative

Act respecting the distribution of financial products and services (chapter D-9.2, s. 196 and s. 202, par. (2))

- **1.** Division II of the Regulation respecting the pursuit of activities as a representative (chapter D 9.2, r. 10), consisting of sections 2 and 3, is repealed.
- **2.** The Regulation is amended by inserting the following after section 5:
- **"§1.1.** Rules specific to the pursuit of outside activities by a representative
- **"5.1.** A representative may pursue an outside activity only in the following circumstances:
- (1) the outside activity is unlikely to be confused with the activities of a representative;
- (2) if applicable, the representative has reported the outside activity in writing to the firm or independent partnership on whose behalf he acts.

For purposes of this subdivision, "outside activity" means any occupation, function or activity, other than the activity of representative, that involves dealing with the public.

- **"5.2.** Despite section 5.1, a representative in insurance of persons or financial planner may not offer financial products and services to:
- (1) any natural person with whom the representative or financial planner has a relationship arising from an outside activity that, due to its nature or the training or specialized knowledge it requires, places the representative in a position of influence;
- (2) a person who the representative knows is the spouse of the natural person referred to in subparagraph 1, such natural person's child, the spouse's child, the natural person's mother, father, brother or sister, the spouse of the natural person's father or mother, the father or mother of the natural person's spouse or the spouse of the natural person's child.

For the purposes of the first paragraph, a representative in insurance of persons is considered to be in a position of influence when he has a relationship with a person referred to in that paragraph that arises from an outside activity as a member of the Ordre des comptables professionnels agréés, to the extent that pursuing that activity requires him to hold a public accountancy permit. Moreover, a representative in insurance of persons or a financial planner is considered to be in a position of influence when he has a relationship with a person referred to in that paragraph that arises from the outside activity of:

- (1) judge or police officer;
- (2) minister of religion or leader in a religious organization;
- (3) member of the Ordre professionnel des avocats du Québec or the Ordre professionnel des notaires du Québec, except with respect to the activities of a financial planner;
- (4) member of the Ordre professionnel des infirmières et infirmiers du Québec or the Ordre professionnel des médecins du Québec;
- (5) teacher in an educational institution at the secondary, college or university level;
- (6) funeral director or any other similar duties in the funeral services industry, except with respect to the activities of a financial planner;
 - (7) immigration and citizenship consultant;
 - (8) bankruptcy trustee;

- (9) management of a union, other than a union formed of representatives, or management of a professional association, or employee of any such organization; or
 - (10) real estate broker.
- "5.3. Despite section 5.1, a financial product or service may not be offered to a natural person or to a person who the representative knows is such natural person's spouse or child, the spouse's child, the natural person's mother, father, brother or sister, the spouse of the natural person's father or mother, the father or mother of the natural person's spouse or the spouse of the natural person's child in the following circumstances:
- (1) when a mortgage broker, representative in group insurance, damage insurance agent, damage insurance broker or claims adjuster has a relationship with that person that arises from an outside activity referred to in subparagraphs 1, 2, 5 and 7 to 9 of the second paragraph of section 5.2;
- (2) when a mortgage broker, representative in group insurance, damage insurance agent or damage insurance broker has a relationship with that person arising from an outside activity as a member of the Ordre des comptables professionnels agréés, to the extent that pursuing that activity requires him to hold a public accountancy permit, or as a member of the Ordre professionnel des avocats du Québec or the Ordre professionnel des notaires du Québec;
- (3) when a representative in group insurance, damage insurance agent, damage insurance broker or claims adjuster has a relationship with that person that arises from an outside activity as a real estate broker;
- (4) when a mortgage broker has a relationship with the natural person that arises from the outside activity of:
 - (a) money lender;
- (b) loan administrator, except where the broker acts on behalf of a natural person who wishes to enter into, or has entered into, a loan secured by immovable hypothec;
- (c) member of the Ordre professionnel des évaluateurs agréés du Québec; or
 - (d) building inspector;

- (5) when a damage insurance agent, damage insurance broker or claims adjuster has a relationship with that person that arises from the outside activity of:
- (a) vendor, lessor or repairer of road vehicles, off-road vehicles or boats;
- (b) vendor, lessor or repairer of movable property, to the extent that the product or service is specifically related to the property;
- (c) contractor within the meaning of section 7 of the Building Act (chapter B-1.1); or
- (d) provider of services required when there is an insurance loss.
- **"5.4.** Representatives who pursue an outside activity may not use privileged or confidential information to which they have access in the course of the outside activity, unless the person concerned has consented in writing to such use.
- "5.5. Subparagraph 1 of the first paragraph of section 5.1 and sections 5.2 and 5.3 do not apply to a representative whose outside activity is that of acting as a representative of a person registered as a dealer or adviser under the Derivatives Act (Chapter I-14.01) or the Securities Act (Chapter V-1.1)."
- **3.** Section 17 of the Regulation is amended in paragraph 3:
- (1) by inserting, in subparagraph *a*, "including gross fault," after "fault,";
- (2) by replacing, in subparagraph *b*, "extends beyond the period of insurance provided for therein for a further term of 5 years from the date the representative ceases to pursue activities, irrespective of whether or not he is still alive" by "will continue to apply beyond the period of insurance provided for in the contract for a further term of five years, in respect of all the activities contemplated by such coverage, from the date on which the representative ceases, temporarily or permanently, to pursue activities, whether or not he has died.";
 - (3) by adding the following subparagraph at the end:
- "(f) the contract will be considered to include coverage at least equal to the coverage required by the law applicable in Québec and to satisfy the requirements set out in this Regulation.".

- **4.** A professional liability insurance contract made or renewed by a firm, independent representative or independent partnership must be compliant with section 17 of the Regulation respecting the pursuit of activities as a representative (chapter D-9.2, r. 10), as amended by section 3 of this Regulation:
- (1) on the date that immediately follows the date that is 12 months after the making or renewal of the contract, in cases where the contract is made or renewed between 1 June 2023 and 30 September 2023; or
 - (2) on 1 June 2024, in all other cases.
- **5.** This Regulation comes into force on 1 June 2023, except for sections 1 and 2, which come into force on 2 December 2023.

106276

M.O., 2023

Order 2023-4997 of the Minister of Justice dated 17 May 2023

Civil Code; Act respecting family law reform with regard to filiation and amending the Civil Code in relation to personality rights and civil status (2022, chapter 22)

Recognition of health services and social services for the purposes of article 603.1 of the Civil Code

THE MINISTER OF JUSTICE,

Considering the first paragraph of article 603.1 of the Civil Code, which provides that a parent may, without the other parent's consent, due to a situation of family violence, which includes spousal violence, or of sexual violence, caused by that other parent, request health services or social services recognized by the Minister of Justice, for their child;

ORDERS AS FOLLOWS:

- (1) The following health services rendered by a member of a professional order are recognized for the purposes of article 603.1 of the Civil Code:
- (a) assessment, treatment and follow-up of psychological trauma;

(b) assessment, treatment and follow-up of stressrelated illnesses such as anxiety, depression or phobias;

Assessments, diagnoses, treatment and follow-up rendered by a member of a professional order with respect to one or more of the following reasons for consultation are also recognized for the purposes of article 603.1 of the Code:

- (a) for the following health problems:
- i. growth delay;
- ii. allergies;
- iii. otorhinolaryngological (ORL) disorders;
- iv. dermatological disorders;
- v. headaches:
- vi. stomach pain, abdominal pain, cramps or digestive disorders, diarrhea or constipation, ulcer;
 - vii. sleep disorders;
 - viii. eating disorders;
 - ix. gynecological disorders;
 - x. neurological disorders;
- xi. pain, lesions, genitourinary symptoms and anal symptoms;
 - (b) for the following adjustment disorders:
 - i. school phobias;
 - ii. separation anxiety;
 - iii. hyperactivity;
 - iv. irritability;
 - v. learning difficulties;
 - vi. concentration disorders;

- (c) for the following behavioural problems:
- i. aggressive or dangerous behaviour;
- ii. inappropriate sexual behaviour;
- iii. suicidal ideation or suicide attempt;
- iv. delay or regression in toilet training, language acquisition or the development of intellectual abilities;
 - v. sudden change in behaviour;
 - vi. sudden onset of fearful behaviour and phobias;
- vii. school absenteeism, academic delays and school dropout;
 - viii. social isolation and emotional dependency;
- ix. disruption of eating or sleeping habits, or abuse of alcohol, medication or drugs.
- (2) Social services, including psychosocial support services, that require the consent of the person having parental authority and are offered by the following organizations are recognized for the purposes of article 603.1 of the Civil Code:
- (a) organizations subsidized by the Government that help persons who are victims of family violence, including spousal violence, or of sexual violence, and their children;
- (b) other organizations subsidized by the Government whose mission is to help children who are victims of sexual violence.
 - (3) This Order comes into force on 17 May 2023.

Québec, 17 May 2023

Simon Jolin-Barrette *Minister of Justice*

Order number D-9.2-2023-08 of the Minister of Finance, May 17, 2023

Act respecting the distribution of financial products and services (chapter D-9.2)

CONCERNING Regulation to amend the Regulation respecting the registration of firms, representatives and independent partnerships

WHEREAS paragraph 5 of section 223 of the Act respecting the distribution of financial products and services (chapter D-9.2) provides that the *Autorité des marchés financiers* may, by regulation, determine for each sector the rules relating to maintenance of registration;

WHEREAS the first and the second paragraphs of section 194 of such Act provide, in particular, that the *Autorité des marchés financiers* shall publish its draft regulations in the information bulletin and every draft regulation must be published with a notice stating the time that must elapse before the draft regulation may be made or be submitted for approval, and stating the fact that any interested person may, during that time, submit comments to the person designated in the notice;

Whereas the first and the third paragraphs of section 217 of such Act provide, in particular, that a regulation made by the *Autorité des marchés financiers* under this Act must be submitted to the Minister of Finance for approval with or without amendment, that such regulation may not be submitted for approval before 30 days have elapsed since its publication as a draft and that such regulation comes into force on the date of its publication in the *Gazette officielle du Québec* or on any later date specified in this regulation;

WHEREAS the draft Regulation to amend the Regulation respecting the registration of firms, representatives and independent partnerships was published in the *Bulletin de l'Autorité des marchés financiers*, volume 19, no. 48 of December 8, 2022;

WHEREAS the *Autorité des marchés financiers* made, on April 27, 2023, by the decision no. 2023-PDG-0022, Regulation to amend the Regulation respecting the registration of firms, representatives and independent partnerships;

WHEREAS there is cause to approve this regulation without amendment;

CONSEQUENTLY, the Minister of Finance approves without amendment the Regulation to amend the Regulation respecting the registration of firms, representatives and independent partnerships appended hereto.

May 17, 2023

ERIC GIRARD

Minister of Finance

Regulation to amend the Regulation respecting the registration of firms, representatives and independent partnerships

Act respecting the distribution of financial products and services (chapter D-9.2, s. 223, par. (5))

- **1.** Section 2 of the Regulation respecting the registration of firms, representatives and independent partnerships (chapter D-9.2, r. 15) is amended by deleting "except in respect of an insurer intending to act through a claims adjuster in its employ," in paragraph 10.
- **2.** Section 8 of the Regulation is amended by inserting "or revoked" at the end.
- **3.** Section 10 of the Regulation is amended:
 - (1) in paragraph 2:
 - (a) in subparagraph a:
- (i) by deleting "except in respect of an insurer intending to act through claims adjusters in its employ,";
- (ii) by replacing "proof of the maintenance of the" by "a declaration pertaining to the maintenance of";
- (b) by replacing "proof of" by "a declaration pertaining to the" in subparagraph b;
 - (2) by adding the following paragraph at the end:
- "(3.1) transmit to the Authority, within 30 days of a request made by it, any proof pertaining to the insurance referred to in paragraph 2.".
- **4.** This Regulation comes into force on 1 June 2023, except for section 3, to the extent that it does not refer to subparagraph *i* of subparagraph *a* of paragraph 1, which comes into force on 27 January 2024.

Order number V-1.1-I-14.01-2023-10 of the Minister of Finance dated May 18, 2023

Securities Act (chapter V-1.1)

Derivatives Act (chapter I-14.01)

CONCERNING the Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR +)

WHEREAS paragraphs 1, 2, 3, 4.1, 8, 11 and 34 of section 331.1 of the Securities Act (chapter V-1.1) provide that the *Autorité des marchés financiers* may make regulations concerning the matters referred to in those paragraphs;

WHEREAS the third and fourth paragraphs of section 331.2 of the said Act provide that a draft regulation shall be published in the *Bulletin de l'Autorité des marchés financiers*, accompanied with the notice required under section 10 of the Regulations Act (chapter R-18.1) and may not be submitted for approval or be made before 30 days have elapsed since its publication;

WHEREAS the first and fifth paragraphs of the said section provide that every regulation made under section 331.1 must be approved, with or without amendment, by the Minister of Finance and comes into force on the date of its publication in the *Gazette officielle du Québec* or on any later date specified in the regulation;

WHEREAS subparagraph 27 of the first paragraph of section 175 of the Derivatives Act (chapter I-14.01) provides that the *Autorité des marchés financiers* may make regulations concerning the matters referred to in that subparagraph;

WHEREAS the fourth and fifth paragraphs of that section provide that a draft regulation under that section must be published in the *Bulletin de l'Autorité des marchés financiers* with the notice required under section 10 of the Regulations Act (chapter R-18.1) and may not be submitted for approval or be made before 30 days have elapsed since its publication;

WHEREAS the second and sixth paragraphs of that section provide that a regulation under the first paragraph of that section must be submitted to the Minister of Finance, who may approve it with or without amendment, and comes into force on the date of its publication in the *Gazette officielle du Québec* or on any later date specified in the regulation;

Whereas the draft regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR +) was published for consultation in the *Bulletin de l'Autorité des marchés financiers*, vol. 16, no. 17 of 2 May 2019;

WHEREAS the *Autorité des marchés financiers* made, on 27 April 2023, by the decision no. 2023-PDG-0014, Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR +);

WHEREAS there is cause to approve this Regulation without amendment;

CONSEQUENTLY, the Minister of Finance approves without amendment the Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR +) appended hereto.

May 18, 2023

Eric Girard

Minister of Finance

REGULATION 13-103 RESPECTING SYSTEM FOR ELECTRONIC DATA ANALYSIS AND RETRIEVAL + (SEDAR+)

Securities Act (chapter V-1.1, s. 331.1, par. (1), (2), (3), (4.1), (8), (11) and (34))

Derivatives Act (chapter I-14.01, s. 175, 1st par., subpar. (27))

Definitions

1. (1) In this Regulation:

"deliver" includes deposit, furnish, provide, send or submit;

"document" includes information and material that is required or permitted to be filed with or delivered to a regulator, except in Québec, or securities regulatory authority;

"profile" means a set of information providing a profile of a person;

"SEDAR+" means the system for the transmission of documents known as the System for Electronic Data Analysis and Retrieval +.

(2) In this Regulation, a reference to a document that is permitted to be filed includes an application for a decision of the regulator, except in Québec, or securities regulatory authority.

Transmission of documents through SEDAR+

2. Subject to section 3, if a person is required or permitted, under securities legislation or under a decision of the regulator, except in Québec, or securities regulatory authority, to file a document with, or deliver a document to, the regulator, except in Québec, or securities regulatory authority, the person must file or deliver the document by transmitting it through SEDAR+.

Transmission of documents outside of SEDAR+

- **3.** Unless a decision made under securities legislation provides for filing or delivery through SEDAR+, a person must not file or deliver the following through SEDAR+:
- (a) a document required or permitted to be filed with or delivered to the regulator, except in Québec, or securities regulatory authority in connection with a hearing, compliance review, proceeding or investigation;
- (b) a letter required to be delivered under subsection 4.11(8) or (9) of Regulation 51-102 respecting Continuous Disclosure Obligations (chapter V-1.1, r. 24);

- (c) a Form 51-102F3 filed on a confidential basis under subsection 7.1(2) of Regulation 51-102 respecting Continuous Disclosure Obligations, subsection 11.2(2) of Regulation 81-106 respecting Investment Fund Continuous Disclosure (chapter V-1.1, r. 42) or, in Ontario, subsection 75(3) of the Ontario Securities Act (R.S.O. 1990, c. S.5);
- (d) a notice under subsection 7.1(5) of Regulation 51-102 respecting Continuous Disclosure Obligations, subsection 11.2(4) of Regulation 81-106 respecting Investment Fund Continuous Disclosure or, in Ontario, subsection 75(4) of the Ontario Securities Act;
- (e) a notice under subsection 13.2(2) of Regulation 51-102 respecting Continuous Disclosure Obligations;
- (f) a notice under subsection 5(1) or 6(1) of Regulation 52-108 respecting Auditor Oversight (chapter V-1.1, r. 26.1);
- (g) a Form 62-104F1 filed by an offeror in respect of a take-over bid to acquire securities of an issuer that is not a reporting issuer and that has not filed a profile under subsection 4(1);
- (h) a notice under subsection 18.6(2) of Regulation 81-106 respecting Investment Fund Continuous Disclosure;
- (i) a document that a person is required or permitted to file or deliver pursuant to a provision of, or a decision of the regulator, except in Québec, or securities regulatory authority issued in respect of, securities legislation listed in Column A of the Appendix, other than the exceptions listed in Column B of the Appendix.

Profile requirements

- **4.** (1) Before a person transmits a document through SEDAR+ for the first time, the person must file a profile by transmitting it through SEDAR+.
- (2) If information contained in a profile becomes inaccurate, the person must file an updated profile with the accurate information by transmitting it through SEDAR+ at the earlier of
- (a) the next time the person transmits a document through SEDAR+ after the date on which the person knew or reasonably should have known that the information contained in the profile is inaccurate, and
- (b) ten days after the date on which the person knew or reasonably should have known that the information contained in the profile is inaccurate.

Payment of fees

5. (1) At the time that a person transmits a document through SEDAR+, a person must pay through SEDAR+:

- (a) the prescribed fee for that document, other than a fee prescribed under Regulation 13-102 respecting System Fees (chapter V-1.1, r. 2.1) or, in Manitoba, an equivalent regulation, to the regulator, except in Québec, or securities regulatory authority, and
- (b) the fee for that document prescribed under Regulation 13-102 respecting System Fees or, in Manitoba, an equivalent regulation, to the person's principal regulator if the principal regulator is the securities regulatory authority in the local jurisdiction.
- (2) For the purposes of subsection (1), if the person is transmitting through SEDAR+ a document to which Regulation 11-102 respecting Passport System (chapter V-1.1, r. 1) applies, "principal regulator" has the meaning set out in Part 3, 4, 4A, 4B or 4C of Regulation 11-102 respecting Passport System, as applicable.
- (3) For the purposes of subsection (1), if the person is transmitting through SEDAR+ a document to which Regulation 11-102 respecting Passport System does not apply, the principal regulator is the regulator, except in Québec, or securities regulatory authority that would be the principal regulator if Part 3 of Regulation 11-102 respecting Passport System applied.
- (4) Despite subsection (3), if the person is transmitting through SEDAR+ a Form 45-106F1, and the person does not have a head office in Canada or is an investment fund with an investment fund manager that does not have a head office in Canada, the principal regulator is the regulator, except in Québec, or securities regulatory authority of the jurisdiction with which the person has the most significant connection.

Temporary hardship exemption

- **6.** (1) If technical difficulties prevent a person from transmitting a document through SEDAR+ within the time required or permitted under securities legislation, the person may file the document with or deliver the document to the regulator, except in Québec, or securities regulatory authority outside of SEDAR+ no later than two business days after the date on or by which the person was required or permitted to file the document with, or deliver the document to, the regulator, except in Québec, or securities regulatory authority.
- (2) A person must include the following legend in capital letters at the top of the first page of a document filed or delivered outside of SEDAR+ in reliance on subsection (1):

"IN ACCORDANCE WITH SECTION 6 OF REGULATION 13-103 RESPECTING SYSTEM FOR ELECTRONIC DATA ANALYSIS AND RETRIEVAL + (SEDAR+), THIS [SPECIFY DOCUMENT] IS BEING FILED OR DELIVERED OUTSIDE OF SEDAR+ UNDER A TEMPORARY HARDSHIP EXEMPTION.".

- (3) If a person files or delivers a document to the regulator, except in Québec, or securities regulatory authority in the manner and within the time prescribed by this section, the person is exempt from the requirement to file or deliver the document by the date prescribed in securities legislation.
- (4) If a person files or delivers a document to the regulator, except in Québec, or securities regulatory authority outside of SEDAR+ in reliance on this section, the person must transmit the document to the regulator, except in Québec, or securities regulatory authority through SEDAR+ as soon as practicable and in any event within three business days of the date on which the technical difficulties have been resolved, and must include the following legend in capital letters at the top of the first page of the document:

"THIS DOCUMENT IS A COPY OF [SPECIFY DOCUMENT] FILED WITH OR DELIVERED TO [LIST ALL SECURITIES REGULATORY AUTHORITIES OR REGULATORS WITH WHOM THE DOCUMENT WAS FILED OR TO WHOM IT WAS DELIVERED] ON [DATE] UNDER A TEMPORARY HARDSHIP EXEMPTION UNDER SECTION 6 OF REGULATION 13-103 RESPECTING SYSTEM FOR ELECTRONIC DATA ANALYSIS AND RETRIEVAL + (SEDAR+)."

Decisions

- 7. (1) Despite paragraph 3(i), if a decision made under securities legislation requires a person to file a document with, or deliver a document to, the securities regulatory authority or regulator through the System for Electronic Document Analysis and Retrieval (SEDAR), the person must file or deliver the document by transmitting it through SEDAR+.
- (2) In British Columbia, subsection (1) does not apply.

Exemptions

- **8.** (1) The regulator, except in Québec, or securities regulatory authority may grant an exemption from this Regulation, in whole or in part, subject to such conditions or restrictions as may be imposed in the exemption.
- (2) Despite subsection (1), in Ontario only the regulator may grant an exemption from this Regulation.
- (3) Except in Alberta and Ontario, an exemption referred to in subsection (1) is granted under the statute referred to in Appendix B of Regulation 14-101 respecting Definitions (chapter V-1.1, r. 3) opposite the name of the local jurisdiction.

Repeal of former regulation

9. Regulation 13-101 respecting System for Electronic Document Analysis and Retrieval (SEDAR) (chapter V-1.1, r. 2) is repealed.

Effective date

10. This Regulation comes into force on 9 June 2023.

APPENDIX

Securities legislation pursuant to which documents must not be transmitted through SEDAR+ (Paragraph 3(i))

Column A	Column B	
Regulations pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+	
Part 4A Registration and Part 4B Application to Become a Designated Rating Organization of Regulation 11-102 respecting Passport System (chapter V-1.1, r. 1)	N/A	
Regulation 21-101 respecting Marketplace Operation (chapter V-1.1, r. 5)	N/A	
Regulation 23-101 respecting Trading Rules (chapter V-1.1, r. 6)	N/A	
Regulation 23-102 respecting Use of Client Brokerage Commissions (chapter V-1.1, r. 7)	N/A	
Regulation 23-103 respecting Electronic Trading and Direct Electronic Access to Marketplace (chapter V-1.1, r. 7.1)	N/A	
Regulation 24-101 respecting Institutional Trade Matching and Settlement (chapter V-1.1, r. 8)	N/A	
Regulation 24-102 respecting Clearing Agency Requirements (chapter V-1.1, r. 8.01)	N/A	
Regulation 25-101 respecting Designated Rating Organizations (chapter V-1.1, r. 8.1)	N/A	
Regulation 31-102 respecting National Registration Database (chapter V-1.1, r. 9)	N/A	
Regulation 31-103 respecting Registration Requirements, Exemptions and Ongoing Registrant Obligations (chapter V-1.1, r. 10)	N/A	
Regulation 32-102 respecting Registration Exemptions for Non-Resident Investment Fund Managers (chapter V-1.1, r. 10.1)	N/A	
Regulation 33-105 respecting Underwriting Conflicts (chapter V-1.1, r. 11)	N/A	
Regulation 33-109 respecting Registration Information (chapter V-1.1, r. 12)	N/A	
National Instrument 35-101: Conditional Exemption From Registration For United States Broker-Dealers and Agents (chapter V-1.1, r. 13)	N/A	

Regulation 45-108 respecting Crowdfunding (chapter V-1.1, r. 21.02)	An issuer filing or delivering a document under section 15, section 16 or section 17 An issuer filing an application for an exemption under section 44
Regulation 45-110 respecting Start-Up Crowdfunding Registration and Prospectus Exemptions (chapter V-1.1, r. 21.03)	An issuer filing a document under section 6 An issuer filing an application for an exemption under section 7
Regulation 52-107 respecting Acceptable Accounting Principles and Auditing Standards (chapter V-1.1, r. 25)	An issuer filing an application for an exemption under subsection 5.1(1)
National Instrument 55-102, System for Electronic Disclosure by Insiders (SEDI) (chapter V-1.1, r. 30)	An issuer filing an application for an exemption under subsection 6.1(1)
Regulation 55-104 respecting Insider Reporting Requirements and Exemptions (chapter V-1.1, r. 31)	An issuer filing an application for an exemption under subsection 10.1(1)
Multilateral Instrument 91-101 Derivatives: Product Determination	N/A
Regulation 91-102 respecting Prohibition of Binary Options (chapter I-14.01, r. 1.01)	N/A
Regulation 91-506 respecting Derivatives Determination (chapter I-14.01, r. 0.1)	N/A
Regulation 91-507 respecting Trade Repositories and Derivatives Data Reporting (chapter I-14.01, r. 1.1)	N/A
Regulation 94-101 respecting Mandatory Central Counterparty Clearing of Derivatives (chapter I-14.01, r. 0.01)	N/A
Regulation 94-102 respecting Derivatives: Customer Clearing and Protection of Customer Collateral and Positions (chapter I-14.01, r. 0.001)	N/A
Multilateral Instrument 96-101 Trade Repositories and Derivatives Data Reporting	N/A

Column A	Column B
British Columbia securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Exemption orders (Part 1) – section 3.1 of the Securities Act (R.S.B.C. 1996, c 418)	An issuer filing an application for an order under section 3.1 An issuer required or permitted to file or deliver a document pursuant to an exemption order
Designations (Part 1) – section 3.2 of the Securities Act	A person filing an application under paragraph 3.2(1)(b) for an order that a person or a person within a class of persons is a mutual fund, a non-redeemable investment fund or a reporting issuer An issuer required or permitted to file or deliver a document pursuant to a designation order
Benchmark Administrators, Clearing Agencies, Exchanges, Information Processors, Quotation and Trade Reporting Systems, Self-Regulatory Bodies and Trade Repositories (Part 4) – sections 23-33 of the Securities Act	N/A
Registration (Part 5) – sections 34-41 of the Securities Act	N/A
Exemption order by commission or executive director (Part 6) – section 48 of the Securities Act	A person filing an application for an exemption from the prospectus requirement
Trading in Derivatives (Part 8) – sections 58 – 60 of the Securities Act	N/A
Initial and subsequent insider report – section 87 of the Securities Act	N/A
Exemption order by commission or executive director (Part 12) – section 91 of the Securities Act	An issuer filing an application under section 91 for an exemption order other than an application for an exemption from the insider reporting requirement An issuer required or permitted to file or deliver a document pursuant to an exemption order

Filing and inspection of records (Part 20) – section 169 of the Securities Act	An issuer filing an application under section 169
Discretion to revoke or vary decision (Part 20) – section 171 of the Securities Act	An issuer filing an application under section 171 An issuer required or permitted to file or deliver a document pursuant to an order
Administrative powers respecting commission rules (Part 20) – section 187 of the Securities Act	An issuer filing an application under section 187 An issuer required or permitted to file or deliver a document pursuant to an order

Column A	Column B
Alberta securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Form 4 Report by a Registered Owner of Securities Beneficially Owned by an Insider Under Section 183 of the Securities Act – section 17 of Alberta Securities Commission Rules (General)	N/A
Designation orders – section 10 of the Securities Act (RSA 2000, c. S-4)	An issuer filing an application under section 10
Regulation, Recognition and Designation of Entities and Benchmarks – Part 4 of the Securities Act	N/A
Surrender of registration – section 78 of the Securities Act	N/A
Further Information – section 82 of the Securities Act	N/A
Trading in Securities and Derivatives Generally – Part 7 of the Securities Act	N/A
Discretionary exemptions – section 144 of the Securities Act	A person or company filing an application for relief from the prospectus requirement
Applications to the Commission – section 179 of the Securities Act	An issuer filing an application under section 179
General Exemption – section 213 of the Securities Act	An issuer filing an application under section 213, other than a registrant
	An issuer transmitting a document pursuant to a blanket order
Revoke or vary decisions – section 214 of the Securities Act	An issuer filing an application under section 214
Filing and confidentiality – section 221 of the Securities Act	An issuer filing an application under section 221

Alberta Securities Commission Rule 13-501 Fees	An issuer filing any of the following: an application under section 3 Form 13-501F1 Class 1 Reporting Issuers and Class 3B Reporting Issuers – Participation Fee Form 13-501F2 Class 2 Reporting Issuers – Participation Fee Form 13-501F3 Adjustment of Fee Payment for Class 2 Reporting Issuer Form 13-501F4 Class 3A Reporting Issuers – Participation Fee Form 13-501F5 Investment Fund – Participation Fee Form 13-501F6 Subsidiary Exemption Notice
Alberta Securities Commission Rule 91-504 Strip Bonds	A person or company filing an application for exemption under section 4.1, other than a person or company that is a registrant, or would be a registrant but for reliance on the rule
Compensation fund or contingency trust fund – section 6 of Alberta Securities Commission Rules (General)	N/A
Trading in Securities and Derivatives Generally – Part 4 of Alberta Securities Commission Rules (General)	N/A

Column A	Column B	
Saskatchewan securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+	
Designation – section 11.1 of The Securities Act, 1988 (SS 1988-89, c S-42.2)	An issuer filing an application for an order pursuant to section 11.1	
Recognition of Entities (Part V) – sections 21-25 of The Securities Act, 1988	N/A	
Designation of Entities (Part V.1) of The Securities Act, 1988	N/A	
Voluntary surrender of registration – section 29 of The Securities Act, 1988	N/A	
Trading in Securities and Derivatives (Part IX) of The Securities Act, 1988	N/A	
Saskatchewan General Ruling/Order 91-906 Strip Bonds	A person or company other than a registrant filing an application pursuant to Saskatchewan General Ruling/Order 91-906 Strip Bonds	
Order relieving reporting issuer of status as reporting issuer, section 92 of The Securities Act, 1988	An issuer filing an application for an order pursuant to section 92	
Applications to the Commission-section 101 of The Securities Act, 1988	N/A	
Part XVIII-Enforcement-section 135.6 of The Securities Act, 1988	N/A	
Order re exemption or declaration-section 83 of The Securities Act, 1988	An issuer filing an application pursuant to section 83	
Filing in other Jurisdictions- section 130 of The Securities Act, 1988	An issuer filing an application pursuant to section 130	
Filing and Inspection of material-section 152 (1) of The Securities Act, 1988	An issuer filing an application pursuant to section 152	
Revoke or vary decisions-section 158(3) of The Securities Act, 1988	An issuer filing an application pursuant to section 158(3)	
General Exemption-section 160 of The Securities Act, 1988	An issuer filing an application pursuant to section 160	

Column A	Column B
Manitoba securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Exemption by commission – section 20 of the Securities Act (C.C.S.M. c.S50)	An issuer filing an application under section 20
Blanket Order – section 20 of the Securities Act	A person or company required or permitted to file or deliver a document through SEDAR pursuant to the blanket order.
	An issuer required or permitted to file or deliver a document pursuant to the blanket order.
Self-regulatory organizations (Part IV.1) – sections 31.1, 31.3 and 31.4 of the Securities Act	N/A
Trade repositories and clearing agencies (Part IV.2) – sections 31.6, 31.11 and 31.12 of the Securities Act	N/A
Trading in derivatives (Part VIII.1) – section 79.1 of the Securities Act	N/A
Designating a person or company as an insider – section 108.1 of the Securities Act	A person or company filing an application for an order that an issuer or class of issuers is, or is not, a mutual fund or a non-redeemable investment fund
Exemption and extension orders section 116 of the Securities Act	An issuer filing an application under section 116
Audit oversight bodies (Part XX) – sections 204 and 206 of the Securities Act	N/A

Column A	Column B
Ontario securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Relieving orders – subsection 1(10) of the Securities Act (R.S.O. 1990, c. S.5)	An issuer filing an application for an order under subsection 1(10)
Designation – subsection 1(11) of the Securities Act	An issuer filing an application for an order under subsection 1(11)
Exchanges, alternative trading systems, self-regulatory organizations, clearing agencies, quotation and trade reporting systems, information processors – Part VIII of the Securities Act	N/A
Credit rating organizations – Part IX of the Securities Act	N/A
Benchmarks – Part X of the Securities Act	N/A
Registration – Part XI of the Securities Act	N/A
Disclosure of trade information to the Commission – subsection 36(2) of the Securities Act	N/A
Exemption order – subsection 74(1) of the Securities Act	A person or company filing an application for relief from the prospectus requirement An issuer required or permitted to file or deliver a document pursuant to an exemption order
Insider reporting – section 107 of the Securities Act	N/A
Report of transfer by insider – section 109 of the Securities Act	N/A
Filing in other jurisdictions – section 121 of the Securities Act	An issuer filing an application under section 121
Filing and inspection of material – section 140 of the Securities Act	An issuer filing an application under section 140
Class order exemption – subsection 143.11(2) of the Securities Act	A person or company required or permitted to file or deliver a document through SEDAR pursuant to a class order
	An issuer required or permitted to file or deliver a document pursuant to a class order

Revocation or variation of decision – section 144 of the Securities Act	An issuer filing an application under section 144
Exemption – section 147 of the Securities Act	An issuer filing an application under section 147
Ontario Securities Commission Rule 11-501 Electronic Delivery of Documents to the Ontario Securities Commission	N/A
Ontario Securities Commission Rule 13-502 Fees	An issuer filing any of the following: Form 13-502F1 Class 1 and Class 3B Reporting Issuers – Participation Fee Form 13-502F2 Class 2 Reporting Issuers – Participation Fee Form 13-502F2A Adjustment of Fee for Class 2 Reporting Issuers Form 13-502F3A Class 3A Reporting Issuers – Participation Fee Form 13-502F6 Subsidiary Exemption Notice an application under section 8.1
Ontario Securities Commission Rule 31-505 Conditions of Registration	N/A
Ontario Securities Commission Rule 32-501 Direct Purchase Plans	N/A
Ontario Securities Commission Rule 32-505 Conditional Exemption from Registration for United States Broker-Dealers and Advisers Servicing U.S. Clients from Ontario	N/A
Ontario Securities Commission Rule 35-502 Non-Resident Advisers	An issuer filing an application under Ontario Securities Commission Rule 35-502

Ontario Securities Commission Rule 91-501 Strip Bonds	A person or company other than a registrant filing an application under Ontario Securities Commission Rule 91-501
Ontario Securities Commission Rule 91-502 Trades in Recognized Options	N/A
Ontario Securities Commission Rule 91-507 Trade Repositories and Derivatives Data Reporting	N/A

Column A	Column B
Québec securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Insider reports – sections 89 to 98 of the Securities Act (CQLR, chapter V-1.1)	N/A
Surrender of registration – section 153 of the Securities Act	N/A
Self-Regulatory Organizations, Securities Exchange or Clearing Activities, Credit rating Organization, Benchmarks and Benchmark Administrators – sections 169 to 186.6 of the Securities Act	N/A
Exemption order by the Autorité des marchés financiers – section 263 of the Securities Act	An issuer filing an application for an exemption A person filing an application for an exemption from the prospectus requirement An issuer required or permitted to file or deliver a document pursuant to an exemption order
Blanket order by Autorité des marchés financiers – section 263 of the Securities Act	An issuer required or permitted to file or deliver a document pursuant to a blanket order
Designation – section 272.2 of the Securities Act	A person filing an application to be designated a non-redeemable investment fund, a mutual fund or a reporting issuer An issuer required or permitted to file or deliver a document pursuant to a designation order
Derivatives Act (CQLR, chapter I-14.01)	N/A
An Act Respecting the Regulation of the Financial Sector (CQLR, chapter A-33.2)	N/A

Column A	Column B
New Brunswick securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Designations – section 1.1(1) of the Securities Act (SNB 2004, c S-5.5.)	An issuer filing an application for an order under section 1.1(1)
	An issuer required or permitted to file or deliver a document pursuant to a designation order
Self-Regulated Organizations and other regulated entities – sections 35-39 of the Securities Act	N/A
Exemption orders – section 44.02(1) of the Securities Act	N/A
Further information – section 50 of the Securities Act	N/A
Surrender of registration– section 51(1) of the Securities Act	N/A
Exemption order – section 55(1) of the Securities Act	A person filing an application that also includes relief from the prospectus requirement
Derivatives – section 70.5(1) of the Securities Act	N/A
Prospectus and distribution – section 80(1) of the Securities Act	A person required or permitted to file or deliver a document through SEDAR pursuant to an exemption order An issuer required or
	permitted to file or deliver a document pursuant to an exemption order.
Continuous Disclosure – section 92(1) of the Securities Act	An issuer filing an application under section 92 for an exemption from the insider reporting requirement
	An issuer required or permitted to file or deliver a document pursuant to an exemption order

Insider trading and self-dealing – section 148(1) of the Securities Act	A person required or permitted to file or deliver a document through SEDAR pursuant to an exemption order
	An issuer required or permitted to file or deliver a document pursuant to an exemption order
Filing and inspection of material – section 198 of the Securities Act	An issuer filing an application under section 198
Powers to revoke or vary decision – section 205.1(1) of the Securities Act	An issuer filing an application under section 205.1(1)
	An issuer required or permitted to file or deliver a document pursuant to an order
General – Exemption order – section 208(1) of the Securities Act	An issuer filing an application under section 208(1)
	An issuer required or permitted to file or deliver a document pursuant to an order

Column A	Column B
Nova Scotia securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Recognition of self-regulatory organizations – section 30 of the Securities Act (R.S.N.S. 1989, c. 418), as amended	N/A
Designation – section 30 A of the Securities Act, as amended	A person or company filing an application under section 30 A to be designated a mutual fund, non-redeemable investment fund or reporting issuer
Designation of credit rating agencies – sections 30 EA and 30 F of the Securities Act, as amended	N/A
Recognition of exchanges, quotation and trade reporting systems, clearing agencies, derivatives trading facilities, and derivative trade repositories – section 30 I of the Securities Act, as amended	N/A
Designation of benchmarks and benchmark administrators – sections 30 N and 30 O of the Securities Act, as amended	N/A
Voluntary surrender or suspension of registration –section 33 of the Securities Act, as amended	N/A
Discretionary exemptions – section 79 of the Securities Act, as amended	A person or company filing an application for relief from the prospectus requirement
Commission orders – section 98 of the Securities Act, as amended	An issuer filing an application under section 98
Relieving orders – section 121 of the Securities Act, as amended	An issuer filing an application under section 121
Exemption Order – section 128 of the Securities Act	An issuer filing an application under section 128
Filing and confidentiality – subsection 148(2) of the Securities Act, as amended	An issuer filing an application under subsection 148(2)
Revocation or variation of a decision – section 151 of the Securities Act, as amended	An issuer filing an application under section 151

Discretionary exemptions – section 151A of the Securities Act, as amended	An issuer filing an application under section 151A
Blanket order – section 151A of the Securities Act, as amended	A person or company required or permitted to file or deliver a document through SEDAR pursuant to the blanket order.
	An issuer required or permitted to file or deliver a document pursuant to the blanket order.

Column A	Column B
Prince Edward Island securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Recognition orders – sections 72 and 73 of the Securities Act (R.S.P.E.I., 1988, c. S-3.1)	N/A
Designation orders – sections 6 and 71 of the Securities Act	N/A
Authorization orders – section 76 of the Securities Act	N/A
Exemption orders – section 16 of the Securities Act	N/A
Superintendent orders – subsection 15(1) of the Securities Act	N/A
Insider filings – subsection 104(2) and section 105 of the Securities Act	N/A
Exchanges and quotation and trade reporting systems – section 70 of the Securities Act	N/A

Column A	Column B
Newfoundland and Labrador securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Trading in Securities Generally – Part XII of the Securities Act (R.S.N.L., 1990, S-13)	N/A
Exemptions from Registration Requirements – Part XI of the Securities Act	A person or company filing an application for relief from the prospectus requirement
Exemption – section 142.1 of the Securities Act	An issuer filing an application under section 142.1
Surrender of registration – section 28 of the Securities Act	N/A
Self-regulation – Part VIII of the Securities Act	N/A
Investigations and Examinations – Part VI of the Securities Act	N/A
Applications to superintendent – section 93 of the Securities Act	An issuer filing an application under section 93
Further information – section 32 of the Securities Act	N/A
Filing and inspection of material – section 140 of the Securities Act	An issuer filing an application under section 140

Column A	Column B
Yukon securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Recognition orders – sections 72 and 73 of the Securities Act (S.Y. 2007, c. 16)	N/A
Designation orders – sections 6 and 71 of the Securities Act	N/A
Authorization orders – section 76 of the Securities Act	N/A
Exemption orders – section 16 of the Securities Act	N/A
Superintendent orders – subsection 15(1) of the Securities Act	N/A
Designation of credit rating organizations – section 83.1 of the Securities Act	N/A
Insider filings – subsection 104(2) and section 105 of the Securities Act	N/A
Exchanges and quotation and trade reporting systems – section 70 of the Securities Act	N/A

Column A	Column B
Nunavut securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Recognition orders – sections 72 and 73 of the Securities Act (S. Nu. 2008, c. 12)	N/A
Designation orders – sections 6 and 71 of the Securities Act	N/A
Authorization orders – section 76 of the Securities Act	N/A
Exemption orders – section 16 of the Securities Act	N/A
Superintendent orders – subsection 15(1) of the Securities Act	N/A
Designation of credit rating organizations – section 83.1 of the Securities Act	N/A
Insider filings – subsection 104(2) and section 105 of the Securities Act	N/A

Column A	Column B
Northwest Territories securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Recognition orders – sections 72 and 73 of the Securities Act (S.N.W.T. 2008, c. 10)	N/A
Designation orders – sections 6 and 71 of the Securities Act	N/A
Authorization orders – section 76 of the Securities Act	N/A
Exemption orders – section 16 of the Securities Act	N/A
Superintendent orders – subsection 15(1) of the Securities Act	N/A
Designation of credit rating organizations – section 83.1 of the Securities Act	N/A
Insider filings – subsection 104(2) and section 105 of the Securities Act	N/A
Exchanges and quotation and trade reporting systems – section 70 of the Securities Act	N/A

106282

M.O., 2023-11

Order number V-1.1-2023-11 of the Minister of Finance dated May 18, 2023

Securities Act (chapter V-1.1)

CONCERNING concordant regulations to Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR +)

WHEREAS paragraphs 1, 2 and 34 of section 331.1 of the Securities Act (chapter V-1.1) provide that the *Autorité des marchés financiers* may make regulations concerning the matters referred to in those paragraphs;

WHEREAS the third and fourth paragraphs of section 331.2 of the said Act provide that a draft regulation shall be published in the *Bulletin de l'Autorité des marchés financiers*, accompanied with the notice required under section 10 of the Regulations Act (chapter R-18.1) and may not be submitted for approval or be made before 30 days have elapsed since its publication;

Whereas the first and fifth paragraphs of the said section provide that every regulation made under section 331.1 must be approved, with or without amendment, by the Minister of Finance and comes into force on the date of its publication in the *Gazette officielle du Québec* or on any later date specified in the regulation;

Whereas the following regulations have been made by the *Autorité des marchés financiers* or approved by the Minister of Finance:

- Regulation 11-102 respecting Passport System approved by ministerial order no. 2008-04 dated 4 March 2008 (2008, G.O. 2, 787);
- Regulation 41-101 respecting General Prospectus Requirements approved by ministerial order no. 2008-05 dated 4 March 2008 (2008, G.O. 2, 810);
- —Regulation 43-101 respecting Standards of Disclosure for Mineral Projects approved by ministerial order no. 2011-01 dated 15 June 2011 (2011, G.O. 2, 1402);
- —Regulation 44-101 respecting Short Form Prospectus Distributions approved by ministerial order no. 2005-24 dated 30 November 2005 (2005, G.O. 2, 5183);

- Regulation 44-102 respecting Shelf Distributions made by decision no. 2001-C-0201 dated 22 May 2001 (Supplément au Bulletin de la Commission des valeurs mobilières du Québec, vol. 32, no. 22 dated 1 June 2001);
- —Regulation 45-102 respecting Resale of Securities approved by ministerial order no. 2005-21 dated 12 August 2005 (2005, G.O. 2, 3648);
- —Regulation 45-106 respecting Prospectus Exemptions approved by ministerial order no. 2009-05 dated 9 September 2009 (2009, G.O. 2, 3362A);
- Regulation 45-108 respecting Crowdfunding approved by ministerial order no. 2015-19 dated 7 January 2016 (2016, G.O. 2, 47);
- —National Policy 46-201: Escrow for Initial Public Offerings made by decision no. 2003-C-0073 dated 3 March 2003 (*Bulletin hebdomadaire*, vol. 34, no. 19 dated 16 May 2003);
- —Regulation 51-101 respecting Standards of Disclosure for Oil and Gas Activities approved by ministerial order no. 2005-15 dated 2 August 2005 (2005, G.O. 2, 3558);
- —Regulation 51-102 respecting Continuous Disclosure Obligations approved by ministerial order no. 2005-03 dated 19 May 2005 (2005, G.O. 2, 1507);
- —Regulation 51-105 respecting Issuers Quoted in the U.S. Over-the-counter Markets approved by ministerial order no. 2012-11 dated 4 July 2012 (2012, G.O. 2, 2441);
- —Regulation 52-112 respecting Non-GAAP and other Financial Measures Disclosure approved by ministerial order no. 2021-08 dated 16 July 2021 (2021, G.O. 2, 3228);
- Regulation 54-101 respecting Communication with Beneficial Owners of Securities of a Reporting Issuer made by decision no. 2003-C-0082 dated 3 March 2003 (*Bulletin hebdomadaire*, vol. 34, no. 19 dated 16 May 2003);
- —National Instrument 55-102: System for Electronic Disclosure by Insiders (SEDI) made by decision no. 2003-C-0069 dated 3 March 2003 (*Bulletin hebdomadaire*, vol. 34, no. 17 dated 2 May 2003);
- Regulation 55-104 respecting Insider Reporting Requirements and Exemptions approved by ministerial order no. 2010-07 dated 7 April 2010 (2010, G.O. 2, 912);
- —Regulation 58-101 respecting Disclosure of Corporate Governance Practices approved by ministerial order no. 2005-11 dated 7 June 2005 (2005, G.O. 2, 2015);

- —Regulation 62-104 respecting Take-over Bids and Issuer Bids approved by ministerial order no. 2008-02 dated 22 January 2008 (2008, G.O. 2, 565);
- Regulation 81-101 respecting Mutual Fund Prospectus Disclosure made by decision no. 2001-C-0283 dated 12 June 2001 (*Bulletin hebdomadaire*, vol. 32, no. 26 dated 29 June 2001);
- Regulation 81-106 respecting Investment Fund Continuous Disclosure approved by ministerial order no. 2005-05 dated 19 May 2005 (2005, G.O. 2, 1601);
- Regulation respecting Development Capital Investment Fund Continuous Disclosure approved by ministerial order no. 2008-07 dated 15 May 2008 (2008, G.O. 2, 1995);

WHEREAS there is cause to amend those Regulations;

WHEREAS the following draft regulations were published for consultation in the *Bulletin de l'Autorité des marchés financiers*, vol. 16, no. 17 dated 2 May 2019:

- —draft regulation to amend Regulation 11-102 respecting Passport System;
- draft regulation to amend Regulation 41-101 respecting General Prospectus Requirements;
- draft regulation to amend Regulation 43-101 respecting Standards of Disclosure for Mineral Projects;
- draft regulation to amend Regulation 44-101 respecting Short Form Prospectus Distributions;
- draft regulation to amend Regulation 44-102 respecting Shelf Distributions;
- —draft regulation to amend Regulation 45-102 respecting Resale of Securities;
- —draft regulation to amend Regulation 45-106 respecting Prospectus Exemptions;
- draft regulation to amend Regulation 45-108 respecting Crowdfunding;
- —draft regulation to amend National Policy 46-201: Escrow for Initial Public Offerings;
- draft regulation to amend Regulation 51-101 respecting Standards of Disclosure for Oil and Gas Activities;

- draft regulation to amend Regulation 51-102 respecting Continuous Disclosure Obligations;
- —draft regulation to amend Regulation 51-105 respecting Issuers Quoted in the U.S. Over-the-counter Markets;
- draft regulation to amend Regulation 54-101 respecting Communication with Beneficial Owners of Securities of a Reporting Issuer;
- draft regulation to amend Regulation 58-101 respecting Disclosure of Corporate Governance Practices;
- draft regulation to amend Regulation 62-104 respecting Take-over Bids and Issuer Bids;
- draft regulation to amend Regulation 81-101 respecting Mutual Fund Prospectus Disclosure;
- draft regulation to amend Regulation 81-106 respecting Investment Fund Continuous Disclosure;
- draft regulation to amend Regulation respecting Development Capital Investment Fund Continuous Disclosure:

WHEREAS the *Autorité des marchés financiers* made, on 27 April 2023, by the decision no. 2023-PDG-0016, the following regulations:

- Regulation to amend Regulation 11-102 respecting Passport System;
- —Regulation to amend Regulation 41-101 respecting General Prospectus Requirements;
- Regulation to amend Regulation 43-101 respecting Standards of Disclosure for Mineral Projects;
- —Regulation to amend Regulation 44-101 respecting Short Form Prospectus Distributions;
- —Regulation to amend Regulation 44-102 respecting Shelf Distributions;
- —Regulation to amend Regulation 45-102 respecting Resale of Securities;
- —Regulation to amend Regulation 45-106 respecting Prospectus Exemptions;
- —Regulation to amend Regulation 45-108 respecting Crowdfunding;

- —Regulation to amend National Policy 46-201: Escrow for Initial Public Offerings;
- Regulation to amend Regulation 51-101 respecting Standards of Disclosure for Oil and Gas Activities;
- Regulation to amend Regulation 51-102 respecting Continuous Disclosure Obligations;
- Regulation to amend Regulation 51-105 respecting
 Issuers Quoted in the U.S. Over-the-counter Markets;
- —Regulation to amend Regulation 54-101 respecting Communication with Beneficial Owners of Securities of a Reporting Issuer;
- —Regulation to amend Regulation 58-101 respecting Disclosure of Corporate Governance Practices;
- Regulation to amend Regulation 62-104 respecting Take-over Bids and Issuer Bids;
- Regulation to amend Regulation 81-101 respecting Mutual Fund Prospectus Disclosure;
- —Regulation to amend Regulation 81-106 respecting Investment Fund Continuous Disclosure;
- Regulation to amend Regulation respecting Development Capital Investment Fund Continuous Disclosure;

WHEREAS the following draft regulations were published for consultation in the *Bulletin de l'Autorité des marchés financiers*, vol. 20, no. 11 dated 23 March 2023:

- draft regulation to amend Regulation 52-112 respecting Non-GAAP and other Financial Measures Disclosure;
- draft regulation to amend National Instrument 55-102: System for Electronic Disclosure by Insiders (SEDI);
- —draft regulation to amend Regulation 55-104 respecting Insider Reporting Requirements and Exemptions;

WHEREAS the *Autorité des marchés financiers* made, on 27 April 2023, by the decision no. 2023-PDG-0017, the following regulations:

 Regulation to amend Regulation 52-112 respecting Non-GAAP and other Financial Measures Disclosure;

- —Regulation to amend National Instrument 55-102: System for Electronic Disclosure by Insiders (SEDI);
- —Regulation to amend Regulation 55-104 respecting Insider Reporting Requirements and Exemptions;

Whereas there is cause to approve those Regulations without amendment;

CONSEQUENTLY, the Minister of Finance approves without amendment the following regulations appended hereto:

- —Regulation to amend Regulation 11-102 respecting Passport System;
- Regulation to amend Regulation 41-101 respecting General Prospectus Requirements;
- Regulation to amend Regulation 43-101 respecting Standards of Disclosure for Mineral Projects;
- Regulation to amend Regulation 44-101 respecting Short Form Prospectus Distributions;
- —Regulation to amend Regulation 44-102 respecting Shelf Distributions;
- —Regulation to amend Regulation 45-102 respecting Resale of Securities;
- —Regulation to amend Regulation 45-106 respecting Prospectus Exemptions;
- Regulation to amend Regulation 45-108 respecting Crowdfunding;
- —Regulation to amend National Policy 46-201: Escrow for Initial Public Offerings;
- —Regulation to amend Regulation 51-101 respecting Standards of Disclosure for Oil and Gas Activities;
- Regulation to amend Regulation 51-102 respecting Continuous Disclosure Obligations;
- —Regulation to amend Regulation 51-105 respecting Issuers Quoted in the U.S. Over-the-counter Markets;
- —Regulation to amend Regulation 52-112 respecting Non-GAAP and other Financial Measures Disclosure;
- —Regulation to amend Regulation 54-101 respecting Communication with Beneficial Owners of Securities of a Reporting Issuer;

- —Regulation to amend National Instrument 55-102: System for Electronic Disclosure by Insiders (SEDI);
- —Regulation to amend Regulation 55-104 respecting Insider Reporting Requirements and Exemptions;
- —Regulation to amend Regulation 58-101 respecting Disclosure of Corporate Governance Practices;
- —Regulation to amend Regulation 62-104 respecting Take-over Bids and Issuer Bids;
- —Regulation to amend Regulation 81-101 respecting Mutual Fund Prospectus Disclosure;
- —Regulation to amend Regulation 81-106 respecting Investment Fund Continuous Disclosure;
- Regulation to amend Regulation respecting Development Capital Investment Fund Continuous Disclosure.

May 18, 2023

ERIC GIRARD

Minister of Finance

REGULATION TO AMEND REGULATION 11-102 RESPECTING PASSPORT SYSTEM

Securities Act (chapter V-1.1, s. 331.1, par. (1), (2) and (34))

1. Section 1.1 of Regulation 11-102 respecting Passport System (chapter V-1.1, r. 1) is amended by replacing the definition of the expression "SEDAR" by the following:

""SEDAR+" has the same meaning as in Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+) (insert reference);".

- **2.** Section 3.3 of the Regulation is amended by replacing "SEDAR", wherever it appears, by "SEDAR+".
- **3.** Appendix D of the Regulation is amended by replacing "SEDAR" by "SEDAR+" and "Regulation 13-101" by "Regulation 13-103".
- **4.** This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND REGULATION 41-101 RESPECTING GENERAL PROSPECTUS REQUIREMENTS

Securities Act (chapter V-1.1, s. 331.1, par. (1) and (2))

- 1. Regulation 41-101 respecting General Prospectus Requirements (chapter V-1.1, r. 14) is amended by replacing "System for Electronic Document Analysis and Retrieval (SEDAR)", in Schedule 1 of Appendix A and under the title "General Instructions", by "System for Electronic Data Analysis and Retrieval + (SEDAR+)".
- 2. Form 41-101F1 of the Regulation is amended, in item 36A.1:
- (1) by replacing "www.sedar.com", in subparagraph (ii) of subparagraph (b) of paragraph (3), by "www.sedarplus.com";
 - (2) by replacing "SEDAR", in paragraph (5), by "SEDAR+".
- **3.** Form 41-101F2 of the Regulation is amended by replacing "www.sedar.com", in item 37.1, by "www.sedarplus.com".
- **4.** Form 41-101F3 of the Regulation is amended by replacing "www.sedar.com", in paragraph (1) of item 4.1 and paragraph (2) of item 15.1 of Part B, by "www.sedarplus.com".
- 5. This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND REGULATION 43-101 RESPECTING STANDARDS OF DISCLOSURE FOR MINERAL PROJECTS

Securities Act (chapter V-1.1, s. 331.1, par. (1) and (2))

- 1. Section 6.4 of Regulation 43-101 respecting Standards of Disclosure for Mineral Projects (chapter V-1.1, r. 15) is amended by replacing "SEDAR", in subparagraph (b) of paragraph (1), by "SEDAR+".
- **2.** This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND REGULATION 44-101 RESPECTING SHORT FORM PROSPECTUS DISTRIBUTIONS

Securities Act (chapter V-1.1, s. 331.1, par. (1), (2) and (34))

- 1. Section 1.1 of Regulation 44-101 respecting Short Form Prospectus Distributions (chapter V-1.1, r. 16) is amended by inserting, after the definition of the expression "reverse takeover acquiree", the following:
- ""SEDAR+" has the same meaning as in Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+) (insert reference);".
- 2. Section 2.2 of the Regulation is amended by replacing paragraph (a) by the following:
 - "(a) the issuer is required to transmit documents through SEDAR+;".
- **3.** Sections 2.3 and 2.6 are amended by replacing subparagraph (a), in paragraph (1), by the following:
 - "(a) the issuer is required to transmit documents through SEDAR+;".
- **4.** Form 44-101F1 of the Regulation is amended:
 - (1) by replacing "www.sedar.com", in item 1.3, by "www.sedarplus.com";
- (2) by replacing "www.sedar.com", in subparagraph (ii) of subparagraph (b) of paragraph (3) of item 11.6, by "www.sedarplus.com".
- **5.** This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND REGULATION 44-102 RESPECTING SHELF DISTRIBUTIONS

Securities Act (chapter V-1.1, s. 331.1, par. (1) and (2))

- 1. Section 9A.3 of Regulation 44-102 respecting Shelf Distributions (chapter V-1.1, r. 17) is amended by replacing "www.sedar.com", in subparagraph (B) of subparagraph (iv) of subparagraph (b) of paragraph (7), by "www.sedarplus.com".
- 2. This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND REGULATION 45-102 RESPECTING RESALE OF SECURITIES

Securities Act (chapter V-1.1, s. 331.1, par. (1), (2) and (34))

- 1. Regulation 45-102 respecting Resale of Securities (chapter V-1.1, r. 20) is amended by striking out, in section 1.1, the definition of the expression "SEDAR".
- 2. The Regulation is amended by striking out, wherever it appears in sections 2.8, 2.11 and 2.12, "on SEDAR".
- **3.** Form 45-102F1 of the Regulation is amended by striking out, in the instructions, "electronically through SEDAR".
- **4.** This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND REGULATION 45-106 RESPECTING PROSPECTUS EXEMPTIONS

Securities Act (chapter V-1.1, s. 331.1, par. (1), (2) and (34))

- 1. Section 1.1 of Regulation 45-106 respecting Prospectus Exemptions (chapter V-1.1, r. 21) is amended:
- (1) by striking out paragraph (a) of the definition of the expression "qualifying issuer":
 - (2) by striking out the definition of the expression "SEDAR filer".
- 2. Section 5.2 of the Regulation is amended by striking out paragraph (b).
- 3. Section 5A.2 of the Regulation is amended by replacing "www.sedar.com", in subparagraph (B) of subparagraph (i) of paragraph (k), by "www.sedarplus.com".
- **4.** Form 45-106F1 of the Regulation is replaced by the following:

"FORM 45-106F1 REPORT OF EXEMPT DISTRIBUTION

A. General Instructions

1. Filing instructions

An issuer or underwriter that is required to file a report of exempt distribution and pay the applicable filing fee must pay the filing fee and file the information required by this form in the manner and using the templates specified in the System for Electronic Data Analysis and Retrieval + (SEDAR+) in accordance with Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+) (*insert reference*).

The issuer or underwriter must file the report in a jurisdiction of Canada if the distribution occurs in the jurisdiction, and the issuer or underwriter is relying on a specific exemption from the prospectus requirement set out in section 6.1 of the Regulation 45-106 respecting Prospectus Exemptions (chapter V-1.1, r. 21). The requirement to file this report might also be a condition of a prospectus exemption provided in a national, multilateral or local rule or instrument, or a condition of an exemptive relief order. If a distribution is made in more than one jurisdiction of Canada, the issuer or underwriter may satisfy its obligation to file the report by completing a single report identifying all purchasers, and file the report in each jurisdiction of Canada in which the distribution occurs. Filing fees payable in a particular jurisdiction are not affected by identifying all purchasers in a single report.

In order to determine the applicable filing fee in a particular jurisdiction of Canada, consult the securities legislation of that jurisdiction. The issuer or underwriter must pay the filing fee through SEDAR+ in accordance with Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+).

2. Issuers located outside of Canada

If an issuer located outside of Canada determines that a distribution has taken place in a jurisdiction of Canada, include information about purchasers resident in that jurisdiction only.

3. Multiple distributions

An issuer may use one report for multiple distributions occurring within ten days of each other, provided the report is filed on or before the tenth day following the first distribution date. However, an investment fund issuer that is relying on the exemptions set out in subsection 6.2(2) of Regulation 45-106 respecting Prospectus Exemptions may file the report annually in accordance with that subsection.

4. References to purchaser

References to a purchaser in this form are to the beneficial owner of the securities.

However, if a trust company, trust corporation, or registered adviser described in paragraph (p) or (q) of the definition of "accredited investor" in section 1.1 of Regulation 45-106 respecting Prospectus Exemptions has purchased the securities on behalf of a fully managed account, provide information about the trust company, trust corporation or registered adviser only; do not include information about the beneficial owner of the fully managed account.

Joint purchasers may be treated as one purchaser for the purposes of Item 7(f) of this form.

5. References to issuer

References to "issuer" in this form include an investment fund issuer and a non-investment fund issuer, unless otherwise specified.

6. Investment fund issuers

If the issuer is an investment fund, complete Items 1-3, 6-8, 10, 11 and Schedule 1 of this form.

7. Mortgage investment entities

If the issuer is a mortgage investment entity, complete all applicable items of this form other than Item 6.

8. Language

The report must be filed in English or in French. In Québec, the issuer or underwriter must comply with linguistic rights and obligations prescribed by Québec law.

9. Currency

All dollar amounts in the report must be in Canadian dollars. If the distribution was made or any compensation was paid in connection with the distribution in a foreign currency, convert the currency to Canadian dollars using the daily exchange rate of the Bank of Canada on the distribution date. If the distribution date occurs on a date when the daily exchange rate of the Bank of Canada is not available, convert the currency to Canadian dollars using the most recent daily exchange rate of the Bank of Canada available before the distribution date. For investment funds in continuous distribution, convert the currency to Canadian dollars using the average daily exchange rate of the Bank of Canada for the distribution period covered by the report.

If the distribution was not made in Canadian dollars, provide the foreign currency in Item 7(a) of the report.

10. Date of information in report

Unless otherwise indicated in this form, provide the information as of the distribution end date.

11. Date of formation

For the date of formation, provide the date on which the issuer was incorporated, continued or organized (formed). If the issuer resulted from an amalgamation, arrangement, merger or reorganization, provide the date of the most recent amalgamation, arrangement, merger or reorganization.

12. Security codes

Wherever this form requires disclosure of the type of security, use the following security codes:

Security code	Security type
BND	Bonds
CER	Certificates (including pass-through certificates, trust certificates)
CMS	Common shares
CVD	Convertible debentures
CVN	Convertible notes
CVP	Convertible preferred shares
DCT	Digital coins or tokens
DEB	Debentures
DRS	Depository receipts (such as American or Global depository receipts/shares)
FTS	Flow-through shares
FTU	Flow-through units
LPU	Limited partnership units and limited partnership interests (including capital commitments)
MTG	Mortgages (other than syndicated mortgages)
NOT	Notes (include all types of notes except convertible notes)

Security code	Security type
OPT	Options
PRS	Preferred shares
RTS	Rights
SMG	Syndicated mortgages
SUB	Subscription receipts
UBS	Units of bundled securities (such as a unit consisting of a common share and a warrant)
UNT	Units (exclude units of bundled securities, include trust units and mutual fund units)
WNT	Warrants (including special warrants)
ОТН	Other securities not included above (if selected, provide details of security type in Item 7d)

13. Distributions by more than one issuer of a single security

If two or more issuers distributed a single security, provide the full legal names of the coissuers in Item 3.

B. Terms used in the form

1. For the purposes of this form:

"designated foreign jurisdiction" means Australia, France, Germany, Hong Kong, Italy, Japan, Mexico, the Netherlands, New Zealand, Singapore, South Africa, Spain, Sweden, Switzerland or the United Kingdom of Great Britain and Northern Ireland;

"eligible foreign security" means a security offered primarily in a foreign jurisdiction as part of a distribution of securities in either of the following circumstances:

- (a) the security is issued by an issuer
- (i) that is incorporated, formed or created under the laws of a foreign jurisdiction,
 - (ii) that is not a reporting issuer in a jurisdiction of Canada,
 - (iii) that has its head office outside of Canada, and
- (iv) that has a majority of the executive officers and a majority of the directors ordinarily resident outside of Canada;
- (b) the security is issued or guaranteed by the government of a foreign jurisdiction;

"foreign public issuer" means an issuer where any of the following apply:

- (a) the issuer has a class of securities registered under section 12 of the 1934 Act;
 - (b) the issuer is required to file reports under section 15(d) of the 1934 Act;

(c) the issuer is required to provide disclosure relating to the issuer and the trading in its securities to the public, to security holders of the issuer or to a regulatory authority and that disclosure is publicly available in a designated foreign jurisdiction;

"legal entity identifier" means a unique identification code assigned to the person

- (a) in accordance with the standards set by the Global Legal Entity Identifier System, or
- (b) that complies with the standards established by the Legal Entity Identifier Regulatory Oversight Committee for pre-legal entity identifiers;

"NRD" means National Registration Database;

"permitted client" has the same meaning as in Regulation 31-103 respecting Registration Requirements, Exemptions and Ongoing Registrant Obligations (chapter V-1.1, r. 10);

"SEDAR+" has the same meaning as in Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+);

"SEDAR+ profile" means a profile required under section 4 of Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+).

- **2.** For the purposes of this form, a person is connected with an issuer or an investment fund manager if either of the following applies:
 - (a) one of them is controlled by the other;
 - (b) each of them is controlled by the same person.

FORM 45-106F1 REPORT OF EXEMPT DISTRIBUTION

ITEM 1 – REPORT TYPE	
New report	
Amended report I	f amended, provide filing date of report that is being amended. (YYYY-MM-DD)
ITEM 2 – PARTY CERTIF	YING THE REPORT
	elect only one). For guidance regarding whether an issuer is an investment fund, refer to section 1.1 of Regulation inuous Disclosure (chapter V-1.1, r. 42) and the Policy Statement to Regulation 81-106 respecting Investment Fund
Investment fund issuer	
Issuer (other than an investm	ent fund)
Underwriter	
ITEM 3 – ISSUER NAME A	and Other Identifiers
Provide the following information about the	ne issuer, or if the issuer is an investment fund, about the fund.
Full legal name	
Previous full legal name	
If the issuer's name changed in	the last 12 months, provide most recent previous legal name.
Website	(if applicable)
If the issuer has a legal entity identifier, pr	rovide below. Refer to Part B of the Instructions for the definition of "legal entity identifier".
Legal entity identifier	
If two or more issuers distributed a single	security, provide the full legal name(s) of the co-issuer(s) other than the issuer named above.
Full legal name(s) of co-issuer(s)	(if applicable)
Item 4 – Underwriter 1	(AURODA A TION
	orovide the underwriter's full legal name, firm NRD number, and SEDAR+ profile number.
Full legal name	
Firm NRD number	(if applicable)
SEDAR+ profile number	

Item 5 – Issuer Information					
If the issuer is an investment fund, do not complete Item 5. Proceed to Item 6.					
a) Primary industry					
Provide the issuer's North American Industry Classification Standard (NAICS) code (6 digits only) that in your reasonable judgment most closely corresponds to the issuer's primary business activity.					
NAICS industry code					
If the issuer is in the mining industry, indicate the stage of operations. This does not apply to issuers that provide services to issuers operating in the mining industry. Select the category that best describes the issuer's stage of operations.					
Exploration Development Production					
Is the issuer's primary business to invest all or substantially all of its assets in any of the following? If yes, select all that apply. Mortgages Real estate Commercial/business debt Consumer debt Private companies Cryptoassets					
b) Number of employees					
Number of employees: 0 - 49 50 - 99 100 - 499 500 or more					
c) SEDAR+ profile number					
Provide the issuer's SEDAR+ profile number					
ITEM 6 – INVESTMENT FUND ISSUER INFORMATION					
If the issuer is an investment fund, provide the following information.					
a) Investment fund manager information					
Full legal name					
Firm NRD number (if applicable)					
SEDAR + profile number					
b) Type of investment fund					
Type of investment fund that most accurately identifies the issuer (select only one). Money market Equity Fixed income Balanced Alternative strategies Cryptoasset Other (describe)					
Indicate whether one or both of the following apply to the investment fund. Invests primarily in other investment fund issuers Is a UCITs Fund ¹					
Undertaking for the Collective Investment of Transferable Securities funds (UCITs Funds) are investment funds regulated by the European Union (EU) directives that allow collective investment schemes to operate throughout the EU on a passport basis on authorization from one member state.					
c) Net asset value (NAV) of the investment fund					
Select the NAV range of the investment fund as of the date of the most recent NAV calculation (Canadian \$).					
\$0 to under \$5M \$5M to under \$25M \$25M to under \$100M					
\$100M to under \$500M					

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ITEM 7 -	- In	FOR	MAT:	ION	ABOUT	THE DI	STRIBUTION	1				
in that jurisdi	ction o	of Cana	da only.	. Do n	ot include in	Item 7 securit	jurisdiction of Can ies issued as payme st reconcile with the	ent of commission	s or finder's fee	s in connecti	on with the distribi	
a) Cı	ırrenc	у										
Select the cur	rencv (or curr	encies i	in whic	h the distrib	ution was mad	le. All dollar amoun	ts provided in th	e report must be	in Canadian	dollars.	
	dian c				US dollar			r (describe)				
b) Di	stribu	ition d	ate(s)									
							for securities distr uted on a continuou					
		Start	date	YYY	YY MM	DD]	End date Y	YYY MM	DD		
c) De	etailed	d purch	naser ir	nform	ation							
Complete Se	chedu	le 1 of	this fo	orm fo	or each pui	rchaser.						
d) Ty	pes o	of secu	rities d	listribı	uted							
							a per security basis. nber assigned to the			Canadian		ecurity code.
		CHEID	number					Number of	Single or	Highest	\$	
Security co	de		number olicable)			Description of s	ecurity	securities	lowest price	price	Total amount	
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e) De	etails	of righ	its and	conve	ertible/exch	angeable sec	urities					
							xercise price and e ms for each conver			onvertible/ex	changeable securit	ies were
Convertib		Un	derlying			se price	Expiry date					Ī
exchange security of			rity code		(Canac	dian \$) Highest	(YYYY-MM- DD)	Conversion ratio	Descril	e other terms ((if applicable)	
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f) Su	ımma	rv of tl	he distr	ributio	on by inrise	liction and ex	remption					
		-					er of purchasers for	each iurisdiction	n of Canada and	foreign iuris	sdiction where a pu	rchaser
resides and fo	r each	і ехетр	tion rel	lied on	in Canada f	for that distribi	ution. However, if a diction of Canada o	n issuer located				
							ere a purchaser res		amption valid a	u iu the invier	diation whoma a mu	uoh an ou
							each exemption rel					
For jurisdicti	ons wi	thin Ca	nada, s	tate th	e province o	r territory, oth	erwise state the cor					-
	Province or country Exemption relied on								ber of unique irchasers ^{2a}	Total amo	unt (Canadian \$)	
												1
												-
							Total dollar amo	ount of securities	s distributed			-
						Total numb	er of unique purch		, distributed			1
	-					row, count ea	ch purchaser only o	once. Joint purch	•			-
2bIn calculativ	ig the	total nu	mher o	f uniai	ue purchaser	s to which the	issuer distributed s	ecurities, count e	ach nurchaser o	nlv once, res	pardless of whether	the issuer

²⁶In calculating the total number of unique purchasers to which the issuer distributed securities, count each purchaser only once, regardless of whether the issuer distributed multiple types of securities to, and relied on multiple exemptions for, that purchaser.

g) Net proceeds to the investment fund by jurisdiction

If the issuer is an investment fund, provide the net proceeds to the investment fund for each jurisdiction of Canada and foreign jurisdiction where a purchaser resides. If an issuer located outside of Canada completes a distribution in a jurisdiction of Canada, include net proceeds for that jurisdiction of Canada only. For jurisdictions within Canada, state the province or territory, otherwise state the country.

Province or country	Net proceeds (Canadian \$)
Total net proceeds to the investment fund	

³ "Net proceeds" means the gross proceeds realized in the jurisdiction from the distributions for which the report is being filed, less the gross redemptions that occurred during the distribution period covered by the report.

ITEM 8 – COMPENSATION INFORMATION								
Provide information for each person (as defined in Regulation 45-106 respecting Prospectus Exemptions (chapter V-1.1, r. 21)) to whom the issuer directly provides, or will provide, any compensation in connection with the distribution. Complete additional copies of this page if more than one person was, or will be compensated.	e,							
Indicate whether any compensation was paid, or will be paid, in connection with the distribution.								
No Yes If yes, indicate number of persons compensated.								
Name of person compensated and registration status								
Indicate whether the person compensated is a registrant. No Yes								
If the person compensated is an individual, provide the name of the individual.								
Full legal name of individual								
Family name First given name Secondary given names								
If the person compensated is not an individual, provide the following information.								
Full legal name of non-individual								
Firm NRD number (if applicable)								
Indicate whether the person compensated facilitated the distribution through a funding portal or an internet-based portal. No Yes								
b) Business contact information								
If a firm NRD number is not provided in Item 8(a), provide the business contact information of the person being compensated.								
Street address								
Municipality Province/State								
Country Postal code/Zip code								
Email address Telephone number								
c) Relationship to issuer or investment fund manager								
Indicate the person's relationship with the issuer or investment fund manager (select all that apply). Refer to the meaning of "connected" in Part B(2) of the Instructions and the meaning of "control" in section 1.4 of Regulation 45-106 respecting Prospectus Exemptions for the purposes of completing this section.								
Connected with the issuer or investment fund manager								
Insider of the issuer (other than an investment fund)								
Director or officer of the investment fund or investment fund manager								
Employee of the issuer or investment fund manager								
None of the above								
d) Compensation details								
Provide details of all compensation paid, or to be paid, to the person identified in Item 8(a) in connection with the distribution. Provide all amounts in Canadian	1							
dollars. Include cash commissions, securities-based compensation, gifts, discounts or other compensation. Do not report payments for services incidental to the distribution, such as clerical, printing, legal or accounting services. An issuer is not required to ask for details about, or report on, internal allocation arrangem with the directors, officers or employees of a non-individual compensated by the issuer.								
Cash commissions paid								
Value of all security code 2 Security code 2 Security code 3								
distributed as compensation ⁴ Security codes								
Describe terms of warrants, options or other rights								
Other compensation ⁵ Describe								
Total compensation paid								
Check box if the person will or may receive any deferred compensation (describe the terms below)								
¹ Provide the aggregate value of all securities distributed as compensation, <u>excluding</u> options, warrants or other rights exercisable to acquire additional securitie the issuer. Indicate the security codes for all securities distributed as compensation, <u>including</u> options, warrants or other rights exercisable to acquire additional securities of the issuer.								
*Do not include deferred compensation.								

ITEM 9 — DIRECTORS, EXECUTIVE OFFICERS AND PROMOTERS OF THE ISSUER										
If the issuer is an investment fund, do n	ot complete Item 9. P	roceed to Item 1	0.							
If the issuer is an investment fund, do not complete Item 9. Proceed to Item 10. Indicate whether the issuer is any of the following (select the one that applies – if more than one applies, select only one). Reporting issuer in any jurisdiction of Canada Foreign public issuer Wholly owned subsidiary of a reporting issuer in any jurisdiction of Canada ⁶ Provide name of reporting issuer Wholly owned subsidiary of a foreign public issuer Provide name of foreign public issuer Issuer distributing only eligible foreign securities and the distribution is to permitted clients only If the issuer is at least one of the above, do not complete Item 9(a) – (c). Proceed to Item 10. An issuer is a wholly owned subsidiary of a reporting issuer or a foreign public issuer; all of the issuer's outstanding voting securities, other than securities that are required by law to be owned by its directors, are beneficially owned by the reporting issuer or the foreign public issuer, respectively. Check this box if it applies to the current distribution even if the issuer made previous distributions of other types of securities to non-permitted clients. Refer to the										
definitions of "eligible foreign security"										
If the issuer is none of the above, check this box and complete Item 9(a) – (c).										
a) Directors, executive office	*									
Provide the following information for ea otherwise state the country. For "Relation							te the pi	rovince o	r territor	y,
Organization or company name	Family name	First given name			Business location of non-individual or residential jurisdiction of individual Province or country		Relationship to issuer (select all that apply)			
					Province	or country	D	О	P	
b) Promoter information	<u> </u>			-			l			
If the promoter listed above is not an individual, provide the following information for each director and executive officer of the promoter. For locations within Canada, state the province or territory, otherwise state the country. For "Relationship to promoter", "D" – Director, "O" – Executive Officer.										
Organization or company name	Family name	First given name	Secondary given names	Residential jurisdiction of individual		Relationship to promoter (select one or both if applicable)				
					ince or untry	D		О		
c) Residential address of eac	h individual									

Complete Schedule 2 of this form, including the full residential address of each individual whose name appears in Item 9(a) or (b). Schedule 2 also requires information to be provided about control persons.

ITEM 10 – CERTIFICATION

Provide the following certification and business contact information of an officer, director or agent of the issuer or underwriter. If the issuer or underwriter is not a company, an individual who performs functions similar to that of a director or officer may certify the report. For example, if the issuer is a trust, the report may be certified by the issuer's trustee. If the issuer is an investment fund, a director or officer of the investment fund manager (or, if the investment fund manager is not a company, an individual who performs similar functions) may certify the report if the director or officer has been authorized to do so by the investment fund.

The certification may be delegated, but only to an agent that has been authorized by an officer or director of the issuer or underwriter to prepare and certify the report on behalf of the issuer or underwriter. If the report is being certified by an agent on behalf of the issuer or underwriter, provide the applicable information for the agent in the boxes below.

If the individual completing and filing the report is different from the individual certifying the report, provide the name and contact details for the individual completing and filing the report in Item 11.

The signature on the report must be in typed form rather than handwritten form. The report may include an electronic signature provided the name of the signatory is also in typed form.

Securities legislation requires an issuer or underwriter that makes a distribution of securities under certain prospectus exemptions to file a completed report of exempt distribution.

By completing the information below, I certify, on behalf of the issuer/underwriter/investment fund manager, to the securities regulatory authority or regulator, as applicable, that I have reviewed this report and to my knowledge, having exercised reasonable diligence, the information provided in this report is true and, to the extent required, complete.

Name of issuer/underwriter/ investment fund manager/agent						
Full legal name						
•	Family name	First given name		Secondary given names		
Title						
Telephone number		Email address				
Signature		Date				
			YYYY	MM	DD	

Item 11 – Contact Person								
Provide the following business contact information for the individual that the securities regulatory authority or regulator may contact with any questions regarding the contents of this report, if different than the individual certifying the report in Item 10.								
Same as indiv	idual certifying the repo	rt						
Full legal name				Title				
	Family name	First given name	Secondary given names	3				
Name of company								
Telephone number		I	Email address					

Notice - Collection and use of personal information

The personal information required under this form is collected on behalf of and used by the securities regulatory authority or regulator under the authority granted in securities legislation for the purposes of the administration and enforcement of the securities legislation.

If you have any questions about the collection and use of this information, contact the securities regulatory authority or regulator in the local jurisdiction(s) where the report is filed, at the address(es) listed at the end of this form.

Schedules 1 and 2 may contain personal information of individuals and details of the distribution(s). The information in Schedules 1 and 2 will not be placed on the public file of any securities regulatory authority or regulator. However, freedom of information legislation may require the securities regulatory authority or regulator to make this information available if requested.

By signing this report, the issuer/underwriter confirms that each individual listed in Schedule 1 or 2 of the report who is resident in a jurisdiction of Canada:

- a) has been notified by the issuer/underwriter of the delivery to the securities regulatory authority or regulator of the information pertaining to the individual as set out in Schedule 1 or 2, that this information is being collected by the securities regulatory authority or regulator under the authority granted in securities legislation, that this information is being collected for the purposes of the administration and enforcement of the securities legislation of the local jurisdiction, and of the title, business address and business telephone number of the public official in the local jurisdiction, as set out in this form, who can answer questions about the security regulatory authority's or regulator's indirect collection of the information, and
- b) has authorized the indirect collection of the information by the securities regulatory authority or regulator.

SCHEDULE 1 TO FORM 45-106F1 (CONFIDENTIAL PURCHASER INFORMATION)

Schedule 1 must be filed in the format of an Excel spreadsheet in a form acceptable to the securities regulatory authority or regulator.

The information in this schedule will not be placed on the public file of any securities regulatory authority or regulator. However, freedom of information legislation may require the securities regulatory authority or regulator to make this information available if requested.

a) General information (provide only once)

- 1. Name of issuer
- 2. Certification date (YYYY-MM-DD)

Provide the following information for each purchaser that participated in the distribution. For each purchaser, create separate entries for each distribution date, security type and exemption relied on for the distribution.

b) Legal name of purchaser

If two or more individuals have purchased a security as joint purchasers, provide information for each purchaser under the columns for family name, first given name and secondary given names, if applicable, and separate the individuals' names with an ampersand. For example, if Jane Jones and Robert Smith are joint purchasers, indicate "Jones & Smith" in the family name column.

- 1. Family name
- 2. First given name
- 3. Secondary given names (if applicable)
- 4. Full legal name of non-individual (if applicable)

c) Contact information of purchaser

- 1. Residential street address
- 2. Municipality
- Province/State
- Postal code/Zip code
- Country
- 6. Telephone number
- 7. Email address (if available)

d) Details of securities purchased

- 1. Date of distribution (YYYY-MM-DD)
- 2. Number of securities
- 3. Security code
- 4. Amount paid (Canadian \$)

e) Details of exemption relied on

- Regulation, section and subsection number
- 2. If relying on section 2.3 of Regulation 45-106 respecting Prospectus Exemptions, provide the paragraph number in the definition of "accredited investor" in section 1.1 of Regulation 45-106 respecting Prospectus Exemptions that applies to the purchaser. (select only one if the purchaser is a permitted client that is not an individual, "NIPC" can be selected instead of the paragraph number)
- 3. If relying on section 2.5 of Regulation 45-106 respecting Prospectus Exemptions, provide:
 - a. the paragraph number in subsection 2.5(1) that applies to the purchaser (select only one);
 and
 - b. if relying on paragraphs 2.5(1)(b) to (i), provide:
 - i. the name of the director, executive officer, control person, or founder of the issuer or affiliate of the issuer claiming a relationship to the purchaser. (Note: if Item 9(a) has been completed, the name of the director, executive officer or control person must be consistent with the name provided in Item 9 and Schedule 2.)
 - ii. the position of the director, executive officer, control person, or founder of the issuer or affiliate of the issuer claiming a relationship to the purchaser.
- 4. If relying on subsection 2.9(2) or, in Alberta, New Brunswick, Nova Scotia, Ontario, Québec, or Saskatchewan, subsection 2.9(2.1) of Regulation 45-106 respecting Prospectus Exemptions and the purchaser is an eligible investor, provide the paragraph number in the definition of "eligible investor" in section 1.1 of Regulation 45-106 respecting Prospectus Exemptions that applies to the purchaser. (select only one)

f) Other information

Paragraphs f)1. and f)2. do not apply if any of the following apply:

- (a) the issuer is a foreign public issuer;
- (b) the issuer is a wholly owned subsidiary of a foreign public issuer;
- (c) the issuer is distributing only eligible foreign securities and the distribution is to permitted clients only.
- 1. Is the purchaser a registrant? (Y/N)
- 2. Is the purchaser an insider of the issuer? (Y/N) (not applicable if the issuer is an investment fund)
- Full legal name of person compensated for distribution to purchaser. If a person compensated is a
 registered firm, provide the firm NRD number only. (Note: the names must be consistent with the
 names of the persons compensated as provided in Item 8.)

INSTRUCTIONS FOR SCHEDULE 1

Any securities issued as payment for commissions or finder's fees must be disclosed in Item 8 of the report, not in Schedule 1.

Details of exemption relied on — When identifying the exemption the issuer relied on for the distribution to each purchaser, refer to the rule, statute or instrument in which the exemption is provided and identify the specific section and, if applicable, subsection or paragraph. For example, if the issuer is relying on an exemption in a regulation, refer to the number of the regulation, and the subsection or paragraph number of the specific provision. If the issuer is relying on an exemption in a local blanket order, refer to the blanket order by number.

For exemptions that require the purchaser to meet certain characteristics, such as the exemption in section 2.3, section 2.5 or subsection 2.9(2) or, in Alberta, New Brunswick, Nova Scotia, Ontario, Québec, or Saskatchewan, subsection 2.9(2.1) of Regulation 45-106 respecting Prospectus Exemptions, provide the specific paragraph in the definition of those terms that applies to each purchaser.

Reports filed under paragraph 6.1(1)(j) of Regulation 45-106 *respecting Prospectus Exemptions* – For reports filed under paragraph 6.1(1)(j) of Regulation 45-106 respecting Prospectus Exemptions, Schedule 1 must list the total number of purchasers by jurisdiction only, and is not required to include the name, residential address, telephone number or email address of the purchasers.

SCHEDULE 2 TO FORM 45-106F1 (CONFIDENTIAL DIRECTOR, EXECUTIVE OFFICER, PROMOTER AND CONTROL PERSON INFORMATION)

Schedule 2 must be filed in the format of an Excel spreadsheet in a form acceptable to the securities regulatory authority or regulator.

Complete the following only if Item 9(a) is required to be completed. This schedule also requires information to be provided about control persons of the issuer at the time of the distribution.

The information in this schedule will not be placed on the public file of any securities regulatory authority or regulator. However, freedom of information legislation may require the securities regulatory authority or regulator to make this information available if requested.

- a) General information (provide only once)
 - 1. Name of issuer
 - 2. Certification date (YYYY-MM-DD)
- b) Business contact information of Chief Executive Officer (if not provided in Item 10 or 11 of report)
 - Email address
 - 2. Telephone number
- Residential address of directors, executive officers, promoters and control persons of the issuer

Provide the following information for each individual who is a director, executive officer, promoter or control person of the issuer at the time of the distribution. If the promoter or control person is not an individual, provide the following information for each director and executive officer of the promoter and control person. (Note: names of directors, executive officers and promoters must be consistent with the information in Item 9 of the report, if required to be provided.)

- 1. Family name
- First given name
- 3. Secondary given names
- 4. Residential street address
- Municipality
- 6. Province/State
- 7. Postal code/Zip code
- 8. Country
- 9. Indicate whether the individual is a control person, or a director and/or executive officer of a control person (*if applicable*)

d) Non-individual control persons (if applicable)

If the control person is not an individual, provide the following information. For locations within Canada, state the province or territory, otherwise state the country.

- 1. Organization or company name
- 2. Province or country of business location

Questions:

Refer any questions to:

Alberta Securities Commission

Suite 600, 250 – 5th Street SW Calgary, Alberta T2P 0R4 Telephone: 403 297-6454 Facsimile: 403 297-6156

Toll free in Canada: 1 877 355-0585

Public official contact regarding indirect collection of information: FOIP Coordinator

British Columbia Securities Commission

P.O. Box 10142, Pacific Centre

701 West Georgia Street

Vancouver, British Columbia V7Y 1L2

Inquiries: 604 899-6854

Toll free in Canada: 1 800 373-6393

Facsimile: 604 899-6506 Email: FOI-privacy@bcsc.bc.ca

Public official contact regarding indirect collection of information: Privacy Officer

The Manitoba Securities Commission

500 – 400 St. Mary Avenue Winnipeg, Manitoba R3C 4K5 Telephone: 204 945-2561

Toll free in Manitoba: 1 800 655-5244

Facsimile: 204 945-0330

Public official contact regarding indirect collection of information: Director

Financial and Consumer Services Commission (New Brunswick)

85 Charlotte Street, Suite 300 Saint John, New Brunswick E2L 2J2 Telephone: 506 658-3060 Toll free in Canada: 1 866 933-2222

Facsimile: 506 658-3059 Email: info@fcnb.ca

Public official contact regarding indirect collection of information: Chief Executive Officer and Privacy

Officer

Government of Newfoundland and Labrador

Office of the Superintendent

Department of Digital Government and Service NL

P.O. Box 8700

Confederation Building 2nd Floor, West Block Prince Philip Drive

St. John's, Newfoundland and Labrador A1B 4J6

Attention: Superintendent of Securities

Telephone: 709 729-2571 Facsimile: 709 729-6187

Public official contact regarding indirect collection of information: Superintendent of Securities

Government of the Northwest Territories

Office of the Superintendent of Securities

P.O. Box 1320

Yellowknife, Northwest Territories X1A 2L9

Telephone: 867 767-9305 Facsimile: 867 873-0243

Public official contact regarding indirect collection of information: Superintendent of Securities

Nova Scotia Securities Commission

Suite 400, 5251 Duke Street

Duke Tower

P.O. Box 458

Halifax, Nova Scotia B3J 2P8 Telephone: 902 424-7768 Facsimile: 902 424-4625

Public official contact regarding indirect collection of information: Executive Director

Government of Nunavut Office of the Superintendent of Securities

Legal Registries Division P.O. Box 1000, Station 570 4th Floor, Building 1106 Iqaluit, Nunavut X0A 0H0 Telephone: 867 975-6590

Facsimile: 867 975-6594

Public official contact regarding indirect collection of information: Superintendent of Securities

Ontario Securities Commission

20 Queen Street West, 22nd Floor Toronto, Ontario M5H 3S8

Telephone: 416 593-8314

Toll free in Canada: 1 877 785-1555

Facsimile: 416 593-8122

Email: exemptmarketfilings@osc.gov.on.ca

Public official contact regarding indirect collection of information: Inquiries Officer

Prince Edward Island Securities Office

95 Rochford Street, 4th Floor Shaw Building

P.O. Box 2000

Charlottetown, Prince Edward Island C1A 7N8

Telephone: 902 368-4569 Facsimile: 902 368-5283

Public official contact regarding indirect collection of information: Superintendent of Securities

Autorité des marchés financiers

800, rue du Square-Victoria, 22e étage

C.P. 246, Place Victoria Montréal, Québec H4Z 1G3

Telephone: 514 395-0337 or 1 877 525-0337 Facsimile: 514 873-6155 (For filing purposes only) Facsimile: 514 864-6381 (For privacy requests only)

Email: financementdessocietes@lautorite.qc.ca (For corporate finance issuers);

fonds dinvestissement@lautorite.qc.ca (For investment fund issuers)

Public official contact regarding indirect collection of information: Corporate Secretary

Financial and Consumer Affairs Authority of Saskatchewan

Suite 601 - 1919 Saskatchewan Drive Regina, Saskatchewan S4P 4H2

Telephone: 306 787-5842 Facsimile: 306 787-5899

Public official contact regarding indirect collection of information: Executive Director, Securities

Division

Office of the Superintendent of Securities

Government of Yukon

Department of Community Services

307 Black Street, 1st Floor P.O. Box 2703, C-6 Whitehorse, Yukon Y1A 2C6

Telephone: 867 667-5466 Facsimile: 867 393-6251 Email: securities@yukon.ca

Public official contact regarding indirect collection of information: Superintendent of Securities".

5. Form 45-106F3 of the Regulation is amended:

- (1) by replacing "SEDAR website at www.sedar.com", in item 2.2, by "SEDAR+ website at www.sedarplus.com";
 - (2) by replacing "SEDAR", in item 2.3, by "SEDAR+";
- (3) by replacing "SEDAR", in instruction 1 of part C and the second paragraph of instruction 2 of part D of the "Instructions for Completing Form 45-106F3, Offering Memorandum for Qualifying Issuers", by "SEDAR+";

- **6.** Form 45-106F14 of the Regulation is amended by replacing "SEDAR", in item 9 of part 2, by "SEDAR+".
- 7. Form 45-106F15 of the Regulation is amended by replacing "SEDAR", in item 40 of part 11, by "SEDAR+".
- **8.** Form 45-106F19 of the Regulation is amended by replacing "www.sedar.com", in item 14 of part 6, by "www.sedarplus.com".
- **9.** This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND REGULATION 45-108 RESPECTING CROWDFUNDING

Securities Act (chapter V-1.1, s. 331.1, par. (1) and (2))

- **1.** Form 45-108F1 of Regulation 45-108 respecting Crowdfunding (chapter V-1.1, r. 21.02) is amended by replacing "SEDAR website at www.sedar.com", in item 10, by "SEDAR+ website at www.sedarplus.com".
- **2.** This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND NATIONAL POLICY 46-201: ESCROW FOR INITIAL PUBLIC OFFERINGS

Securities Act (chapter V-1.1, s. 331.1, par. (1), (2) and (34))

- 1. Section 1.2 of National Policy 46-201: Escrow for Initial Public Offerings (chapter V-1.1, r. 22) is amended by inserting, after paragraph (3), the following:
- "(4) Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+) (insert reference) prescribes that each document that is required or permitted to be provided to a regulator, except in Québec, or securities regulatory authority must be transmitted to the regulator, except in Québec, or securities regulatory authority electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a regulator, except in Québec, or securities regulatory authority.

To reflect the phased implementation of SEDAR+, the Appendix of Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+) sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+) should be consulted when providing any document to a regulator, except in Québec, or securities regulatory authority under this Policy.".

2. This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND REGULATION 51-101 RESPECTING STANDARDS OF DISCLOSURE FOR OIL AND GAS ACTIVITIES

- 1. Section 5.18 of Regulation 51-101 respecting Standards of Disclosure for Oil and Gas Activities (chapter V-1.1, r. 23) is amended by replacing "SEDAR", in subparagraph (c) of paragraph (2), by "SEDAR+".
- **2.** Form 51-101F4 of the Regulation is amended by replacing "SEDAR" by "SEDAR+" and "SEDAR at www.sedar.com" by "SEDAR+ at www.sedarplus.com".
- **3.** This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND REGULATION 51-102 RESPECTING CONTINUOUS DISCLOSURE OBLIGATIONS

- 1. Section 1.1 of Regulation 51-102 respecting Continuous Disclosure Obligations (chapter V-1.1, r. 24) is amended by striking out, in paragraph (1), the definition of the expression "electronic format".
- 2. Section 5.8 of the Regulation is amended by replacing "www.sedar.com", in subparagraph (iii) of subparagraph (b) of paragraphs (3) and (6), by "www.sedarplus.com".
- **3.** Sections 9.1.1, 9.1.2 and 9.2 of the Regulation are amended by replacing "SEDAR", wherever it appears, by "SEDAR+".
- **4.** Section 12.1 of the Regulation is amended by replacing "in an acceptable electronic format", in subparagraph (b) of paragraph (2), by "electronically".
- **5.** Form 51-102F2 of the Regulation is amended:
 - (1) in paragraph (f) of part 1:
 - (a) by replacing "SEDAR" by "SEDAR+";
- (b) by replacing "SEDAR at www.sedar.com" by "SEDAR+ at www.sedarplus.com";
- (2) by replacing "SEDAR at www.sedar.com", in paragraph (1) of item 17.1 of part 2, by "SEDAR+ at www.sedarplus.com".
- **6.** Form 51-102F5 of the Regulation is amended:
- (1) by replacing "SEDAR at www.sedar.com", in paragraph (c) of part 1, by "SEDAR+ at www.sedarplus.com";
- (2) by replacing "SEDAR at www.sedar.com", in item 16.1 of part 2, by "SEDAR+ at www.sedarplus.com".
- 7. The Regulation is amended by replacing "in electronic format", wherever they appear in sections 4.9, 13.3 and 13.4, by "electronically".
- **8.** Forms 51-102F1, 51-102F3 and 51-102F4 of the Regulation are amended by replacing "SEDAR at www.sedar.com", wherever they appear, by "SEDAR+ at www.sedarplus.com".
- **9.** This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND REGULATION 51-105 RESPECTING ISSUERS OUOTED IN THE U.S. OVER-THE-COUNTER MARKETS

- 1. Section 5 of Regulation 51-105 respecting Issuers Quoted in the U.S. Over-the-Counter Markets (chapter V-1.1, r. 24.1) is amended by replacing paragraph (a) by the following:
- "(a) Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+) (*insert reference*)".
- 2. Section 7 of the Regulation is amended by replacing "in electronic format under section 2.2 of Regulation 13-101 respecting System for Electronic Document Analysis and Retrieval (SEDAR) (chapter V-1.1, r. 2)", in paragraph (2), by "in accordance with Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+) (*insert reference*)".
- **3**. Section 8 of the Regulation is amended by replacing "in electronic format in accordance with Regulation 13-101 respecting System for Electronic Document Analysis and Retrieval (SEDAR) (chapter V-1.1, r. 2)", in paragraph (3), by "in accordance with Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+) (*insert reference*)".
- 4. Form 51-105F3A of the Regulation is amended by replacing "System for Electronic Document Analysis and Retrieval (SEDAR)" by "System for Electronic Data Analysis and Retrieval + (SEDAR+)".
- 5. This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND REGULATION 52-112 RESPECTING NON-GAAP AND OTHER FINANCIAL MEASURES DISCLOSURE

- 1. Section 5 of Regulation 52-112 respecting Non-GAAP and Other Financial Measures Disclosure (chapter V-1.1, r. 28.1) is amended by replacing "SEDAR at www.sedar.com", in subparagraph (c) of paragraph (2), by "SEDAR+ at www.sedarplus.com".
- 2. This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND REGULATION 54-101 RESPECTING COMMUNICATION WITH BENEFICIAL OWNERS OF SECURITIES OF A REPORTING ISSUER

Securities Act (chapter V-1.1, s. 331.1, par. (1), (2) and (34))

1. Section 1.1 of Regulation 54-101 respecting Communication with Beneficial Owners of Securities of a Reporting Issuer (chapter V-1.1, r. 29) is amended by inserting, after the definition of the expression "securityholder materials", the following:

""SEDAR+" has the same meaning as in Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+) (insert reference);".

- **2.** The Regulation is amended by replacing "SEDAR", wherever it appears in sections 2.7.1, 2.7.2 and 2.7.4, by "SEDAR+".
- **3.** This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND NATIONAL INSTRUMENT 55-102, SYSTEM FOR ELECTRONIC DISCLOSURE BY INSIDERS (SEDI)

Securities Act (chapter V-1.1, s. 331.1, par. (1), (2) and (34))

1. Section 1.1 of National Instrument 55-102, System for Electronic Disclosure by Insiders (SEDI) (chapter V-1.1, r. 30) is amended by replacing the definition of the expression "SEDI issuer" by the following:

""SEDI issuer" means a reporting issuer, other than a mutual fund, that is required to comply with Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+) (*insert reference*);".

- **2.** Form 55-102F1 of the National Instrument is amended by replacing "SEDAR", wherever it appears, by "SEDAR+".
- **3.** Form 55-102F3 of the National Instrument is amended, in item 1:
 - (1) by replacing "SEDAR", wherever it appears, by "SEDAR+";
 - (2) by striking out the third sentence.
- **4.** This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND REGULATION 55-104 RESPECTING INSIDER REPORTING REQUIREMENTS AND EXEMPTIONS

- 1. Regulation 55-104 respecting Insider Reporting Requirements and Exemptions (chapter V-1.1, r. 31) is amended by replacing "SEDAR", wherever it appears in sections 6.2, 6.3 and 7.3, by « SEDAR+ ».
- 2. This Regulation comes into force on 9 June 2023

REGULATION TO AMEND REGULATION 58-101 RESPECTING DISCLOSURE OF CORPORATE GOVERNANCE PRACTICES

Securities Act (chapter V-1.1, s. 331.1, par. (1), (2) and (34))

1. Section 1.1 of Regulation 58-101 respecting Disclosure of Corporate Governance Practices (chapter V-1.1, r. 32) is amended by replacing the definition of the expression "SEDAR" by the following:

""SEDAR+" has the same meaning as in Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+) (insert reference);".

- **2.** Section 2.3 of the Regulation is amended by replacing "SEDAR" by "SEDAR+".
- **3.** Form 58-101F1 of the Regulation is amended by replacing "SEDAR", in instruction (5), by "SEDAR+".
- **4.** This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND REGULATION 62-104 RESPECTING TAKE-OVER BIDS AND ISSUER BIDS

- 1. Section 3.2 of Regulation 62-104 respecting Take-Over Bids and Issuer Bids (chapter V-1.1, r. 35) is amended:
- (1) by replacing "Regulation 13-101 respecting System for Electronic Document Analysis and Retrieval (SEDAR) (c. V-1.1, r. 2)", in paragraph (5), by "Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+) (insert reference)";
- (2) by replacing "in an acceptable electronic format under Regulation 13-101 respecting System for Electronic Document Analysis and Retrieval (SEDAR)", in paragraph (6), by "electronically under Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+)".
- 2. Form 62-104F1 of the Regulation is amended by replacing "SEDAR at www.sedar.com", in paragraph (b) of part 1, by "SEDAR+ at www.sedarplus.com".
- **3.** Form 62-104F2 of the Regulation is amended by replacing "SEDAR at www.sedar.com", in paragraph (b) of part 1, by "SEDAR+ at www.sedarplus.com".
- **4.** This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND REGULATION 81-101 RESPECTING MUTUAL FUND PROSPECTUS DISCLOSURE

- 1. Form 81-101F1 of Regulation 81-101 respecting Mutual Fund Prospectus Disclosure (chapter V-1.1, r. 38) is amended by replacing "www.sedar.com", wherever it appears, by "www.sedarplus.com".
- 2. Form 81-101F2 of the Regulation is amended by replacing "www.sedar.com", in paragraph (2) of item 24, by "www.sedarplus.com".
- **3.** This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND REGULATION 81-106 RESPECTING INVESTMENT FUND CONTINUOUS DISCLOSURE

- 1. Sections 5.2 and 5.3 of Regulation 81-106 respecting Investment Fund Continuous Disclosure (chapter V-1.1, r. 42) are amended by replacing "SEDAR", wherever it appears, by "SEDAR+".
- 2. Section 9.4 of the Regulation is amended by replacing "www.sedar.com", in subparagraph (f) of paragraph (2.2), by "www.sedarplus.com".
- **3.** Section 12.2.1 of the Regulation is amended by replacing "SEDAR", wherever it appears, by "SEDAR+".
- **4.** Form 81-106F1 of the Regulation is amended:
 - (1) in part B:
- (a) by replacing "SEDAR at www.sedar.com", in item 1, by "SEDAR+ at www.sedarplus.com";
- (b) by replacing "www.sedar.com", in paragraph (9) of the instructions of item 5, by "www.sedarplus.com";
- (2) by replacing "SEDAR at www.sedar.com", in item 1 of part C, by "SEDAR+ at www.sedarplus.com".
- 5. This Regulation comes into force on 9 June 2023.

REGULATION TO AMEND REGULATION RESPECTING DEVELOPMENT CAPITAL INVESTMENT FUND CONTINUOUS DISCLOSURE

Securities Act (chapter V-1.1, s. 331.1, par. (1) and (2))

- 1. Section 55 of Regulation respecting Development Capital Investment Fund Continuous Disclosure (chapter V-1.1, r. 46) is amended by replacing "SEDAR", in paragraph (2), by "SEDAR+".
- 2. Form F1 of the Regulation is amended by replacing "SEDAR at www.sedar.com", in item 1 of parts B and C, by "SEDAR+ at www.sedarplus.com".
- **3.** Form F3 of the Regulation is amended:
 - (1) in paragraph (7) of the general instructions:
 - (a) by replacing "SEDAR" by "SEDAR+";
- (b) by replacing "SEDAR at www.sedar.com" by "SEDAR+ at www.sedarplus.com";
- (2) by replacing "SEDAR at www.sedar.com", in paragraph (1) of item 19, by "SEDAR+ at www.sedarplus.com".
- **4.** This Regulation comes into force on 9 June 2023.

106283

Draft Regulations

Notice

Act respecting collective agreement decrees (chapter D-2)

Automotive services industry – Drummond and Mauricie

- Amendment

Notice is hereby given, in accordance with section 5 of the Act respecting collective agreement decrees (chapter D-2), that the Minister of Labour has received an application from the contracting parties to amend the Decree respecting the automotive services industry in the Drummond and the Mauricie regions (chapter D-2, r. 8) and that, in accordance with sections 10 and 11 of the Regulations Act (chapter R-18.1), the Decree to amend the Decree respecting the automotive services industry in the Drummond and the Mauricie regions, appearing below, may be made by the Government on the expiry of 45 days following this publication.

The draft Decree increases the minimum hourly wage rates provided for in the Decree.

Study of the regulatory impact shows that the proposed increases will have no unreasonable impact on enterprises that are subject to the Decree.

Further information on the draft Decree may be obtained by contacting Catherine Doucet, policy development advisor, Direction des politiques du travail, Ministère du Travail, 425, rue Jacques Parizeau, 5° étage, Québec (Québec) G1R 4Z1; telephone: 581 628-8934, extension 80082, or 1 888-628-8934, extension 80082 (toll free); email: catherine.doucet@mtess.gouv.qc.ca.

Any person wishing to comment on the draft Decree is requested to submit written comments within the 45-day period to the Minister of Labour, 200, chemin Sainte-Foy, 6° étage, Québec (Québec) G1R 5S1; email: ministre@travail.gouv.qc.ca.

JEAN BOULET
Minister of Labour

Decree to amend the Decree respecting the automotive services industry in the Drummond and the Mauricie regions

Act respecting collective agreement decrees (chapter D-2, ss. 2, 4, 6 and 6.1)

1. The Decree respecting the automotive services industry in the Drummond and the Mauricie regions (chapter D-2, r. 8) is amended by replacing section 9.01 by the following:

"9.01. The minimum hourly wage rates are the following:

Trades	As of (insert the date of coming into force of this Decree)	As of (insert the date occurring one year after the date of coming into force of this Decree)	As of (insert the date occurring two years after the date of coming into force of this Decree)
1 Apprentice			
1st year	\$19.29	\$19.87	\$20.46
2nd year	\$20.48	\$21.09	\$21.73
3rd year	\$21.32	\$21.96	\$22.62
4th year	\$22.82	\$23.50	\$24.21
2 Journeyman			
A	\$28.87	\$29.74	\$30.63
В	\$27.81	\$28.64	\$29.50
C	\$25.43	\$26.19	\$26.98
3 Parts clerk			
Grade 1	\$17.52	\$18.05	\$18.59
Grade 2	\$18.75	\$19.31	\$19.89
Grade 3	\$19.79	\$20.38	\$21.00
Grade 4	\$20.89	\$21.52	\$22.16
Grade 5	\$21.45	\$22.09	\$22.76
Grade 6	\$22.80	\$23.48	\$24.19
Grade 7	\$23.50	\$24.21	\$24.93

Trades	As of (insert the date of coming into force of this Decree)	As of (insert the date occurring one year after the date of coming into force of this Decree)	As of (insert the date occurring two years after the date of coming into force of this Decree)
4 Messenger*	_	_	_
5 Dismantler			
Grade 1	\$17.52	\$18.05	\$18.59
Grade 2	\$17.88	\$18.42	\$18.97
Grade 3	\$18.74	\$19.31	\$19.89
6 Washer*	_	_	_
7 Semiskilled wo	rker		
Grade 1	\$18.25	\$18.80	\$19.36
Grade 2	\$19.82	\$20.41	\$21.03
Grade 3	\$21.37	\$22.01	\$22.67
8 Pump attendar	nt Revoked	Revoked	Revoked
9 Service attenda	ant		
Grade 1	\$17.52	\$18.05	\$18.59
Grade 2	\$18.86	\$19.43	\$20.01
Grade 3	\$19.25	\$19.83	\$20.42
Grade 4	\$20.59	\$21.21	\$21.84
Grade 5	\$21.65	\$22.30	\$22.97

^{*} The wage is equal to the minimum wage provided for in section 3 of the Regulation respecting labour standards (chapter N-1.1, r. 3), increased by \$0.25.".

2. This Decree comes into force on (insert the date of its publication in the Gazette officielle du Québec).

106272

Draft Regulation

Courts of Justice Act (chapter T-16)

Criminal Code (R.S.C. 1985, c. C-46)

Court of Québec —Amendment

Notice is hereby given that, in accordance with section 482 of the Criminal Code (R.S.C. 1985, c. C-46), the chief judge of the Court of Québec publishes the Regulation to amend the Regulation of the Court of Québec, appearing below. The Regulation comes into force on the fifteenth day following the date of its publication in the *Gazette officielle du Québec*.

The Honourable Lucie Rondeau Chief Judge of the Court of Québec

Regulation to amend the Regulation of the Court of Québec

Courts of Justice Act (chapter T-16, s. 147)

Criminal Code (R.S.C. 1985, c. C-46, ss. 482 and 482.1)

1. The Regulation of the Court of Québec (chapter C-25.01, r. 9) is amended by replacing the second paragraph of section 104 by the following:

"An application under the Canadian Charter of Rights and Freedoms, Part I of the Constitution Act, 1982, Schedule B to the Canada Act 1982 (UK), (1982, c. 11), must be announced not later than when the date of the trial is set. The judge must then set a schedule for service of the application and, where applicable, for the opposing party's response.

If the judge believes it is advisable, the judge may instead order that such an application, as well as the opposing party's response where applicable, be served before the date of the trial is set.".

2. This Regulation comes into force on the fifteenth day following the date of its publication in the *Gazette officielle du Québec*.

106284

Draft Regulation

Act respecting municipal courts (chapter C-72.01)

Criminal Code (R.S.C. 1985, c. C-46)

Municipal Courts —Amendment

Notice is hereby given, in accordance with sections 10 and 11 of the Regulations Act (chapter R-18.1), that the Regulation to amend the Municipal Courts Regulation, appearing below, may be made on the expiry of 45 days following this publication.

The draft Regulation specifies the procedure for filing an application under the Canadian Charter of Rights and Freedoms, Part I of the Constitution Act, 1982, being Schedule B to the Canada Act 1982.

Any person wishing to comment on the draft Regulation is requested to submit written comments within the 45-day period to the office of the associate chief judge of the Court of Québec who is responsible for municipal courts, Palais de justice, 300, boulevard Jean-Lesage, bureau 5.01, Québec (Québec) G1K 8K6. Further information may be obtained by contacting Julie Bussières; telephone: 418 649-3628; fax: 418 650-7994.

The Honourable CLAUDIE BÉLANGER Associate Chief Judge of the Court of Québec Responsible for municipal courts

Regulation to amend the Municipal Courts Regulation

Act respecting municipal courts (chapter C-72.01, s. 56.2)

Criminal Code (R.S.C. 1985, c. C-46, ss. 482 and 482.1)

1. The Municipal Courts Regulation (chapter C-72.01, r. 1.1) is amended by replacing the second paragraph of section 59 by the following:

"An application under the Canadian Charter of Rights and Freedoms, Part I of the Constitution Act, 1982, being Schedule B to the Canada Act 1982 (UK), (1982, c. 11), must be announced not later than when the date of the trial is set. The judge must then set a schedule for service of the application and, where applicable, for the opposing party's response.

If the judge believes it is advisable, the judge may instead order that such an application, as well as the opposing party's response where applicable, be served before the date of the trial is set.".

2. This Regulation comes into force on the fifteenth day following the date of its publication in the *Gazette officielle du Québec*.

106285

Draft Regulation

Act respecting the National Student Ombudsman (chapter P-32.01)

Procedure for filing and processing complaints

Notice is hereby given, in accordance with sections 10 and 11 of the Regulations Act (chapter R-18.1), that the Regulation respecting the procedure for filing and processing complaints, appearing below, may be made by the Government on the expiry of 45 days following this publication.

The draft Regulation determines the terms and conditions applicable to the filing of complaints and to their processing by the school service centre or private educational institution and the National Student Ombudsman. The draft Regulation also prescribes the use of a complaints register, as well as the information that it must be possible to enter in the information asset that the National Student Ombudsman may determine.

The draft Regulation has no impact on the public or on enterprises, including small and medium-sized businesses.

Further information on the draft Regulation may be obtained by contacting Nancy-Sonia Trudelle, Secretary General, Bureau de la sous-ministre et du secrétariat général, Ministère de l'Éducation, 1035, rue De La Chevrotière, 15° étage, Québec (Québec) G1R 5A5; telephone: 418-643-3810, extension 3276; email: nancy-sonia.trudelle@education.gouv.qc.ca.

Any person wishing to comment on the draft Regulation is requested to submit written comments within the 45-day period to Bernard Drainville, Minister of Education, Ministère de l'Éducation, 1035, rue De La Chevrotière, 16° étage, Québec (Québec) G1R 5A5; email: ministre@education.gouv.qc.ca.

Bernard Drainville Minister of Education

Regulation respecting the procedure for filing and processing complaints

Act respecting the National Student Ombudsman (chapter P-32.01, s. 29, 31, par. 2, 41, 61, par. 2 and 62)

DIVISION I

PURPOSE

1. This Regulation determines the terms and conditions applicable to the filing of a complaint made by a student or child referred to in section 16 of the Act respecting the National Student Ombudsman (chapter P-32.01) or the parents of that student or child who are dissatisfied with a service they received, are receiving, ought to have received or require from the school service centre or a private educational institution.

This Regulation also determines the terms and conditions applicable to the processing of complaints received by the school service centre or private educational institution and the National Student Ombudsman.

Lastly, this Regulation prescribes the use of a complaints register, as well as the information that it must be possible to enter in the information asset that the National Student Ombudsman may determine.

DIVISION II

COMPLAINT PROCESSING BY A SCHOOL SERVICE CENTRE OR PRIVATE EDUCATIONAL INSTITUTION

- **2.** A complaint filed with the person directly concerned by the complaint, with the person's immediate supervisor or with the person in charge of processing complaints may be filed verbally or in writing.
- **3.** A personnel member of an institution who receives a complaint must inform the principal of the institution in writing.

The notice must contain the name of the complainant, the name of the student or homeschooled child if the student or child is not the complainant, the name of the person concerned by the complaint, the facts on which the complaint is based and the date of receipt of the complaint.

4. A person in charge of processing complaints who receives a complaint in accordance with section 24 of the Act respecting the National Student Ombudsman must send an acknowledgement of receipt to the complainant within 2 working days after receiving the complaint.

The acknowledgement of receipt must specify the date of receipt of the complaint and indicate the date on which the processing period of 15 working days ends. It must also specify the possibility of filing a complaint with the regional student ombudsman if the complaint has not been processed by that date. In addition, the acknowledgement of receipt must indicate the telephone number, website address or email address to obtain information or file a complaint with the regional student ombudsman.

5. The opinion on the merits of the complaint that the person in charge of processing complaints must give in accordance with section 25 of the Act respecting the National Student Ombudsman must mention the possibility of filing a complaint with the regional student ombudsman. The opinion must also indicate the telephone number, website address or email address to obtain information or file a complaint with the regional student ombudsman.

DIVISION III

COMPLAINT PROCESSING BY THE REGIONAL STUDENT OMBUDSMAN

6. The regional student ombudsman must send the complainant an acknowledgement of receipt within 2 working days after receiving the complaint.

DIVISION IVCOMPLAINTS REGISTER

- **7.** A complaints register must be kept by each school service centre and private educational institution.
- **8.** A person who receives a complaint must enter the following information in the complaints register:
 - (1) the date of receipt of the complaint;
- (2) the name of the student or homeschooled child, the name of the person directly concerned by the complaint and, if the complaint was filed with the immediate supervisor of the person directly concerned, the name of the immediate supervisor;
 - (3) the object of the complaint;
- (4) a summary of the alleged facts on which the complaint is based.

Despite the first paragraph, when a complaint is received by a personnel member of an educational institution, the information is entered in the complaints register by the principal of the educational institution or by the person designated by the principal for that purpose.

DIVISION V

INFORMATION ASSET

- **9.** The information asset that the National Student Ombudsman may determine in accordance with section 61 of the Act respecting the National Student Ombudsman must comply with the following standards for record keeping:
- (1) a record must be opened for each complaint and each report and must contain the information provided for in section 10:
 - (2) the records must be updated as developments occur.
- **10.** The information asset must make it possible to enter the following information:
 - (1) the date of receipt of the complaint;
- (2) the name, gender, date of birth, contact information and permanent code of the student or homeschooled child;
 - (3) the facts on which the complaint is based;
 - (4) the nature of the complaint;
- (5) the date and summary of any relevant verbal communication received or sent as part of the processing of the complaint;
- (6) the name and contact information of the person directly concerned by the complaint, the person's immediate supervisor and the main stakeholders concerned by the complaint;
- (7) the documents produced or received as part of the processing of the complaint, as well as the date on which they were received or sent;
- (8) the notes relating to the analyses made during the processing of the complaint, the conclusions, the grounds for those conclusions and the corrective measures recommended or the recommendations, as applicable.

The information asset must likewise make it possible to enter the information concerning reports and the information relating to acts of sexual violence, with the necessary modifications.

DIVISION VI

FINAL

11. This Regulation comes into force on 28 August 2023.

106286