

Laws and Regulations

Volume 150

Summary

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Regulations and other Acts

M.O., 2018-05

Order number V-1.1-2018-05 of the Minister of Finance dated 1 November 2018

Securities Act (chapter V-1.1)

CONCERNING the Regulation to amend Securities Regulation

WHEREAS subparagraph 27.0.3 of section 331.1 of the Securities Act (chapter V-1.1) provide that the Autorité des marchés financiers may make regulations in order to determine the manner in which a commission is to be shared under section 160.1.1 of the act;

WHEREAS the third and fourth paragraphs of section 331.2 of the said Act provide that a draft regulation shall be published in the Bulletin de l'Autorité des marchés financiers, accompanied with the notice required under section 10 of the Regulations Act (chapter R-18.1) and may not be submitted for approval or be made before 30 days have elapsed since its publication;

WHEREAS the first and fifth paragraphs of the said section provide that every regulation made under section 331.1 must be approved, with or without amendment, by the Minister of Finance and comes into force on the date of its publication in the *Gazette officielle du Québec* or on any later date specified in the regulation;

WHEREAS the government, by order-in-council no. 660-83 of March 30, 1983, enacted the Securities Regulation (1983, G.O. 2, 1269);

WHEREAS there is cause to amend this regulation;

WHEREAS the draft Regulation to amend Securities Regulation was published in the Bulletin de l'Autorité des marchés financiers, vol. 15, no. 30 of August 2nd, 2018;

WHEREAS the *Autorité des marchés financiers* made, on October 12th, 2018, by the decision no. 2018-PDG-0065, Regulation to amend Securities Regulation;

WHEREAS there is cause to approve this regulation without amendment;

CONSEQUENTLY, the Minister of Finance approves without amendment the Regulation to amend Securities Regulation appended hereto.

November 1, 2018

ERIC GIRARD, Minister of Finance

Regulation to amend the Securities regulation

Securities Act (chapter V-1.1, s. 331.1, par. (27.0.3))

- 1. The Securities Regulation (chapter V-1.1, r. 50) is amended by inserting the following after section 192.1:
- "192.2 The register that must be kept by a mutual fund or scholarship plan dealer under section 160.1.1 of the Act must contain the following information in respect of each shared commission:
- (1) the name and business address of each person sharing the commission and their status with the Authority, namely, a dealer or adviser governed by the Act, a firm, independent representative or independent partnership governed by the Act respecting the distribution of financial products and services (chapter D-9.2), a holder of a broker's or agency's licence governed by the Real Estate Brokerage Act (chapter C-73.2), a dealer or adviser governed by the Derivatives Act (chapter I-14.01), a financial institution registered with the Authority under the Deposit Insurance Act (chapter A-26), a bank, authorized foreign bank or trust company holding a licence issued under the Act respecting trust companies and savings companies (chapter S-29.01), an insurer holding a licence issued under the Act respecting insurance (chapter A-32) or a federation within the meaning of the Act respecting financial services cooperatives (chapter C-67.3);
- (2) the names of the parties to the transaction and the object and date of the transaction;
- (3) the percentage of the commission or the fixed amount resulting therefrom and the manner in which the commission is allocated between the persons sharing it.
- 192.3 The payment of the commission to the person sharing it must be made by cheque or by means of transfer from an account held with a deposit institution authorized under the Deposit Insurance Act (chapter A-26), a bank or an authorized foreign bank to another such account.
- **192.4** Every sharing of a commission must be promptly entered in the register kept under section 160.1.1 of the Act."
- 2. This Regulation comes into force on the date of its publication in the *Gazette officielle du Québec*.

103727

Draft Regulations

Draft Regulation

Tobacco Control Act (chapter L-6.2)

Warning attributed to the Minister of Health and Social Services concerning the harmful effects of tobacco on health

—Amendment

Notice is hereby given, in accordance with sections 10 and 11 of the Regulations Act (chapter R-18.1), that the Regulation to amend the Regulation respecting the warning attributed to the Minister of Health and Social Services concerning the harmful effects of tobacco on health, appearing below, may be made by the Minister of Health and Social Services on the expiry of 45 days following this publication.

The draft Regulation determines a specific type of warning attributed to the Minister of Health and Social Services for electronic cigarettes or any other devices of that nature.

The draft Regulation has no impact on enterprises, including small and medium-sized businesses.

Further information on the draft Regulation may be obtained by contacting Martine Pageau, Director, Direction de la promotion des saines habitudes de vie, Ministère de la Santé et des Services sociaux, 1075, chemin Sainte-Foy, 11° étage, Québec (Québec) G1S 2M1; telephone: 418 266-6755; email: martine.pageau@msss.gouv.qc.ca.

Any person wishing to comment on the draft Regulation is requested to submit written comments within the 45-day period to the Minister of Health and Social Services, 1075, chemin Sainte-Foy, 15^e étage, Québec (Québec) GIS 2M1.

DANIELLE MCCANN, Minister of Health and Social Services

Regulation to amend the Regulation respecting the warning attributed to the Minister of Health and Social Services concerning the harmful effects of tobacco on health

Tobacco Control Act (chapter L-6.2)

- **1.** The Regulation respecting the warning attributed to the Minister of Health and Social Services concerning the harmful effects of tobacco on health (chapter L-6.2, r. 2) is amended in section 1 by replacing "2" in the second paragraph by "3".
- **2.** Section 2 is amended by replacing the second paragraph by the following:

"The format of the warning determined pursuant to the first paragraph must be Type A, except if the advertisement concerns electronic cigarettes or any other devices of that nature, including their components and accessories, and a product considered to be tobacco under section 1 of the Regulation under the Tobacco Control Act (chapter L-6.2, r. 1), in which case, the format of the warning must be respectively Type B and Type C.".

3. The Schedule is replaced by the following:

"SCHEDULE

WARNING IN FORMAT 1

Type A





Type B





Type C





WARNING IN FORMAT 2

Type A





Type B





Type C





WARNING IN FORMAT 3

Type A





Type B

PLUSIEURS DE CES PRODUITS CONTIENNENT DE LA NICOTINE.

La nicotine crée une dépendance et son usage est risqué pour la santé des enfants, des adolescents, des femmes enceintes et des fœtus.

jarrete.qc.ca

Le ministre de la santé et des services sociaux

Québec 🔡

MANY OF THESE PRODUCTS CONTAIN NICOTINE.

Nicotine is addictive and use may cause harm to children, youth, pregnant women and fetus.

jarrete.qc.ca

Le ministre de la santé et des services sociaux

Québec ##

Type C





4. This Regulation comes into force on the fifteenth day following the date of its publication in the *Gazette officielle du Québec*.

103731

$\label{eq:local_local_local} Index $$Abreviations: A:$ Abrogated, $N:$ New, $M:$ Modified$

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