

Gazette
officielle
DU Québec

Part

2

No. 25

22 June 2016

Laws and Regulations

Volume 148

Summary

Table of Contents
Regulations and other Acts
Parliamentary Committees
Index

Legal deposit – 1st Quarter 1968
Bibliothèque nationale du Québec
© Éditeur officiel du Québec, 2016

All rights reserved in all countries. No part of this publication may be translated, used or reproduced for commercial purposes by any means, whether electronic or mechanical, including micro-reproduction, without the written authorization of the Québec Official Publisher.

NOTICE TO USERS

The *Gazette officielle du Québec* is the means by which the Québec Government makes its decisions official. It is published in two separate editions under the authority of the Act respecting the Centre de services partagés du Québec (chapter C-8.1.1) and the Regulation respecting the *Gazette officielle du Québec* (chapter C-8.1.1, r. 1). Partie 1, entitled “Avis juridiques”, is published at least every Saturday. If a Saturday is a legal holiday, the Official Publisher is authorized to publish it on the preceding day or on the following Monday. Partie 2, entitled “Lois et règlements”, and the English edition, Part 2 “Laws and Regulations”, are published at least every Wednesday. If a Wednesday is a legal holiday, the Official Publisher is authorized to publish them on the preceding day or on the Thursday following such holiday.

Part 2 – LAWS AND REGULATIONS

Internet

The *Gazette officielle du Québec* Part 2 will be available on the Internet at noon each Wednesday at the following address:

www.publicationsduquebec.gouv.qc.ca

The *Gazette officielle du Québec* published on the website is available to all free of charge.

Contents

Part 2 contains:

- (1) Acts assented to, before their publication in the annual collection of statutes;
- (2) proclamations of Acts;
- (3) regulations made by the Government, a minister or a group of ministers and of Government agencies and semi-public agencies described by the Charter of the French language (chapter C-11), which before coming into force must be approved by the Government, a minister or a group of ministers;
- (4) decisions of the Conseil du trésor and ministers’ orders whose publications in the *Gazette officielle du Québec* is required by law or by the Government;
- (5) regulations and rules made by a Government agency which do not require approval by the Government, a minister or a group of ministers to come into force, but whose publication in the *Gazette officielle du Québec* is required by law;
- (6) rules of practice made by judicial courts and quasi-judicial tribunals;
- (7) drafts of the texts mentioned in paragraph 3 whose publication in the *Gazette officielle du Québec* is required by law before their adoption or approval by the Government.

French edition

In addition to the documents referred to in paragraphs 1 to 7 above, the French version of the *Gazette officielle du Québec* contains the orders in council of the Government.

Rates*

1. Annual subscription:

	Printed version
Partie 1 “Avis juridiques”:	\$494
Partie 2 “Lois et règlements”:	\$676
Part 2 “Laws and Regulations”:	\$676

2. Acquisition of a printed issue of the *Gazette officielle du Québec*: \$10.57 per copy.

3. Publication of a notice in Partie 1: \$1.70 per agate line.

4. Publication of a notice in Part 2: \$1.12 per agate line. A minimum rate of \$247 is applied, however, in the case of a publication of fewer than 220 agate lines.

* **Taxes not included.**

General conditions

The Division of the *Gazette officielle du Québec* must receive manuscripts, **at the latest, by 11:00 a.m. on the Monday** preceding the week of publication. Requests received after that time will appear in the following edition. All requests must be accompanied by a signed manuscript. In addition, the electronic version of each notice to be published must be provided by e-mail, to the following address: gazette.officielle@cspq.gouv.qc.ca

For information concerning the publication of notices, please call:

Gazette officielle du Québec
1000, route de l’Église, bureau 500
Québec (Québec) G1V 3V9
Telephone: 418 644-7794
Fax: 418 644-7813
Internet: gazette.officielle@cspq.gouv.qc.ca

Subscriptions

For a subscription to the *Gazette officielle du Québec* in paper form, contact the customer service.

Les Publications du Québec
Customer service – Subscriptions
1000, route de l’Église, bureau 500
Québec (Québec) G1V 3V9
Telephone: 418 643-5150
Toll free: 1 800 463-2100
Fax: 418 643-6177
Toll free: 1 800 561-3479

All claims must be reported to us within 20 days of the shipping date.

Table of Contents

Page

Regulations and other Acts

482-2016	Approval of the Multilateral Agreement Respecting Pooled Registered Pension Plans and Voluntary Retirement Savings Plans and of certain amendments to that Agreement between the Autorité des marchés financiers, the Gouvernement du Québec, the Government of Canada and the governments of other provinces and territories of Canada	2285
498-2016	Roads under the management of the Minister of Transport, Sustainable Mobility and Transport Electrification	2303
Derivatives Act — Regulation 91-506 respecting derivatives determination — Regulation 91-507 respecting trade repositories and derivatives data reporting (Amend.)		
Securities Act — Regulation 45-106 respecting prospectus exemptions — Securities Regulation (Amend.) . . .		2316 2327

Parliamentary Committees

Committee on Citizen Relations — General consultation — Québec Immigration Planning for the 2017-2019 Period.		2347
---	--	------

Regulations and other Acts

Gouvernement du Québec

O.C. 482-2016, 8 June 2016

An Act respecting the Ministère des Finances
(chapter M-24.01)

Multilateral Agreement Respecting Pooled Registered Pension Plans and Voluntary Retirement Savings Plans and of certain amendments — Approval

Approval of the Multilateral Agreement Respecting Pooled Registered Pension Plans and Voluntary Retirement Savings Plans and of certain amendments to that Agreement between the Autorité des marchés financiers, the Gouvernement du Québec, the Government of Canada and the governments of other provinces and territories of Canada

WHEREAS the Autorité des marchés financiers and the Gouvernement du Québec wish to enter into a multilateral agreement respecting pooled registered pension plans and voluntary retirement savings plans with the Government of Canada and the governments of other provinces and territories of Canada;

WHEREAS the Agreement allows Québec administrators of voluntary retirement savings plans to be exempt from obtaining a licence to act as administrators of pooled registered pension plans under the federal law and also under the law of other provinces and territories that will be parties to the Agreement and allows administrators who have obtained a licence from the Office of the Superintendent of Financial Institutions to obtain an authorization from the Autorité des marchés financiers to act as administrators of voluntary retirement savings plans where the conditions set out in the Agreement are met;

WHEREAS, under the first paragraph of section 14 of the Voluntary Retirement Savings Plans Act (chapter R-17.0.1), a legal person must hold an authorization granted by the Autorité des marchés financiers to act as administrator of a voluntary retirement savings plan;

WHEREAS the Autorité des marchés financiers is a legal person and a mandatory of the State under section 1 of the Act respecting the Autorité des marchés financiers (chapter A-33.2);

WHEREAS, under the first paragraph of section 33 of that Act, the Autorité des marchés financiers may, as provided by law, enter into an agreement with a government other than the Gouvernement du Québec, a department of such a government, an international organization or a body of such a government or organization;

WHEREAS the Autorité des marchés financiers is a government agency within the meaning of section 3.6.2 of the Act respecting the Ministère du Conseil exécutif (chapter M-30);

WHEREAS the Multilateral Agreement Respecting Pooled Registered Pension Plans and Voluntary Retirement Savings Plans is a Canadian intergovernmental agreement within the meaning of that section;

WHEREAS, under the first paragraph of section 3.8 of that Act, Canadian intergovernmental agreements must, to be valid, be approved by the Government and be signed by the Minister responsible for Canadian Relations and the Canadian Francophonie;

WHEREAS, under the first paragraph of section 15 of the Act respecting the Ministère des Finances (chapter M-24.01), the Minister of Finance may enter into agreements, as provided by law, with a government other than the Gouvernement du Québec, with a department or body of that government, or with an international organization or one of its agencies;

IT IS ORDERED, therefore, on the recommendation of the Minister of Finance and the Minister responsible for Canadian Relations and the Canadian Francophonie:

THAT the Multilateral Agreement Respecting Pooled Registered Pension Plans and Voluntary Retirement Savings Plans between the Autorité des marchés financiers, the Gouvernement du Québec, the Government of Canada and the governments of other provinces of Canada, which will be substantially consistent with the draft Agreement attached to this Order in Council, be approved;

THAT the amendments to the Agreement mentioned in the first paragraph of the instrument in order to add new parties that will be governments of other provinces or territories of Canada be approved.

JUAN ROBERTO IGLESIAS,
Clerk of the Conseil exécutif

**MULTILATERAL AGREEMENT RESPECTING POOLED REGISTERED PENSION
PLANS AND VOLUNTARY RETIREMENT SAVINGS PLANS**

Between

Canada, represented by the Minister of Finance;

British Columbia, represented by the Minister of Finance;

Nova Scotia, represented by the Minister of Finance and Treasury Board;

The government of Quebec, represented by the Minister of Finance and by the Minister responsible for Canadian Relations and the Canadian Francophonie;

The Autorité des marchés financiers, represented by the President and Chief Executive Officer;

and

Saskatchewan, represented by the Minister of Justice and Attorney General;

RECITALS

- (1) Whereas each party to this Agreement is authorized by its laws to be bound by this Agreement;
- (2) Whereas Quebec and the Autorité des marchés financiers agree to be bound by only Parts I, with the exception of subsections 2(6) and (7), II, VI, and VII of this Agreement;
- (3) Whereas a pooled registered pension plan may be subject to the legislation of more than one party;
- (4) Whereas to establish an efficient and low cost regulatory environment for pooled registered pension plans, the parties, other than Quebec and the Autorité des marchés financiers as provided in this Agreement, intend to specify the law that applies to pooled registered pension plans that are otherwise subject to the federal *Pooled Registered Pension Plans Act* and the pooled registered pension plan legislation of at least one province and allow, to the extent provided in this Agreement, a single supervisory authority to exercise with respect to any such pooled registered pension plans all of the licensing, registration and supervisory powers to which such pooled registered pension plans are subject;
- (5) Whereas the laws of the parties allow for entering into an Agreement respecting any matter relating to pooled registered pension plans that are subject to the federal *Pooled Registered Pension Plans Act* and the pooled registered pension plan legislation of at least one province, including the reciprocal application of legislative provisions and administrative powers of the supervisory authorities concerned;
- (6) Now, therefore, the parties agree as follows:

Contents of Agreement

PART I DEFINITIONS AND APPLICATION OF THIS AGREEMENT

PART II LICENSING

PART III REGISTRATION

PART IV SUPERVISION

PART V APPLICABLE LAW

PART VI RELATIONS BETWEEN PARTIES AND SUPERVISORY AUTHORITIES

PART VII EXECUTION, AMENDMENTS TO, WITHDRAWAL FROM AND COMING INTO FORCE OF AGREEMENT

PART I DEFINITIONS AND APPLICATION OF THIS AGREEMENT

Definitions

1. (1) For the purposes of this Agreement, unless the context indicates a different meaning:

“AMF” means Autorité des marchés financiers.

“federal PRPP Act” means the *Pooled Registered Pension Plans Act*, S.C. 2012, c. 16, and any subordinate legislation made under that Act, both as amended from time to time.

“federal PRPP licence” means a licence issued by the Superintendent in accordance with section 11 of the federal PRPP Act authorizing a corporation to be an administrator of a pooled registered pension plan.

“federally-licensed administrator” means the holder of a federal PRPP licence or an entity designated by the Superintendent under subsection 21(1) of the federal PRPP Act.

“federally-registered PRPP” means a PRPP that has been registered in accordance with section 12 of the federal PRPP Act.

“member” means a person who holds an account with a PRPP.

“party” means a signatory to this Agreement as authorized to enter into it by the federal PRPP Act, a provincial PRPP Act or, in the case of Quebec and the AMF, the laws of Quebec.

“pooled registered pension plan” or “PRPP” means a pooled registered pension plan that is required to be registered under the federal PRPP Act or a provincial PRPP Act as applicable.

“provincial PRPP Act” means the legislation of a province listed in Schedule A and any subordinate legislation made under that Act, both as amended from time to time.

“Superintendent” means the Superintendent of Financial Institutions appointed under section 5 of the *Office of the Superintendent of Financial Institutions Act* (R.S.C. (1985), c. 18 (3rd Supp.)).

“supervisory authority” means the government ministry, department or agency of a party that has supervisory powers with respect to PRPPs under its laws and, in Quebec, the AMF with respect to a VRSP licence.

“voluntary retirement savings plan” or VRSP means a plan registered under the VRSP Act.

“VRSP Act” means the *Voluntary Retirement Savings Plans Act*, (CQLR, chapter R-17.0.1), and any subordinate legislation made under that legislation, both as amended from time to time.

“VRSP administrator” means the holder of a VRSP licence.

“VRSP licence” means an authorization issued by the AMF under section 29 of the VRSP Act.

Schedules

(2) The following Schedules form part of this Agreement:

(a) Schedule A - Provincial PRPP Acts

(b) Schedule B – Requirements to be met under the VRSP Act for the AMF to Issue a VRSP Licence to the Holder of a Federal PRPP Licence

(c) Schedule C - Matters for the Purposes of Subsection 11(1).

Application of this Agreement

2. (1) Subject to subsections (4) and (5), this Agreement applies in respect of a PRPP that is required to be registered under the federal PRPP Act and one or more provincial PRPP Acts and any related matters, including its registration and supervision, the issuance of a licence authorizing a corporation to be an administrator of a PRPP, and the law applicable to a PRPP, its administrators, members and their spouse or common law partner, survivors and other beneficiaries (or the equivalent in the respective jurisdiction), and the employers offering it.

(2) This Agreement applies, to the extent that it provides for it, in respect of the issuance of a VRSP licence.

(3) Only Parts I, with the exception of subsections 2(6) and (7), II, VI and VII of this Agreement apply with regards to a VRSP licence.

(4) This Agreement does not apply in respect of a PRPP that prohibits individuals in respect of whom the federal PRPP Act applies from becoming members of the PRPP.

(5) For greater certainty, this Agreement does not apply in respect of a PRPP that is only registered provincially.

(6) This Agreement applies despite any conflicting provision in any document that creates or supports a PRPP.

(7) Where any provision of this Agreement conflicts with any provision of the federal PRPP Act or a provincial PRPP Act, this Agreement prevails to the extent of the conflict.

PART II LICENCES

Licensing Requirements

3. (1) A corporation that holds a federal PRPP licence or a VRSP licence is exempt from the requirement to obtain a licence under the applicable provincial PRPP Act.

(2) A corporation is exempt from the requirement to obtain a licence under the federal PRPP Act if the corporation holds a VRSP licence.

(3) The AMF shall issue a VRSP licence to a corporation that holds a federal PRPP licence if the requirements listed in Schedule B are met.

(4) For greater certainty, this Agreement does not prohibit a provincial supervisory authority from issuing a PRPP licence under its provincial PRPP Act.

Suspension or Revocation of a VRSP Licence

4. Despite subsections 3(1) and (2), a VRSP administrator that has its VRSP licence revoked by the AMF is no longer exempt from the requirement to obtain a licence under the federal PRPP Act or the applicable provincial PRPP Act.

5. The AMF shall notify the Superintendent as soon as practicable if it has suspended or revoked the VRSP licence of a VRSP administrator, where that VRSP administrator administers a federally-registered PRPP and does not hold a federal PRPP licence.

PART III PLAN REGISTRATION

Registration Requirements

6. (1) A federally-licensed administrator that has a PRPP registered under the federal PRPP Act is exempt from the requirement to have that PRPP registered under the applicable provincial PRPP Act.

(2) A VRSP administrator that has a PRPP registered under the federal PRPP Act is exempt from the requirement to have that PRPP registered under a provincial PRPP Act.

(3) For greater certainty, this Agreement does not prohibit a provincial supervisory authority from registering a PRPP under its PRPP Act.

(4) For greater certainty, any corporation that has a PRPP registered under the federal PRPP Act is subject to the powers of the Superintendent in respect of a federally-licensed administrator.

(5) For greater certainty, a federally-registered PRPP and a VRSP are distinct plans.

Notification

7. The Superintendent shall notify the AMF as soon as practicable if, in relation to a federally-registered PRPP administered by a VRSP administrator, the Superintendent has ordered the VRSP administrator to transfer the federally-registered PRPP and all of its assets to an entity designated by the Superintendent, or has terminated the federally-registered PRPP.

PART IV SUPERVISION

Role of the Superintendent

8. The Superintendent shall supervise all federally-registered PRPPs that are subject to this Agreement.

9. (1) With respect to the supervision of a federally-registered PRPP, the Superintendent shall exercise the powers of a supervisory authority of a province as set out in and in accordance with this Agreement.

(2) The Superintendent shall determine any matter or question related to supervision and related to the exercise of its powers pursuant to this Agreement.

(3) A decision that is made by the Superintendent under the authority of this Agreement and that relates to the application of a provincial PRPP Act that determines a matter addressed in Schedule C or in subsection 11(4) is deemed to be a decision of the supervisory authority of the applicable province and is not subject to judicial review under the *Federal Courts Act* (R.S.C., (1985) c. F-7), but instead is subject to the processes for review and appeal under the laws of that province.

PART V APPLICABLE LAW

Application of the Federal PRPP Act

10. Subject to section 11, the provisions of the federal PRPP Act apply to a federally-registered PRPP, including in respect of all members, and their spouses or common law partners, survivors and other beneficiaries (or the equivalent in the respective jurisdiction), its administrator, the Superintendent, and the employer offering the PRPP, instead of the provisions of a provincial PRPP Act in respect of corresponding matters that would otherwise apply if this Agreement did not exist.

Exceptions

11. (1) The following legislation applies in respect of a member of a federally-registered PRPP, and their spouse, common law partner, survivor or other beneficiary (or the equivalent in the respective jurisdiction), in relation to a matter referred to in Schedule C or addressed under subsection (4):

(a) subject to paragraph (b), the provincial PRPP Act of the province in which the member is employed or self-employed or, if the member is not currently employed or self-employed, was last employed or self-employed and contributed to that PRPP, or

(b) the federal PRPP Act if the member

(i) is employed in included employment as defined in the federal PRPP Act with an employer that participates or participated in that PRPP or, if the member is not currently employed, was last so employed and contributed to that PRPP; or

(ii) is employed or self-employed or, if the member is not currently employed or self-employed, where he or she was last so employed or self-employed and a member of that PRPP in Yukon, the Northwest Territories or Nunavut, and contributed to that PRPP.

(2) For the purposes of this section, “last employed” or “last self-employed” refers only to employment or self-employment in jurisdictions bound by this Part.

(3) Where legislation referred to in subsection (1) applies in respect of amounts in a member’s account, it applies in relation to the entire balance of the member’s account.

(4) For greater certainty, the provisions of a provincial PRPP Act in relation to which there are no corresponding matters addressed under the federal PRPP Act apply notwithstanding anything in this Part.

12. The provisions of the federal PRPP Act are adapted to the extent necessary to give effect to this Part.

13. For greater certainty, this Agreement does not apply to provisions of the federal PRPP Act or a provincial PRPP Act regarding authorities and requirements for entering into this Agreement, amending it, or adding parties to it as well as provisions regarding the effect of the Agreement.

PART VI RELATIONS BETWEEN PARTIES AND SUPERVISORY AUTHORITIES

Requests for assistance

14. (1) Each supervisory authority shall:

(a) upon request of another supervisory authority, assist each other in any matter concerning the exercise of powers or responsibilities under this Agreement as is reasonable in the circumstances;

(b) upon request of another supervisory authority, provide any information that it is able to regarding amendments to legislation that have been tabled and regulations that have been filed or published, to the extent that such amendments affect the application of this Agreement;

(c) seek an amicable resolution to any dispute that arises between them with respect to the interpretation of this Agreement.

(2) Where a decision of the Superintendent is being subjected to a review or appeal process under the laws of a province as provided for under subsection 9(3), the Superintendent shall, upon request, provide to the supervisory authority of the province the record that was before the Superintendent in making that decision.

Survival

15. Following the termination of this agreement or following the withdrawal of a party, section 14 shall survive only for the purposes of responding to pending requests.

Information on policy developments

16. Subject to any rules concerning Cabinet confidences and solicitor-client privilege, and any other confidentiality rule applicable to a party, each party shall provide to each other on a timely basis relevant information on policy developments in relation to the federal PRPP Act, a provincial PRPP Act, or the VRSP Act as applicable.

PART VII EXECUTION, AMENDMENTS TO, WITHDRAWAL FROM AND COMING INTO FORCE OF AGREEMENT

Effective Date

17. This Agreement comes into force:

(a) on June 15, 2016, in respect of each party that signed this Agreement on or before that date; and

(b) after June 15, 2016, in respect of any other province that wishes to become a party, on the date unanimously agreed to by all parties.

Additional Parties

18. A province may become a party:

- i) with the unanimous consent of the parties; and
- ii) if the province has executed a signature page that is substantially similar to those that form part of this Agreement, and has provided copies of that page to all parties.

Effects

19. This Agreement shall be to the benefit of and be binding upon the parties and the supervisory authorities, as of the date referred to, as the case may be, in clause (a) or (b) of section 17.

Withdrawal from Agreement

20. (1) A party may withdraw from the Agreement by giving at least 12 months' written notice to all the other parties to the Agreement and to the administrators of federally-registered PRPPs affected by the withdrawal. Upon expiry of the period indicated in the notification, the withdrawing party shall cease to be a party to the Agreement.

(2) Despite subsection (1), Canada shall provide written notice to all other parties at least 18 months prior to withdrawal.

(3) Once a party has notified the other parties that it intends to withdraw from the Agreement, but before the withdrawal takes effect, that party's supervisory authority shall work with any other supervisory authorities that would be affected to facilitate the transfer of supervisory responsibilities in respect of PRPPs affected by the withdrawal.

(4) If a withdrawing party, other than Canada, has notified the other parties that it intends to withdraw from this Agreement, the Superintendent shall, in a reasonable time and subject to any legislative restrictions, provide to that party's supervisory authority copies of documents relating to the affected PRPPs that have been filed with the Superintendent pursuant to the federal PRPP Act by the plan administrator that are necessary for the continued supervision of those PRPPs and shall inform the supervisory authority of any administrative decisions taken by the Superintendent concerning the affected PRPPs.

(5) If Canada has notified the other parties that it intends to withdraw from this Agreement, the Agreement shall be terminated at the end of the period referred to in subsection (2).

Amendments

21. (1) This Agreement may be amended with the unanimous written consent of the parties.

(2) Despite subsection (1), the portions of Schedule A or B applicable to a specific party is amended at the initiative of that party.

(3) Notice of an amendment to Schedule A or B shall be provided to all other parties.

Execution in Counterparts

22. This Agreement and any amendment to this Agreement may be executed in counterparts.

Execution in English and French

23. This Agreement and any amendment to this Agreement shall be executed in both English and French, each text being equally authoritative.

SCHEDULE A**Provincial PRPP Acts****British Columbia**

Pooled Registered Pension Plans Act, S.B.C. 2014, c. 17

Nova Scotia

Pooled Registered Pension Plans Act, S.N.S. 2014, c. 37

Saskatchewan

*The Pooled Registered Pension Plans (Saskatchewan) Act, S.S. 2013,
c.P-16.101*

SCHEDULE B**Requirements to be met under the VRSP Act for the AMF to Issue a VRSP Licence to the Holder of a Federal PRPP Licence**

In order to obtain a licence to act as administrator pursuant to the VRSP Act, a corporation must:

- (a) be an insurer holding a life insurance class licence issued under the Act respecting insurance (chapter A-32) in conformity with the Regulation under the Act respecting insurance (chapter A-32, r. 1), a trust company holding a licence issued under the Act respecting trust companies and savings companies (chapter S-29.01) or an investment fund manager registered in accordance with Title V of the Securities Act (chapter V-1.1);
- (b) complete and file the Application form for Authorization to Act as Administrator of a VRSP;
- (c) be incorporated under a jurisdiction other than the province of Quebec;
- (d) pay the required fees to the AMF in accordance with the Regulation respecting fees and costs payable for the issuance of an authorization under the VRSP Act;
- (e) provide the following information in accordance with the Regulation respecting applications for authorization and liability insurance coverage for administrators of voluntary retirement savings plans (R-17.0.1, r. 1):
 - (i) a confirmation that the amount by which the assets of the corporation exceed its liabilities is at least equal to the amount determined by regulation, or an irrevocable letter of credit or a suretyship, which letter or suretyship is in an amount determined by regulation and is issued by a financial institution licensed as an insurer, trust company or deposit institution under an Act of Canada or of a Canadian province or territory;
 - (ii) a confirmation that the corporation holds liability insurance in accordance with the requirements determined by regulation;
- (f) provide a five-year business plan dealing with the proposed development of activities related to the voluntary retirement savings plan and showing how the corporation intends to comply with the conditions and obligations applicable under the VRSP Act; and
- (g) ensure representatives distributing VRSPs hold a valid licence or registration for the financial product they are providing (insurance or securities).

SCHEDULE C**Matters for the Purposes of Subsection 11(1)**

For the purposes of subsection 11(1), a matter is any of the following:

- (a) provisions relating to the definition of spouse, former spouse, common-law partner and survivor (or the equivalent in the respective jurisdiction);
- (b) the locking-in, withdrawal, and surrender of funds from a member's PRPP account;
- (c) rules respecting variable payments, including the election of a member to receive variable payments from his or her PRPP account, and the annual variable payment amount;
- (d) the transfer, payment or surrender of funds or entitlement to funds in a member's account on the death of that member;
- (e) the transfer of funds from a member's PRPP account to a pension plan, retirement savings plan, locked-in account or life annuity or other similar product, as well as the rules applicable to these products, including the rules applicable to the transfer of funds from these products;
- (f) rules regarding agreements or arrangements to transfer, charge, anticipate, assign, give as security or surrender any rights or interests in:
 - (i) funds in a PRPP account; and
 - (ii) funds transferred from a PRPP account.

MULTILATERAL AGREEMENT RESPECTING
POOLED REGISTERED PENSION PLANS AND VOLUNTARY RETIREMENT
SAVINGS PLANS

For Canada

IN WITNESS WHEREOF, the undersigned, being duly authorized, has signed the
Multilateral Agreement Respecting Pooled Registered Pension Plans and Voluntary
Retirement Savings Plans.

Signed at Ottawa,

The ____ day of _____, 2016.

Minister of Finance

MULTILATERAL AGREEMENT RESPECTING
POOLED REGISTERED PENSION PLANS AND VOLUNTARY RETIREMENT
SAVINGS PLANS

For British Columbia

IN WITNESS WHEREOF, the undersigned, being duly authorized, has signed the
Multilateral Agreement Respecting Pooled Registered Pension Plans and Voluntary
Retirement Savings Plans.

Signed at Victoria,

The ____ day of _____, 2016.

Minister of Finance

MULTILATERAL AGREEMENT RESPECTING
POOLED REGISTERED PENSION PLANS AND VOLUNTARY RETIREMENT
SAVINGS PLANS

For Nova Scotia

IN WITNESS WHEREOF, the undersigned, being duly authorized, has signed the
Multilateral Agreement Respecting Pooled Registered Pension Plans and Voluntary
Retirement Savings Plans.

Signed at Halifax,

The day of , 2016.

Minister of Finance and Treasury Board

MULTILATERAL AGREEMENT RESPECTING
POOLED REGISTERED PENSION PLANS AND VOLUNTARY RETIREMENT
SAVINGS PLANS

IN WITNESS WHEREOF, the undersigned, being duly authorized, agree to be bound by Parts I, with the exception of subsections 2(6) and (7), II, VI and VII of the Multilateral Agreement Respecting Pooled Registered Pension Plans and Voluntary Retirement Savings Plans.

Signed at Québec,

The day of , 2016.

For the government of Quebec

Minister of Finance

Minister responsible for Canadian Relations and the Canadian Francophonie

For the Autorité des marchés financiers

President and Chief Executive Officer

MULTILATERAL AGREEMENT RESPECTING
POOLED REGISTERED PENSION PLANS AND VOLUNTARY RETIREMENT
SAVINGS PLANS

For Saskatchewan

IN WITNESS WHEREOF, the undersigned, being duly authorized, has signed the
Multilateral Agreement Respecting Pooled Registered Pension Plans and Voluntary
Retirement Savings Plans.

Signed at Regina,

The day of, 20 .

Minister of Justice and Attorney General

Gouvernement du Québec

O.C. 498-2016, 8 June 2016

An Act respecting roads
(chapter V-9)

Roads under the management of the Minister of Transport, Sustainable Mobility and Transport Electrification

CONCERNING roads under the management of the Minister of Transport, Sustainable Mobility and Transport Electrification

WHEREAS, under the first paragraph of section 2 of the Act respecting roads (chapter V-9), the Government determines, by order published in the *Gazette officielle du Québec*, the roads which shall be under the management of the Minister of Transport, Sustainable Mobility and Transport Electrification;

WHEREAS, under the first paragraph of section 3 of the Act, the Government may, by order published in the *Gazette officielle du Québec*, determine that a road which is under the management of the Minister shall, from the date indicated in the order, be managed by a municipality in accordance with Chapter I and Division I of Chapter IX of Title II of the Municipal Powers Act (chapter C-47.1);

WHEREAS, under the second paragraph of section 3 of the *Act respecting roads*, the Government may, by order published in the *Gazette officielle du Québec*, determine that a road which is under the management of a municipality shall, from the date indicated in the order, pass under the management of the Minister;

WHEREAS Order in Council number 292-93 dated 3 March 1993 and its subsequent amendments determined, by municipality, the roads under the management of the Minister of Transport, Sustainable Mobility and Transport Electrification;

WHEREAS it is expedient to amend again the Schedule to this Order in Council and its subsequent amendments in order to correct the description of certain roads, and indicate the roads that were geometrically redeveloped and roads whose right of way underwent a change in width;

WHEREAS it is expedient to further amend the Schedule to this Order in Council and its subsequent amendments in order to determine that certain roads which are under the management of the Minister pass under the management of the municipalities on whose territory they are located and that certain other roads under the management of the municipalities pass under the management of the Minister;

IT IS ORDERED accordingly, on the recommendation of the Minister of Transport, Sustainable Mobility and Transport Electrification:

THAT the Schedule to Order in Council number 292-93 dated 3 March 1993 and its subsequent amendments concerning roads under the management of the Minister of Transport, Sustainable Mobility and Transport Electrification be amended again, with regard to the municipalities indicated, and that all corrections to the descriptions, additions, deletions in favour of the municipalities on whose territory they are located, geometric redevelopments and changes of right-of-way width made to the roads listed in the Schedule to this Order in Council be specified;

THAT this Order in Council be effective as of the date it is published in the *Gazette officielle du Québec*.

JUAN ROBERTO IGLESIAS,
Clerk of the Conseil exécutif

SCHEDULE

ROADS UNDER THE MANAGEMENT OF THE MINISTER OF TRANSPORT, SUSTAINABLE MOBILITY AND TRANSPORT ELECTRIFICATION

PRESENTATION NOTE

Roads under the management of the Minister of Transport, Sustainable Mobility and Transport Electrification have been described for each municipality where they are located. The update of the Schedule to Order in Council number 292-93 of 3 March 1993, and its subsequent amendments, indicates corrections to the description of roads, additions or deletions of roads, as well as changes affecting the right-of-way width or geometric redevelopment of roads.

A) CORRECTION TO THE DESCRIPTION, ADDITION OR DELETION

The roads identified in “Correction to the description”, “Addition” or “Deletion” have been described using the following five elements:

1. ROAD CLASS

The nomenclature of road classes is derived from the functional classification established by the Ministère des Transports, de la Mobilité durable et de l'Électrification des transports (MTMDET).

2. SECTION IDENTIFICATION

Roads are identified according to the codes that the MTMDET uses to subdivide its road network. The codes are divided into Road / Segment / Section / Sub-road. The sequence within the sub-road has evolved over the years (current codes are in bold in the examples below). Here is how to interpret the information:

Main road

Road	Segment	Section	Sub-road	Description
00138	- 01	- 110	- 000-C	Main road (000) with contiguous lanes (C)
00020	- 02	- 090	- 000-S	Main road (000) with divided lanes (S)
00020	- 02	- 090	- 0-00-1	Main road (000) with number used for computer validation "1" (0 to 9)

Ramp

Road	Segment	Section	Sub-road	Description
00020	- 02	- 090	- 32A	Ramp (3), intersection No. 2 , named "A"
00020	- 02	- 090	- 3-02-0-A	Ramp (3), roundabout No. 02, named "0-A"

3. NAME OF ROAD

For roads with a number less than 1000, the road number is indicated instead of the odonym. The odonym is used for the other roads.

When there are one or more ramps along a road section, the total number of ramps for that section is indicated for this item; the combined lengths of all the ramps are indicated under "Length in km."

4. LOCATION OF BEGINNING

This item contains the description of a physical landmark used to locate the beginning of a road section or to identify municipal boundaries in the case of a road section found in more than one municipality.

5. LENGTH IN KM

The length in kilometres is entered for each road or part of a road. The length is determined by the Minister of Transport, Sustainable Mobility and Transport Electrification and corresponds to the actual distance travelled by a vehicle between two points, without taking into consideration the number of lanes or configuration of the road (contiguous or divided lanes). Thus, the length is the same regardless of whether the road is an autoroute or a collector road.

B) CHANGE OF RIGHT-OF-WAY WIDTH OR GEOMETRIC REDEVELOPMENT

The roads identified in "Change of Right-of-Way Width" or "Geometric Redevelopment" are described using the same elements of Section A above and, if applicable, the plan number, the name of the land surveyor and the number of the land surveyor's minutes.

AMQUI, V (0704700)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00132-20-091-000-C	Route 132 eastbound 1 ramp	Limit Lac-au-Saumon VL	5.56 0.06

- Correction to the description
- Geometric redevelopments

National	00132-20-091-000-C	Route 132 eastbound 1 ramp	Limit Lac-au-Saumon M	5.56 0.06
According to plan AA20-3371-7602-1, prepared by Gilbert Bérubé, I.s., under number 6915 of his minutes and Michel Brisson, I.s., under numbers 1405, 1415 and 1431 of his minutes and by Roger McSween, I.s., under number 2042 of his minutes				

BAIE-DES-SABLES, M (0808000)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00132-14-060-0-00-9	Route 132	Intersection route 297	6.74

- Corrections to the description
- Change of right-of-way width

National	00132-14-060-000-C	Route 132	Intersection route 297	6.72
According to plan TR-6510-154-14-7168 prepared by Claude Vézina, I.s., under number 6596 of his minutes				

BEAUHARNOIS, V (7002200)

- Deletion

Road Class	Section identification	Name of Road	Location of beginning	Length in km
Regional	61395-01-001-000-S	Chemin Saint-Louis	Roundabout route 236	0.07
Local	61395-01-010-000-C	Chemin Saint-Louis	End of divided lanes	2.84

BÉCANCOUR, V (3801000)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
Autoroute	00055-04-091-0-00-6	Autoroute 55	Limit St-Célestin, SD	7.07
Autoroute	00055-04-111-0-00-2	Autoroute 55 4 ramps	Intersection boulevard des Acadiens	2.40 4.30

- Corrections to the description
- Geometric redevelopment

Autoroute	00055-04-093-000-C	Autoroute 55	Limit Saint-Célestin, M	5.37
Autoroute	00055-04-112-000-S	Autoroute 55 11 ramps	End of contiguous lanes	4.10 7.77
According to plan AA-6406-154-89-0527, prepared by Claude Boudreau, I.s., under number 1022 of his minutes				

BURY, M (4107000)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00108-01-250-0-00-6	Route 108	Limit Westbury CT	1.46

- Corrections to the description
- Addition (ramps)

National	00108-01-246-000-C	Route 108 3 ramps	Intersection route 214 westbound	1.47 0.44
According to plan EX-76-554-112, sheet 2C, prepared by Michel J. Côté, I.s., under number 4314 of his minutes				

CACOUNA, M (1205700)**Addition**

Autoroute	00020-08-110-000-C*	Autoroute 20 4 ramps	End of divided lanes	3.63 2.45
Collector	93830-01-020-000-C	Route Moreault	Intersection ramp of autoroute 20 eastbound	0.45

* This section is also found under Saint-Arsène and under L'Isle-Verte.

CLORIDORME, CT (0301000)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00132-16-047-000C	Route 132	Limit Petite-Vallée, m	15,64

- Corrections to the description

National	00132-16-047-000C	Route 132	Limite Petite-Vallée, m	15,64
According to plans AA-6307-154-02-0027, AA-6307-154-02-0027-1 and AA-6307-154-02-0027-2 prepared by Roger McSween, I.s., under numbers 1876, 1909, 1982, 1920 and 1970 of his minutes and by Robert Connolly, I.s. under numbers 1054, 1653 and 1530 of his minutes				

COOKSHIRE-EATON, V (4103800)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00108-01-165-000-C	Route 108	Limit Sherbrooke	13.01

- Correction to the description
- Addition (ramps)

National	00108-01-165-000-C	Route 108 2 ramps	Limit Sherbrooke, V	13.01 0.46
According to plan 622-84-F0-319, sheet 1B, prepared by Michel J. Côté, I.s., under number 4310 of his minutes				

DEAUVILLE, VL (43035000)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00112-03-101-0-00-5	Route 112 2 ramps	Intersection route 249 northbound	3.16 0.66
National	00112-03-111-0-00-3	Route 112	Exit 128 autoroute 10 eastbound	2.88

replaced by

SHERBROOKE, V (4302700)

- Corrections to the description
- Addition (control station)

National	00112-03-105-000-S	Route 112 9 ramps	Intersection route 249 northbound	4.57 3.99
National	00112-03-110-000-C	Route 112	End of divided lanes	1.49
According to plan 622-84-330, sheet 2C, prepared by Michel J. Côté, I.s., under number 4307 of his minutes				

ESCUMINAC, M (0602500)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00132-19-102-000-C	Route 132	Limit Nouvelle, M	14,43

- Corrections à la description

National	00132-19-102-000-C	Route 132	Limit Nouvelle, M	14,43
According to plans AA-3174-8403-B et AA-3174-8403-B1 prepared by G.-Magella Proulx., I.s., under numbers 2147, 2158, 2159, 2177 and 2253 of his minutes				

GASPÉ, V (0300500)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00197-01-027-000-C	Route 197	1174 m south of rivière au Renard	10,07
Collector	00132-17-021-0-00-0	Route 132 1 ramp	Intersection Route 198 Eastbound (south shore)	14.18 0.04

- Corrections to the description
- Geometric redevelopment

National	00197-01-028-000-C	Route 197	1174 m south of rivière au Renard	10,07
Collector	00132-17-021-000-C	Route 132 1 ramp	Intersection route 198 eastbound (south shore)	14.12 0.04
According to plan AA20-3172-8604, sheets 1 to 4, 1A, 1E and 3B prepared by Robert Connolly, I.s., under numbers 263, 335 and 1383 of his minutes, sheets 3A, 1B, 3C, 1C and 1D prepared by Michel Brisson, I.s., under numbers 1413, 1437, 1447, 1479 and 1583 of his minutes and sheet 3D prepared by Évelyne Beaubien, I.s., under number 16 of her minutes				

L'ISLE-VERTE, M (1204300)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
Autoroute	00020-08-110-000-C	Autoroute 20	End of divided lanes	1.49
National	00132-12-095-000-C	Route 132 westbound 1 ramp	Limit Cacouna, M	4.66 0.62
Local	93840-02-020-000-C	Chemin du Coteau-du-Tuf	2030 m intersection route 132	1,32
Local	93900-01-010-000-C	Route du 1 ^{er} Rang	Intersection 2 ^e Rang	1,39

- Corrections to the description (autoroute 20)
- Deletion
- Change of right-of-way width (route 132)

Autoroute	00020-08-110-000-C*	Autoroute 20	End of divided lanes	1.58
National	00132-12-095-000-C	Route 132 westbound 1 ramp	Limit Cacouna, m	4.66 0.62
According to plan AA-6508-154-90-0099, prepared by Gilbert Plante, I.s., under number 2782 of his minutes				

* This section is also found under Saint-Arsène and under Cacouna

LA BALEINE, SD (1602500)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
Collector	43821-01-000-0-00-6	Chemin Principal	Intersection southwest Chemin des Coudriers	5,15

replaced by

L'ISLE-AUX-COUDRES, M (1602300)

- Corrections to the description
- Geometric redevelopment

Road Class	Section identification	Name of Road	Location of beginning	Length in km
Collector	43821-01-000-000-C	Chemin Principal	Intersection westbound Chemin des Coudriers	5,12
According to plan AA-7106-154-11-1438, prepared by Véronique Nadeau, I.S., under number 44 of his minutes				

LABELLE, M (7812000)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00117-04-021-0-00-9	Route 117	Limit La Conception, SD	13.58
Collector	26910-03-000-0-00-4	Chemin de la Minerve	Limit La Minerve, CT	5.73
Collector	27350-01-000-0-00-6	Rue du Pont and chemin de la Gare	Intersection route 117	5.86

- Corrections to the description
- Addition (route 117 for bypass and connecting roads)
- Deletion (old road on route 117)

National	00117-04-025-000-S	Route 117 10 ramps	Limit La Conception, M	10.18 6.52
Collector	26910-03-000-000-C	Chemin de la Minerve	Limit La Minerve, CT	5.76
Collector	26982-01-010-000-C	Northbound connecting road route 117	80 m south of route Curé-Labelle	0.08
Collector	27350-01-011-000-C	Chemin Augustin-Lauzon	55 m north of intersection chemin de la Mine	0.58
Collector	27350-01-020-000-C	Boulevard Curé-Labelle	Intersection chemin Augustin-Lauzon	0.60
Collector	27350-01-030-000-C	Rue du Pont and chemin de la Gare	Intersection boulevard Curé-Labelle	5.86
According to plan AA-8809-154-86-0688, prepared by Isabelle Labelle, I.S., under numbers 5326 and 5603 of her minutes and plan AA-8809-154-86-0688, prepared by François Danis, I.S., under numbers 2998, 3013, 3027, 3029, 3075 and 3078 of his minutes				

MARCHAND, SD (7904000)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00117-04-050-0-00-3	Route 117	Limit Labelle, SD	11.45
National	00117-04-070-0-00-9	Route 117	Limit L'Annonciation VL	1.43
National	00117-05-010-0-00-9	Route 117	Intersection route 321 southbound	3.90
Collector	00321-03-050-0-00-3	Route 321	Limit Lac-Nominingue, SD	4.11
Collector	00321-03-081-0-00-6	Route 321	Intersection chemin de la Mullen	6.22
Collector	27400-02-010-0-00-7	Chemin de la Macaza	Limit L'Annonciation VL	5.58

and

L'ANNONCIATION, VL (7903500)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00117-04-060-0-00-1	Route 117	Limit Marchand, CT	4.82
Collector	27400-01-010-0-00-9	Rue du Pont	Intersection route 117	1.58

replaced by

RIVIÈRE-ROUGE, V (7903700)

- Corrections to the description
- Geometric redevelopment
- Addition (route 117 for bypass and connecting roads)
- Deletion (old road on route 117)

National	00117-04-055-000-C	Route 117	Limit Labelle, M	11.47
National	00117-04-063-000-S	Route 117 4 ramps	End of contiguous lanes	0.32 0.13
National	00117-04-073-000-S	Route 117 10 ramps	End of roundabout	5.68 3.38
National	00117-04-083-000-S	Route 117	End of roundabout	0.25
National	00117-05-015-000-C	Route 117	End of divided lanes	4.22
Collector	00321-03-020-000-C	Route 321	Limit Nominingue, M	3.76
Collector	00321-03-030-000-C	Route 321	Intersection roundabout route 117	10.44
Collector	27400-01-010-000-C	Rue du Pont	Intersection chemin Berthelette	7.94
Collector	27420-01-010-000-C	Westbound service road	Intersection rue du Pont and chemin Berthelette	0.26
According to plan AA8809-154-86-0719, prepared by Daniel Robidoux, I.s., under numbers 3922, 4266, 4529, 4736, 4748, 4795, 4810 and 4861 of his minutes				

PABOS MILLS, M (0203500)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00132-17-180-000-C	Route 132	Limit Chandler, v	7.79

replaced by

CHANDLER, V (0202800)

- Geometric redevelopment

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00132-17-180-000-C	Route 132	Center joint bridge river Grand-Pabos (north bank)	7.77
According to plan AA20-3172-7802-A prepared by Pierrot Joncas, I.s., under numbers 3901 et 4723 of his minutes and by Gilles Gagné I.s., under numbers 451, 483, 492, 503 and 562 of his minutes and according to plan AA20-3172-7802-C prepared by Pierrot Joncas, I.s., under number 4245 and by Gilles Gagné I.s., under numbers 494, 517, 526 and 589 of his minutes.				

RIMOUSKI, V (1004300)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00132-13-143-000-S	Route 132	Intersection montée Industrielle- et-commerciale	1,76

- Addition
- Geometric redevelopment

National	00132-13-143-000-S	Route 132 1 ramp	Intersection montée Industrielle- et-commerciale	1,76 0,19
----------	--------------------	---------------------	--	--------------

According to plan AA-6506-154-14-7155 prepared by Christian Couillard, , I.s., under number 11193 of his minutes

SAINT-ADELPHE, P (3501500)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
Collector	00352-01-131-0-00-5	Route 352	Limit Saint-Stanislas, sd	8.38

- Corrections to the description
- Geometric redevelopment
- Change of right-of-way width

Collector	00352-01-132-000-C	Route 352	Limit Saint-Stanislas, M	8.37
-----------	--------------------	-----------	--------------------------	------

According to plan AA-7006-154-95-1208, prepared by Bastien Paquin, I.s., under number 153 of his minutes

SAINT-ALEXIS-DES-MONTS, P (5106500)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
Access to resources	36780-01-013-000-C	Chemin des Pins Rouge	Intersection rue Notre-Dame and rue Dupont	21,04

- Corrections to the description

Access to resources	36780-01-013-000-C	Rang des Pins Rouge	Intersection rue Notre-Dame, rue Saint-Pierre and rue Saint-Olivier	21,04
---------------------	--------------------	---------------------	---	-------

SAINT-ANTONIN, M (1201500)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00085-01-126-000-C	Route 185 1 ramp	Bridge on rivière Verte	3.33 0.41
National	00085-01-135-000-S	Route 185 6 ramps	End of contiguous lane	3.34 3.71

- Corrections to the description

Autoroute	00085-01-135-000-S	Autoroute 85 6 ramps	End of contiguous lanes	3.50 3.71
-----------	--------------------	-------------------------	-------------------------	--------------

National	00185-01-126-000-C	Route 185 1 ramp	Bridge on rivière Verte	3.16 0.41
----------	--------------------	---------------------	-------------------------	--------------

SAINT-ARSÈNE, P (1206500)**Addition**

Autoroute	00020-08-110-000-C*	Autoroute 20 2 ramps	End of divided lanes	1.75 1.22
-----------	---------------------	-------------------------	----------------------	--------------

* This section is also found under Cacouna and under L'Isle-Verte.

SAINT-AIMÉ-DES-LACS, M (1503000)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00138-08-031-0-00-4	Route 138	Limit Sainte-Agnès, P	2,86

and

SAINTE-AGNÈS, M (1502000)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00138-08-011-0-00-8	Route 138	Limit Saint-Hilarion, P	10,50

replaced by

SAINT-AIMÉ-DES-LACS, M (1503000)

- Corrections to the description
- Deletion (old road on route 138)
- Geometric redevelopment
- Change of right-of-way width

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00138-08-020-000-C*	Route 138	Limit La-Malbaie	2,78
According to plan AA-7106-154-91-1317, prepared by Nathalie Massé, I.s under numbers 710 and 767 of his minutes and prepared by , Christian Lagacé, I.s., under number 906 of his minutes and by Marie Prince, I.s., under number 878 of his minutes				

* This section is also found under La Malbaie

and

LA MALBAIE, V (1501300)

- Corrections to the description

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00138-08-020-000-C*	Route 138	Limit Saint-Hilarion, P	10,44

* This section is also found under Saint-Aimé-de-Lacs

SAINT-ÉLIE-D'ORFORD, P (4304000)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
Freeway	00010-03-040-0-00-5	Autoroute 10 5 ramps	Limit Deauville VL	6.84 2.43
Freeway	00010-03-050-0-00-2	Autoroute 10 2 ramps	Bridge on route 220	2.43 1.03

replaced by

SHERBROOKE, V (4302700)

- Corrections to the description
- Addition (control station)

Autoroute	00010-03-025-000-S	Autoroute 10 13 ramps	899 m east of bridge on chemin Fréchette	9.28 8.15
According to plan 622-89-F0-109, sheet 1B, prepared by Luc Bouthillier, I.s., under number 1307 of his minutes				

SAINT-JOSEPH-DE-COLERAINE, M (3104500)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00112-05-015-000-C	Route 112	Limit Disraeli, p	8.11
Local	83434-01-000-000-C	Chemin de Vimy	Intersection route 112	11.05

- Corrections to the description
- Addition (new layout route 112)
- Deletion (chemin de Vimy, old route 112)
- Geometric redevelopment

National	00112-05-022-000-C*	Route 112	Limit Disraeli, P	10.80
According to plan AA-6607-154-10-1316-1 (sheets 1 to 11), prepared by Guillaume Labarre, I.s., under number 368 of his minutes				

* This section is also found under Thetford Mines.

SAINT-LUC-DE-MATANE, M (0804500)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00195-01-131-000-C	Route 195	Limit Saint-René-de-Matane, m	1.15

and

SAINTE-PAULE, M (0804000)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00195-01-135-000-C	Route 195	Limit Saint-Luc-de-Matane	0.39

and

SAINT-JÉRÔME-DE-MATANE, P (0806000)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00195-01-140-000-C	Route 195	Limit Sainte-Paule, m	13.86

replaced by

SAINT-RENÉ-DE-MATANE, M (0803500)

- Geometric redevelopment

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00195-01-131-000-C	Route 195	707 m northwest of chemin des Serres	1.14
National	00195-01-135-000-C	Route 195	64 m south of chemin de la Pointe-à-Tremblay	0.39
National	00195-01-140-000-C	Route 195	1412 m south of chemin de la Coulée-Carrier	1.69
According to plan 622-81-05-010, prepared by Jean-Damien Roy, I.s., under number 6208 of his minutes and by Michel Brisson, I.s., under numbers 1041, 1212, 1236, 1304 and 1306 of his minutes and by Pierre Bernier, I.s., under number 1025 of his minutes				

SAINT-NARCISSE, P (3724000)

- Change of right-of-way width

Regional	00359-01-060-000-C	Route 359	Intersection route 352	9.62
According to plan AA-7007-154-88-0847, prepared by Bastien Paquin, I.s., under number 165 of his minutes				

SAINT-PAUL-DU-NORD, SD (9503500)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00138-91-090-0-00-8	Route 138	Limit Les Escoumins, SD	11.88
National	00138-91-110-0-00-4	Route 138	Limit Sault-au-Mouton, VL	11.46

and

SAULT-AU-MOUTON, VL (9503000)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00138-91-100-0-00-6	Route 138	Western limit Saint-Paul-du-Nord, SD	3.07

replaced by

LONGUE-RIVE, M (9503200)

- Corrections to the description (old road on route 138)
- Additions (new road on route 138 and connecting roads)

National	00138-91-104-000-C	Route 138	Limit Les Escoumins, M	26.36
Collector	48932-01-010-000-C	Rue de la Caserne	Intersection rue Principale	0.43
Local	48930-01-010-000-C	Rue Principale	Intersection route 138	7.05
Local	48931-01-010-000-C	Rue de la Chute	Intersection rue Principale	0.56
According to plan AA-6709-154-98-0322, prepared by Claude Ramsay, I.s., under number 1619 of his minutes and according to plan 622-96-ML-010, prepared by Jean Roy, I.s., under number 4395 of his minutes				

SAINT-PROSPER, SD (2802000)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
Regional	00204-02-020-0-00-0	Route 204	Intersection route 275	5,82

replaced by

SAINT-PROSPER, M (2802000)

- Correction to the description
- Change of right-of-way width

Regional	00204-02-020-000-C	Route 204	Intersection route 275	5,82
According to plan TR-6611-154-13-7273, prepared by Guillaume Labarre, I.s., under number 973 of his minutes				

SAINT-ROCH-DE-L'ACHIGAN, M (6303500)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
Freeway	00025-01-130-0-00-8	Autoroute 25	Limit Mascouche V	3,93

- Corrections to the description
- Addition (ramps)

Autoroute	00025-01-130-000-S	Autoroute 25 4 ramps	Limit Mascouche V	4,07 2,69
According to plan, AA-8806-154-09-0257, prepared by Bernard Brisson, I.s., under number 5214 of his minutes				

SAINTE-GENEVIÈVE-DE-BATISCAN, P (3721500)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
Freeway	00040-06-110-0-00-0	Autoroute 40 5 ramps	Limit Batiscan, m	1.97 3.34
Freeway	00040-06-120-0-00-8	Autoroute 40	Bridge on route 361	6.43

- Addition (ramp)
- Corrections to the description

Autoroute	00040-06-110-000-S	Autoroute 40 5 ramps	Limit Batiscan, M	1.97 2.75
Autoroute	00040-06-120-000-S	Autoroute 40 1 ramp	Bridge on route 361	6.43 1.13

SHERBROOKE, V (4302700)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
Freeway	00410-01-045-000-S	Autoroute 410 2 ramps	Old limit Sherbrooke	1.56 1.00

- Corrections to the description
- Addition (extension autoroute 410)

Autoroute	00410-01-060-000-S	Autoroute 410 14 ramps	110 m south of viaduct rue Galt ouest	4.36 11.77
According to TR-9000-154-09-0123-2, prepared by Luc Bouthillier, I.s., under number 1509 of his minutes				

STANSTEAD PLAIN, VL (4501000)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
Freeway	00055-01-030-0-00-6	Autoroute 55 1 ramp	Limit Rock Island	1.33 0.41
Freeway	00055-01-040-0-00-6	Autoroute 55 3 ramps	Intersection route 143	1.04 0.73

and

ROCK ISLAND, V (4500500)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
Freeway	00055-01-020-0-00-8	Autoroute 55 1 ramp	Intersection route 247 northbound	0.39 0.11

replaced by

STANSTEAD, V (4500800)

Addition (control station)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
Autoroute	00055-01-045-000-S	Autoroute 55 8 ramps	Intersection route 247	2.75 5.27
According to plan EE-910-154-04-1059, sheet 1, prepared by Luc Bouthillier, I.s., under number 1504 of his minutes				

THETFORD MINES, V (3108400)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00112-05-025-000-C	Route 112	645 metres limit Saint-Joseph-de-Coleraine	1.54
Local	83434-02-000-000-C	Chemin de Vimy	Limit Saint-Joseph-de-Coleraine, m	1.69

- Corrections to the description
- Addition (new layout route 112)
- Deletion (chemin de Vimy and old route 112)

National	00112-05-022-000-C*	Route 112	Limit Saint-Joseph-de-Coleraine, M	3.12
According to plan AA-6607-154-10-1316-2 (sheet 3), prepared by Guillaume Labarre, I.S., under number 372 of his minutes				

* This section is also found under the municipality of Saint-Joseph-de-Coleraine

TRACY, V (5304500)

- Deletion

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00132-05-050-0-00-9	Route 132	Limit Contrecoeur V	0,86

UPTON, M (4803800)

Road Class	Section identification	Name of Road	Location of beginning	Length in km
National	00116-02-170-0-00-4	Route 116	Limit Saint-Liboire, p	3.00
Collector	72310-01-000-0-00-4	Rue Saint-Éphrem	Intersection route 116	1.03

- Corrections to the description
- Change of right-of-way width (route 116)

National	00116-02-170-000-C	Route 116	Limit Saint-Liboire, P	2.98
Collector	72310-01-000-000-C	Rue Saint-Éphrem	Intersection route 116	1.04
According to TR-8607-154-01-0872, prepared by Daniel Plomteux, I.S., under number 115 of his minutes				

M.O., 2016-10**Order number I-14.01-2016-10 of the Minister of Finance dated 9 June 2016**

Derivatives Act
(chapter I-14.01)

CONCERNING the Regulation to amend Regulation 91-506 respecting derivatives determination and the Regulation to amend Regulation 91-507 respecting trade repositories and derivatives data reporting

WHEREAS subparagraphs 2, 3, 9, 11, 12 and 29 of section 175 of par. 1 of the Derivatives Act (chapter I-14.01) stipulates that the *Autorité des marchés financiers* may make regulations concerning the matters referred to in those paragraphs;

WHEREAS the fourth and fifth paragraphs of section 175 of the said Act stipulate that a draft regulation shall be published in the *Bulletin de l'Autorité des marchés financiers*, accompanied with the notice required under section 10 of the Regulations Act (chapter R-18.1) and may not be submitted for approval or be made before 30 days have elapsed since its publication;

WHEREAS the second and sixth paragraphs of the said section stipulate that every regulation made under section 175 must be submitted to the Minister of Finance for approval with or without amendment and comes into force on the date of its publication in the *Gazette officielle du Québec* or any later date specified in the regulation;

WHEREAS the Regulation 91-506 respecting derivatives determination and the Regulation 91-507 respecting trade repositories and derivatives data reporting have been approved by ministerial order no. 2013-21 dated December 6, 2013 (2013, *G.O.* 2, 3631);

WHEREAS there is cause to amend those regulations;

WHEREAS the draft Regulation to amend Regulation 91-506 respecting derivatives determination and the draft Regulation to amend Regulation 91-507 respecting trade repositories and derivatives data reporting were published in the *Bulletin de l'Autorité des marchés financiers*, volume 13, no. 44 of November 5, 2015;

WHEREAS the Authority made, on May 18, 2016, by the decision no. 2016-PDG-0073, Regulation to amend Regulation 91-506 respecting derivatives determination and by the decision no. 2016-PDG-0072, Regulation to amend Regulation 91-507 respecting trade repositories and derivatives data reporting;

WHEREAS there is cause to approve those regulations without amendment;

CONSEQUENTLY, the Minister of Finance approves without amendment Regulation to amend Regulation 91-506 respecting derivatives determination and Regulation to amend Regulation 91-507 respecting trade repositories and derivatives data reporting appended hereto.

June 9, 2016

CARLOS LEITÃO,
Minister of Finance

**REGULATION TO AMEND REGULATION 91-506 RESPECTING
DERIVATIVE DETERMINATION**

Derivatives Act
(chapter I-14.01, s. 175, 1st par., subpar. (11))

1. Regulation 91-506 respecting Derivatives Determination (chapter I-14.01, r. 0.1) is amended by inserting, after section 1, the following:

“Application

1.1. This Regulation applies only for the application of Regulation 91-507 respecting Trade Repositories and Derivatives Data Reporting (chapter I-14.01, r. 1.1).

Covered derivatives

1.2. This Regulation applies to derivatives that are not traded on an exchange and to derivatives that are traded on a derivatives trading facility.”.

2. Section 2 of the Regulation is amended by replacing, in the part preceding paragraph (a), the words “Regulation 91-507” with the words “This Regulation”.

3. This Regulation comes into force on July 29, 2016.

REGULATION TO AMEND REGULATION 91-507 RESPECTING TRADE REPOSITORIES AND DERIVATIVES DATA REPORTING

Derivatives Act

(chapter I-14.01, s. 175, 1st par., subpar. (2), (3), (9), (11), (12) and (29))

1. Section 1 of Regulation 91-507 respecting Trade Repositories and Derivatives Data Reporting (chapter I-14.01, r. 1.1) is amended:

(1) in paragraph (1), in the definition of the expression “local counterparty”:

(a) by inserting, in paragraph (a) and after the word “organized”, the words “or incorporated”;

(b) by replacing, in paragraph (c), the word “party” with the word “person”;

(2) by replacing paragraph (3) and (4) with the following:

“(3) In this Regulation, a person is considered to be an affiliate of another person if one of them controls the other, or if each of them is controlled by the same person.

“(4) In this Regulation, a person (the first party) is considered to control another person (the second party) if any of the following apply:

(a) the first party beneficially owns or directly or indirectly exercises control or direction over securities of the second party carrying votes which, if exercised, would entitle the first party to elect a majority of the directors of the second party unless the first party holds the voting securities only to secure an obligation;

(b) the second party is a partnership, other than a limited partnership, and the first party holds more than 50% of the interests of the partnership;

(c) the second party is a limited partnership and the general partner of the limited partnership is the first party;

(d) the second party is a trust and a trustee of the trust is the first party.”;

(3) by repealing paragraph (5).

2. Section 1.1 of the Regulation is repealed.

3. Section 2 of the Regulation is amended, in the French text of paragraph (2):

(1) by replacing, in subparagraph (a), the words “livres et dossiers” with the word “dossiers”;

(2) by replacing, in subparagraph (i) of subparagraph (b), the words “livres et dossiers” with the word “dossiers”.

4. Section 4 of the Regulation is amended, in paragraph (2):

(1) by inserting, in subparagraph (iii) of subparagraph (a) and after the words “United States of America”, the words “or under the laws of a jurisdiction of the United States of America”;

(2) by inserting, in subparagraph (iii) of subparagraph (d) and after the words “United States of America”, the words “or under the laws of a jurisdiction of the United States of America”.

5. Section 5 of the Regulation is amended by inserting, in subparagraph (iii) of subparagraph (a) of paragraph (3) and after the words “United States of America”, the words “or under the laws of a jurisdiction of the United States of America”.

6. Section 20 of the French text of the Regulation is amended:

(1) by replacing the heading with the following:

“**Risque d’activité**”;

(2) by replacing, in paragraph (1), the words “risque économique général” with the words “risque d’activité”.

7. Section 23 of the Regulation is amended by replacing, in paragraph (1), the word “Regulations” with the word “rules”.

8. Section 26 of the Regulation is amended:

(1) by replacing subparagraph (c) of paragraph (5) with the following:

“(c) the reporting counterparty instructs the recognized trade repository referred to in paragraph (b) to provide the Authority with access to the data that is reported pursuant to paragraph (b) and otherwise uses its best efforts to provide the Authority with access to such data.”

(2) by replacing subparagraph (a) of paragraph (6) with the following :

“(a) is reported to the same recognized trade repository or, if reported to the Authority under subsection (4), to the Authority, and”;

(3) in paragraph (9):

(a) by replacing, in the part preceding subparagraph (a), the words “recognized or exempt” with the word “reporting”;

(b) by replacing, in subparagraph (a), the words “the recognized or exempt” with the word “a reporting”;

(c) by replacing, in subparagraph (b), the words “recognized or exempt” with the word “reporting”.

9. Section 28 of the Regulation is amended by adding, after paragraph (3), the following:

“(4) If a counterparty to a transaction is an individual or is not eligible to receive a legal entity identifier as determined by the Global Legal Entity Identifier System, the reporting counterparty must identify such a counterparty with an alternate identifier.

“(5) If paragraph (4) applies, then despite paragraph (1), the recognized trade repository must identify such a counterparty with the alternate identifier supplied by the reporting counterparty.”.

10. The Regulation is amended by inserting, after section 28, the following:

“**28.1.** Each local counterparty to a transaction required to be reported under this Regulation that is eligible to receive a legal entity identifier as determined by the Global Legal Entity Identifier System, other than an individual, must obtain, maintain and renew a legal identity identifier assigned to the counterparty in accordance with the standards set by the Global Legal Entity Identifier System.”.

11. Section 33 of the Regulation is amended by replacing subparagraphs (a) and (b) of paragraph (1) with the following:

“(a) daily, based on relevant closing market data from the previous business day, if the reporting counterparty is either a reporting clearing house or a person subject to the registration requirement as a dealer under the Act, or a Canadian financial institution, or

“(b) quarterly, as of the last day of each calendar quarter, if the reporting counterparty is neither a reporting clearing house, nor a person subject to the registration requirement as a dealer under the Act, nor a Canadian financial institution.”.

12. Section 38 of the Regulation is amended by replacing, in the French text of paragraph (1), the words “aux données sur tous les dérivés pertinents” with the words “à toutes les données pertinentes sur les dérivés”.

13. Section 39 of the Regulation is amended:

(1) by replacing, in paragraph (1), the words “and price” with the words “and, where applicable, price”;

(2) by replacing paragraph (3) with the following:

“(3) For each transaction reported pursuant to this Regulation, a recognized trade repository must make transaction level reports available to the public at no cost, in accordance with the requirements in Appendix C.”;

(3) by deleting, in paragraph (6), the word “legal”.

14. Section 40 of the Regulation is amended by replacing paragraph (b) with the following:

“(b) the local counterparty is neither a reporting clearing house, nor a person subject to the registration requirement as a dealer under the Act, nor a Canadian financial institution, and”.

15. Section 42 of the Regulation is amended by replacing, in paragraph (2), “April 30, 2015” with “January 16, 2017”.

16. Appendix A of the Regulation is amended:

(1) by deleting, in the table, the title and the content of the third column;

(2) by replacing, in the text of the second column of line 11, the word “entities” with the word “persons”;

(3) by replacing the text of the second column of line 13 with the following:

“LEI of the reporting counterparty or, in the case of an individual or a counterparty that is not eligible to receive an LEI, an alternate identifier.”;

(4) by replacing the text of the second column of line 14 with the following:

“LEI of the non-reporting counterparty or, in the case of an individual or a counterparty that is not eligible to receive an LEI, an alternate identifier.”;

(5) by replacing the text of the second column of line 17 with the following:

“If the reporting counterparty is a local counterparty under this Regulation or the derivatives data reporting rules of Ontario or Manitoba, or is a local counterparty under paragraph (a) or (c) of the definition of local counterparty in the derivatives data reporting rules of any other jurisdiction of Canada, indicate all such jurisdictions.”;

(6) by replacing the text of the second column of line 18 with the following:

“If the non-reporting counterparty is a local counterparty under this Regulation or the derivatives data reporting rules of Ontario or Manitoba, or is a local counterparty under paragraph (a) or (c) of the definition of local counterparty in the derivatives data reporting rules of any other jurisdiction of Canada, indicate all such jurisdictions.”;

(7) on line 2 of section A:

(a) by replacing the text of the first column with the following:

“Contract or instrument type”;

(b) by replacing, in the second column, the word “transaction” with the words “contract or instrument”;

(8) by replacing the text of the first column of line 4 of section D with the following:

“Reporting timestamp”;

(9) by replacing the last line that reads “**F. Other details**” with the following:

F. Other Details		
	Where the terms of the transaction cannot be effectively reported in the above prescribed fields, provide any additional information that may be necessary.	Y

17. The Regulation is amended by adding, after Appendix B, the following:

“Appendix C Recognized trade repository requirements for the public dissemination of derivatives data

Instructions:

1. A recognized trade repository is required to disseminate to the public at no cost the information contained in Table 1 for each of the asset classes and underlying asset identifiers listed in Table 2 for:

(a) a transaction reported to the recognized trade repository pursuant to this Regulation;

(b) a life-cycle event that changes the pricing of an existing derivative reported to the recognized trade repository pursuant to this Regulation;

(c) a cancellation or correction of previously disseminated data relating to a transaction referred to in paragraph (a) or a life-cycle event referred to in paragraph (b).

Table 1

Data field	Description
Cleared	Indicate whether the transaction has been cleared by a clearing house.
Electronic trading venue identifier	Indicate whether the transaction was executed on an electronic trading venue.
Collateralization	Indicate whether the transaction is collateralized.
Unique product identifier	Unique product identification code based on the taxonomy of the product.

Data field	Description
Contract or instrument type	The name of the contract or instrument type (e.g., swap, swaption, forwards, options, basis swap, index swap, basket swap, other).
Underlying asset identifier 1	The unique identifier of the asset referenced in the transaction.
Underlying asset identifier 2	The unique identifier of the second asset referenced in the transaction, if more than one. If more than 2 assets identified in the transaction, report the unique identifiers for those additional underlying assets.
Asset class	Major asset class of the product (e.g., interest rate, credit, commodity, foreign exchange, equity, etc.).
Effective date or start date	The date the transaction becomes effective or starts.
Maturity, termination or end date	The date the transaction expires.
Payment frequency or dates	The dates or frequency the transaction requires payments to be made (e.g., quarterly, monthly).
Reset frequency or dates	The dates or frequency at which the price resets (e.g., quarterly, semi-annually, annually).
Day count convention	Factor used to calculate the payments (e.g., 30/360, actual/360).
Price 1	The price, yield, spread, coupon, etc., of the transaction. The price/rate should not include any premiums such as commissions, collateral premiums, accrued interest, etc.
Price 2	The price, yield, spread, coupon, etc., of the transaction. The price/rate should not include any premiums such as commissions, collateral premiums, accrued interest, etc.
Price notation type 1	The manner in which the price is expressed (e.g., percent, basis points, etc.).
Price notation type 2	The manner in which the price is expressed (e.g., percent, basis points, etc.).
Notional amount leg 1	Total notional amount(s) of leg 1 of the transaction.
Notional amount leg 2	Total notional amount(s) of leg 2 of the transaction.
Currency leg 1	Currency(ies) of leg 1.

Data field	Description
Currency leg 2	Currency(ies) of leg 2.
Settlement currency	The currency used to determine the cash settlement amount.
Embedded option	Indicate whether the option is an embedded option.
Option exercise date	The date(s) on which the option may be exercised.
Option premium	Fixed premium paid by the buyer to the seller.
Strike price (cap/floor rate)	The strike price of the option.
Option style	Indicate whether the option can be exercised on a fixed date or anytime during the life of the transaction (e.g., American, European, Bermudan, Asian).
Option type	Put, call.
Action	Describes the type of event to the transaction (e.g., new transaction, modification or cancellation of existing transaction, etc.).
Execution timestamp	The time and date of execution or novation of a transaction, expressed using Coordinated Universal Time (UTC).

Table 2

Asset class	Underlying asset identifier
Interest rate	CAD-BA-CDOR
	USD-LIBOR-BBA
	EUR-EURIBOR-Reuters
	GBP-LIBOR-BBA
Credit	All indexes
Equity	All indexes

Exemptions:

2. Notwithstanding item 1, each of the following is exempt from the requirement to be publicly disseminated:

- (a) a transaction in a derivative that requires the exchange of more than one currency;
- (b) a transaction resulting from a bilateral or multilateral portfolio compression exercise;
- (c) a transaction resulting from novation by a reporting clearing house.

Rounding:

3. A recognized trade repository must round the notional amount of a transaction for which it disseminates transaction level data pursuant to this Regulation and this Appendix in accordance with the rounding conventions contained in Table 3.

Table 3

Reported notional amount leg 1 or 2	Rounded notional amount
< 1,000	Round to nearest 5
≥1,000, <10,000	Round to nearest 100
≥10,000, <100,000	Round to nearest 1,000
≥100,000 <1 million	Round to nearest 10,000
≥1 million, <10 million	Round to nearest 100,000
≥10 million, <50 million	Round to nearest 1 million
≥50 million, <100 million	Round to nearest 10 million
≥100 million, <500 million	Round to nearest 50 million
≥500 million, <1 billion	Round to nearest 100 million
≥1 billion, <100 billion	Round to nearest 500 million
>100 billion	Round to nearest 50 billion

Capping:

4. Where the rounded notional amount of a transaction, as set out in Table 3, would exceed the capped rounded notional amount in CAD of that transaction as set out in Table 4, a recognized trade repository must disseminate the capped rounded notional amount for the transaction in place of the rounded notional amount.

5. When disseminating transaction level data pursuant to this Regulation and this Appendix for a transaction to which item 4 applies, a recognized trade repository must indicate that the notional amount for a transaction has been capped.

6. For each transaction for which the capped rounded notional amount is disseminated, if the information to be disseminated includes an option premium, a recognized trade repository must adjust the option premium in a manner that is consistent and proportionate relative to the capping and rounding of the reported notional amount of the transaction.

Table 4

Asset class	Maturity date less effective date	Capped rounded notional amount in CAD
Interest rate	Less than or equal to 2 years	250 million
Interest rate	Greater than 2 years and less than or equal to 10 years	100 million
Interest rate	Greater than 10 years	50 million
Credit	All dates	50 million
Equity	All dates	50 million

Timing:

7. A recognized trade repository must disseminate the information contained in Table 1, 48 hours after the time and date represented by the execution timestamp field of the transaction.

18. Form 91-507F1 of the Regulation is amended:

(1) by replacing, in the French text of paragraph (1) of item 5 of Exhibit A, the words “lives et dossiers” with the word “dossiers”;

(2) by replacing, in subparagraph (c) of paragraph (6) of item 2 of Exhibit D, the words “the U.S.” with the words “the United States of America or under the laws of a jurisdiction of the United States of America”.

19. Form 91-507F2 of the Regulation is amended by replacing, in the French text of item 6, the word “reconnait” with the word “désigne”.

20. This Regulation comes into force on July 29, 2016.

M.O., 2016-12**Order number V-1.1-2016-12 of the Minister of Finance dated 9 June 2016**

Securities Act
(chapter V-1.1)

CONCERNING the Regulation to amend Regulation 45-106 respecting prospectus exemptions and the Regulation to amend Securities Regulation

WHEREAS subparagraphs 1, 3, 8, 11, 14 and 34 of section 331.1 of the Securities Act (chapter V-1.1) provide that the *Autorité des marchés financiers* may make regulations concerning the matters referred to in those paragraphs;

WHEREAS the third and fourth paragraphs of section 331.2 of the said Act provide that a draft regulation shall be published in the *Bulletin de l'Autorité des marchés financiers*, accompanied with the notice required under section 10 of the Regulations Act (chapter R-18.1) and may not be submitted for approval or be made before 30 days have elapsed since its publication;

WHEREAS the first and fifth paragraphs of the said section provide that every regulation made under section 331.1 must be approved, with or without amendment, by the Minister of Finance and comes into force on the date of its publication in the *Gazette officielle du Québec* or on any later date specified in the regulation;

WHEREAS the Regulation 45-106 respecting prospectus exemptions approved by ministerial order no. 2009-05 dated September 9, 2009 (2009, *G.O.* 2, 3362A);

WHEREAS the government, by order-in-council no. 660-83 of March 30, 1983, enacted the Securities Regulation (1983, *G.O.* 2, 1269);

WHEREAS there is cause to amend those regulations;

WHEREAS the draft Regulation to amend Regulation 45-106 respecting prospectus exemptions was published in the *Bulletin de l'Autorité des marchés financiers*, vol. 12, no. 32 of August 13, 2015;

WHEREAS the draft Regulation to amend Securities Regulation was published in the *Bulletin de l'Autorité des marchés financiers*, vol. 13, no. 14 of April 7, 2016;

WHEREAS the *Autorité des marchés financiers* made, on May 18, 2016, by the decision no. 2016-PDG-0067, Regulation to amend Regulation 45-106 respecting prospectus exemptions and, by the decision no. 2016-PDG-0068, Regulation to amend Securities Regulation;

WHEREAS there is cause to approve those regulations without amendment;

CONSEQUENTLY, the Minister of Finance approves without amendment the Regulation to amend Regulation 45-106 respecting prospectus exemptions and the Regulation to amend Securities Regulation appended hereto.

June 9, 2016

CARLOS LEITÃO,
Minister of Finance

REGULATION TO AMEND THE SECURITIES REGULATION

Securities Act
(chapter V-1.1, s. 331.1, par. 8)

1. The Securities Regulation (chapter V-1.1, r. 50) is amended by revoking section 37.2.
2. This Regulation comes into force on June 30, 2016.

REGULATION TO AMEND REGULATION 45-106 RESPECTING PROSPECTUS EXEMPTIONS

Securities Act
(chapter V-1.1, s. 331.1, par. (1), (3), (8), (11), (14) and (34))

1. Regulation 45-106 respecting Prospectus Exemptions is amended by adding, after section 1.7, the following:

“1.8. Designation of insider

For the purpose of this Regulation, in Ontario, the following classes of persons are designated as insiders:

- (a) a director or an officer of an issuer;
- (b) a director or an officer of a person that is an insider or a subsidiary of an issuer;
- (c) a person that has
 - (i) beneficial ownership of, or control or direction over, directly or indirectly, securities of an issuer carrying more than 10% of the voting rights attached to all the issuer’s outstanding voting securities, excluding, for the purpose of the calculation of the percentage held, any securities held by the person as underwriter in the course of a distribution, or
 - (ii) a combination of beneficial ownership of, and control or direction over, directly or indirectly, securities of an issuer carrying more than 10% of the voting rights attached to all the issuer’s outstanding voting securities, excluding, for the purpose of the calculation of the percentage held, any securities held by the person as underwriter in the course of a distribution;
- (d) an issuer that has purchased, redeemed or otherwise acquired a security of its own issue, for so long as it continues to hold that security.”

2. Section 6.1 of the Regulation is amended by inserting, in paragraph 1 and after the words “must file a”, the word “completed”.

3. Section 6.2 of the Regulation is amended by replacing, in paragraph (2), the words “financial year-end of the investment fund” with the words “end of the calendar year”.

4. Section 6.3 of the Regulation is amended:
 - (1) by replacing paragraph (1) with the following:

“(1) The required form of report under section 6.1 is Form 45-106F1.”;
 - (2) by deleting, in paragraph (2), “or, in British Columbia, Form 45-106F6”.

5. Section 6.6 of the Regulation is repealed.

6. The Regulation is amended by inserting, after section 8.4.2, the following:

“8.4.3. Transition – investment funds – required form of report

Despite section 6.3, an investment fund that files a report on or before the date required by subsection 6.2(2) for a distribution that occurred before January 1, 2017 may file a report prepared in accordance with the version of Form 45-106F1 in force on June 29, 2016.”.

7. Form 45-106F1 of the Regulation is replaced with the following:

“FORM 45-106F1**REPORT OF EXEMPT DISTRIBUTION****A. General Instructions****1. Filing instructions**

An issuer or underwriter that is required to file a report of exempt distribution and pay the applicable fee must file the report and pay the fee as follows:

- **In British Columbia** – through BCSC eServices at <http://www.bsc.bc.ca>.
- **In Ontario** – through the online e-form available at <http://www.osc.gov.on.ca>.
- **In all other jurisdictions** – through the System for Electronic Document Analysis and Retrieval (SEDAR) in accordance with Regulation 13-101 respecting System for Electronic Document Analysis and Retrieval (SEDAR) (chapter V-1.1, r. 2) if required, or otherwise with the securities regulatory authority or regulator, as applicable, in the applicable jurisdictions at the addresses listed at the end of this form.

The issuer or underwriter must file the report in a jurisdiction of Canada if the distribution occurs in the jurisdiction. If a distribution is made in more than one jurisdiction of Canada, the issuer or underwriter may satisfy its obligation to file the report by completing a single report identifying all purchasers, and file the report in each jurisdiction of Canada in which the distribution occurs. Filing fees payable in a particular jurisdiction are not affected by identifying all purchasers in a single report.

In order to determine the applicable fee in a particular jurisdiction of Canada, consult the securities legislation of that jurisdiction.

2. Issuers located outside of Canada

If an issuer located outside of Canada determines that a distribution has taken place in a jurisdiction of Canada, include information about purchasers resident in that jurisdiction only.

3. Multiple distributions

An issuer may use one report for multiple distributions occurring within 10 days of each other, provided the report is filed on or before the 10th day following the first distribution date. However, an investment fund issuer that is relying on the exemptions set out in subsection 6.2(2) of Regulation 45-106 respecting Prospectus Exemptions (chapter V-1.1, r. 21) may file the report annually in accordance with that subsection.

4. References to purchaser

References to a purchaser in this form are to the beneficial owner of the securities.

However, if a trust company, trust corporation, or registered adviser described in paragraph (p) or (q) of the definition of “accredited investor” in section 1.1 of Regulation 45-106 respecting Prospectus Exemptions has purchased the securities on behalf of a fully managed account, provide information about the trust company, trust corporation or registered adviser only; do not include information about the beneficial owner of the fully managed account.

5. References to issuer

References to “issuer” in this form include an investment fund issuer and a non-investment fund issuer, unless otherwise specified.

6. Investment fund issuers

If the issuer is an investment fund, complete Items 1-3, 6-8, 10, 11 and Schedule 1 of this form.

7. Mortgage investment entities

If the issuer is a mortgage investment entity, complete all applicable items of this form other than Item 6.

8. Language

The report must be filed in English or in French. In Québec, the issuer or underwriter must comply with linguistic rights and obligations prescribed by Québec law.

9. Currency

All dollar amounts in the report must be in Canadian dollars. If the distribution was made or any compensation was paid in connection with the distribution in a foreign currency, convert the currency to Canadian dollars using the daily noon exchange rate of the Bank of Canada on the distribution date. If the distribution date occurs on a date when the daily noon exchange rate of the Bank of Canada is not available, convert the currency to Canadian dollars using the most recent closing exchange rate of the Bank of Canada available before the distribution date. For investment funds in continuous distribution, convert the currency to Canadian dollars using the average daily noon exchange rate of the Bank of Canada for the distribution period covered by the report.

If the Bank of Canada no longer publishes a daily noon exchange rate and closing exchange rate, convert foreign currency using the daily single indicative exchange rate of the Bank of Canada in the same manner described in each of the three scenarios above.

If the distribution was not made in Canadian dollars, provide the foreign currency in Item 7(a) of the report.

10. Date of information in report

Unless otherwise indicated in this form, provide the information as of the distribution end date.

11. Date of formation

For the date of formation, provide the date on which the issuer was incorporated, continued or organized (formed). If the issuer resulted from an amalgamation, arrangement, merger or reorganization, provide the date of the most recent amalgamation, arrangement, merger or reorganization.

12. Security codes

Wherever this form requires disclosure of the type of security, use the following security codes:

Security code	Security type
BND	Bonds
CER	Certificates (<i>including pass-through certificates, trust certificates</i>)
CMS	Common shares
CVD	Convertible debentures
CVN	Convertible notes
CVP	Convertible preferred shares
DEB	Debentures
FTS	Flow-through shares
FTU	Flow-through units
LPU	Limited partnership units
NOT	Notes (<i>include all types of notes except convertible notes</i>)
OPT	Options
PRS	Preferred shares
RTS	Rights
UBS	Units of bundled securities (<i>such as a unit consisting of a common share and a warrant</i>)
UNT	Units (<i>exclude units of bundled securities, include trust units and mutual fund units</i>)
WNT	Warrants
OTH	Other securities not included above (<i>if selected, provide details of security type in Item 7d</i>)

B. Terms used in the form**1. For the purposes of this form:**

“**designated foreign jurisdiction**” means Australia, France, Germany, Hong Kong, Italy, Japan, Mexico, the Netherlands, New Zealand, Singapore, South Africa, Spain, Sweden, Switzerland or the United Kingdom of Great Britain and Northern Ireland;

“**eligible foreign security**” means a security offered primarily in a foreign jurisdiction as part of a distribution of securities in either of the following circumstances:

- (a) the security is issued by an issuer
 - (i) that is incorporated, formed or created under the laws of a foreign jurisdiction,
 - (ii) that is not a reporting issuer in a jurisdiction of Canada,
 - (iii) that has its head office outside of Canada, and
 - (iv) that has a majority of the executive officers and a majority of the directors ordinarily resident outside of Canada;

- (b) the security is issued or guaranteed by the government of a foreign jurisdiction;

“**foreign public issuer**” means an issuer where any of the following apply:

- (a) the issuer has a class of securities registered under section 12 of the 1934 Act;
- (b) the issuer is required to file reports under section 15(d) of the 1934 Act;
- (c) the issuer is required to provide disclosure relating to the issuer and the trading in its securities to the public, to security holders of the issuer or to a regulatory authority and that disclosure is publicly available in a designated foreign jurisdiction;

“**legal entity identifier**” means a unique identification code assigned to the person

- (a) in accordance with the standards set by the Global Legal Entity Identifier System, or
- (b) that complies with the standards established by the Legal Entity Identifier Regulatory Oversight Committee for pre-legal entity identifiers;

“**permitted client**” has the same meaning as in Regulation 31-103 respecting Registration Requirements, Exemptions and Ongoing Registrant Obligations (chapter V-1.1, r. 10);

“SEDAR profile” means a filer profile required under section 5.1 of Regulation 13-101 respecting System for Electronic Document Analysis and Retrieval (SEDAR).

2. For the purposes of this form, a person is connected with an issuer or an investment fund manager if either of the following applies:

- (a) one of them is controlled by the other;
- (b) each of them is controlled by the same person.

Form 45-106F1 Report of Exempt Distribution

IT IS AN OFFENCE TO MAKE A MISREPRESENTATION IN THIS REPORT

ITEM 1 – REPORT TYPE

New report

Amended report If amended, provide filing date of report that is being amended. (YYYY-MM-DD)

ITEM 2 – PARTY CERTIFYING THE REPORT

Indicate the party certifying the report (select only one). For guidance regarding whether an issuer is an investment fund, refer to section 1.1 of Regulation 81-106 respecting Investment Fund Continuous Disclosure and the Policy Statement to Regulation 81-106 respecting Investment Fund Continuous Disclosure.

Investment fund issuer

Issuer (other than an investment fund)

Underwriter

ITEM 3 – ISSUER NAME AND OTHER IDENTIFIERS

Provide the following information about the issuer, or if the issuer is an investment fund, about the fund.

Full legal name

Previous full legal name

If the issuer's name changed in the last 12 months, provide most recent previous legal name.

Website (if applicable)

If the issuer has a legal entity identifier, provide below. Refer to Part B of the Instructions for the definition of "legal entity identifier".

Legal entity identifier

ITEM 4 – UNDERWRITER INFORMATION

If an underwriter is completing the report, provide the underwriter's full legal name and firm National Registration Database (NRD) number.

Full legal name

Firm NRD number (if applicable)

If the underwriter does not have a firm NRD number, provide the head office contact information of the underwriter.

Street address

Municipality Province/State

Country Postal code/Zip code

Telephone number Website (if applicable)

ITEM 5 – ISSUER INFORMATION	
If the issuer is an investment fund, do not complete Item 5. Proceed to Item 6.	
a) Primary industry	
Provide the issuer's North American Industry Classification Standard (NAICS) code (6 digits only) that corresponds to the issuer's primary business activity. For more information on finding the NAICS industry code go to Statistics Canada's NAICS industry search tool .	
NAICS industry code <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
If the issuer is in the mining industry , indicate the stage of operations. This does not apply to issuers that provide services to issuers operating in the mining industry. Select the category that best describes the issuer's stage of operations.	
<input type="checkbox"/> Exploration <input type="checkbox"/> Development <input type="checkbox"/> Production	
Is the issuer's primary business to invest all or substantially all of its assets in any of the following? If yes, select all that apply.	
<input type="checkbox"/> Mortgages <input type="checkbox"/> Real estate <input type="checkbox"/> Commercial/business debt <input type="checkbox"/> Consumer debt <input type="checkbox"/> Private companies	
b) Number of employees	
Number of employees: <input type="checkbox"/> 0 – 49 <input type="checkbox"/> 50 – 99 <input type="checkbox"/> 100 – 499 <input type="checkbox"/> 500 or more	
c) SEDAR profile number	
Does the issuer have a SEDAR profile?	
<input type="checkbox"/> No <input type="checkbox"/> Yes If yes, provide SEDAR profile number <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
If the issuer does not have a SEDAR profile complete Item 5(d) – (h).	
d) Head office address	
Street address <input type="text"/>	Province/State <input type="text"/>
Municipality <input type="text"/>	Postal code/Zip code <input type="text"/>
Country <input type="text"/>	Telephone number <input type="text"/>
e) Date of formation and financial year-end	
Date of formation <input type="text"/> <input type="text"/> <input type="text"/>	Financial year-end <input type="text"/> <input type="text"/>
YYYY MM DD	MM DD
f) Reporting issuer status	
Is the issuer a reporting issuer in any jurisdiction of Canada? <input type="checkbox"/> No <input type="checkbox"/> Yes	
If yes, select the jurisdictions of Canada in which the issuer is a reporting issuer.	
<input type="checkbox"/> All <input type="checkbox"/> AB <input type="checkbox"/> BC <input type="checkbox"/> MB <input type="checkbox"/> NB <input type="checkbox"/> NL <input type="checkbox"/> NT	
<input type="checkbox"/> NS <input type="checkbox"/> NU <input type="checkbox"/> ON <input type="checkbox"/> PE <input type="checkbox"/> QC <input type="checkbox"/> SK <input type="checkbox"/> YT	
g) Public listing status	
If the issuer has a CUSIP number, provide below (first 6 digits only)	
CUSIP number <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
If the issuer is publicly listed, provide the names of all exchanges on which its securities are listed. Include only the names of exchanges for which the issuer has applied for and received a listing, which excludes, for example, automated trading systems.	
Exchange names <input type="text"/> <input type="text"/> <input type="text"/>	
h) Size of issuer's assets	
Select the size of the issuer's assets for its most recent financial year-end (Canadian \$). If the issuer has not existed for a full financial year, provide the size of the issuer's assets at the distribution end date.	
<input type="checkbox"/> \$0 to under \$5M <input type="checkbox"/> \$5M to under \$25M <input type="checkbox"/> \$25M to under \$100M	
<input type="checkbox"/> \$100M to under \$500M <input type="checkbox"/> \$500M to under \$1B <input type="checkbox"/> \$1B or over	

ITEM 6 – INVESTMENT FUND ISSUER INFORMATION	
If the issuer is an investment fund, provide the following information.	
a) Investment fund manager information	
Full legal name	<input type="text"/>
Firm NRD Number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> (if applicable)
<i>If the investment fund manager does not have a firm NRD number, provide the head office contact information of the investment fund manager.</i>	
Street Address	<input type="text"/>
Municipality	<input type="text"/>
Province/State	<input type="text"/>
Country	<input type="text"/>
Postal code/Zip code	<input type="text"/>
Telephone number	<input type="text"/>
Website (if applicable)	<input type="text"/>
b) Type of investment fund	
<i>Type of investment fund that most accurately identifies the issuer (select only one).</i>	
<input type="checkbox"/> Money market	<input type="checkbox"/> Equity
<input type="checkbox"/> Fixed income	<input type="checkbox"/> Other (describe) <input type="text"/>
<input type="checkbox"/> Balanced	<input type="checkbox"/> Alternative strategies
<i>Indicate whether one or both of the following apply to the investment fund.</i>	
<input type="checkbox"/> Invests primarily in other investment fund issuers	
<input type="checkbox"/> Is a UCITs Fund ¹	
<small>¹Undertaking for the Collective Investment of Transferable Securities funds (UCITs Funds) are investment funds regulated by the European Union (EU) directives that allow collective investment schemes to operate throughout the EU on a passport basis on authorization from one member state.</small>	
c) Date of formation and financial year-end of the investment fund	
Date of formation	Financial year-end
<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/>
YYYY MM DD	MM DD
d) Reporting issuer status of the investment fund	
<i>Is the investment fund a reporting issuer in any jurisdiction of Canada?</i> <input type="checkbox"/> No <input type="checkbox"/> Yes	
<i>If yes, select the jurisdictions of Canada in which the investment fund is a reporting issuer.</i>	
<input type="checkbox"/> All	<input type="checkbox"/> AB <input type="checkbox"/> BC <input type="checkbox"/> MB <input type="checkbox"/> NB <input type="checkbox"/> NL <input type="checkbox"/> NT
<input type="checkbox"/> NS	<input type="checkbox"/> NU <input type="checkbox"/> ON <input type="checkbox"/> PE <input type="checkbox"/> QC <input type="checkbox"/> SK <input type="checkbox"/> YT
e) Public listing status of the investment fund	
<i>If the investment fund has a CUSIP number, provide below (first 6 digits only).</i>	
CUSIP number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<i>If the investment fund is publicly listed, provide the names of all exchanges on which its securities are listed. Include only the names of exchanges for which the investment fund has applied for and received a listing, which excludes, for example, automated trading systems.</i>	
Exchange names	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
f) Net asset value (NAV) of the investment fund	
<i>Select the NAV range of the investment fund as of the date of the most recent NAV calculation (Canadian \$).</i>	
<input type="checkbox"/> \$0 to under \$5M	<input type="checkbox"/> \$5M to under \$25M
<input type="checkbox"/> \$25M to under \$100M	<input type="checkbox"/> \$100M to under \$500M
<input type="checkbox"/> \$500M to under \$1B	<input type="checkbox"/> \$1B or over
Date of NAV calculation:	<input type="text"/> <input type="text"/> <input type="text"/>
	YYYY MM DD

g) Net proceeds to the investment fund by jurisdiction

If the issuer is an investment fund, provide the net proceeds to the investment fund for each jurisdiction of Canada and foreign jurisdiction where a purchaser resides.³ If an issuer located outside of Canada completes a distribution in a jurisdiction of Canada, include net proceeds for that jurisdiction of Canada only. For jurisdictions within Canada, state the province or territory, otherwise state the country.

Province or country	Net proceeds (Canadian \$)
Total net proceeds to the investment fund	

³Net proceeds means the gross proceeds realized in the jurisdiction from the distributions for which the report is being filed, less the gross redemptions that occurred during the distribution period covered by the report.

h) Offering materials - This section applies only in Saskatchewan, Ontario, Québec, New Brunswick and Nova Scotia.

If a distribution has occurred in Saskatchewan, Ontario, Québec, New Brunswick or Nova Scotia, complete the table below by listing the offering materials that are required under the prospectus exemption relied on to be filed with or delivered to the securities regulatory authority or regulator in those jurisdictions.

In Ontario, if the offering materials listed in the table are required to be filed with or delivered to the Ontario Securities Commission (OSC), attach an electronic version of the offering materials that have not been previously filed with or delivered to the OSC.

Description	Date of document or other material (YYYY-MM-DD)	Previously filed with or delivered to regulator? (Y/N)	Date previously filed or delivered (YYYY-MM-DD)
1.			
2.			
3.			

ITEM 8 – COMPENSATION INFORMATION

*Provide information for each person (as defined in Regulation 45-106 respecting Prospectus Exemptions) to whom the issuer directly provides, or will provide, any compensation in connection with the distribution. **Complete additional copies of this page if more than one person was, or will be, compensated.***

Indicate whether any compensation was paid, or will be paid, in connection with the distribution.

No Yes If yes, indicate number of persons compensated.

a) Name of person compensated and registration status

Indicate whether the person compensated is a registrant.

No Yes

If the person compensated is an individual, provide the name of the individual.

Full legal name of individual

Family name First given name Secondary given names

If the person compensated is not an individual, provide the following information.

Full legal name of non-individual

Firm NRD number (if applicable)

Indicate whether the person compensated facilitated the distribution through a funding portal or an internet-based portal.

No Yes

b) Business contact information

If a firm NRD number is not provided in Item 8(a), provide the business contact information of the person being compensated.

Street address

Municipality Province/State

Country Postal code/Zip code

Email address Telephone number

c) Relationship to issuer or investment fund manager															
Indicate the person's relationship with the issuer or investment fund manager (select all that apply). Refer to the meaning of "connected" in Part B(2) of the Instructions and the meaning of "control" in section 1.4 of Regulation 45-106 respecting Prospectus Exemptions for the purposes of completing this section.															
<input type="checkbox"/>	Connected with the issuer or investment fund manager														
<input type="checkbox"/>	Insider of the issuer (other than an investment fund)														
<input type="checkbox"/>	Director or officer of the investment fund or investment fund manager														
<input type="checkbox"/>	Employee of the issuer or investment fund manager														
<input type="checkbox"/>	None of the above														
d) Compensation details															
Provide details of all compensation paid, or to be paid, to the person identified in Item 8(a) in connection with the distribution. Provide all amounts in Canadian dollars. Include cash commissions, securities-based compensation, gifts, discounts or other compensation. Do not report payments for services incidental to the distribution, such as clerical, printing, legal or accounting services. An issuer is not required to ask for details about, or report on, internal allocation arrangements with the directors, officers or employees of a non-individual compensated by the issuer.															
Cash commissions paid	<input type="text"/>														
Value of all securities distributed as compensation ⁴	<input type="text"/>	Security codes	<table border="1"> <thead> <tr> <th colspan="2">Security code 1</th> <th colspan="2">Security code 2</th> <th colspan="2">Security code 3</th> </tr> </thead> <tbody> <tr> <td><input type="text"/></td><td><input type="text"/></td> <td><input type="text"/></td><td><input type="text"/></td> <td><input type="text"/></td><td><input type="text"/></td> </tr> </tbody> </table>	Security code 1		Security code 2		Security code 3		<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Security code 1		Security code 2		Security code 3											
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>										
Describe terms of warrants, options or other rights	<input type="text"/>														
Other compensation ⁵	<input type="text"/>	Describe	<input type="text"/>												
Total compensation paid	<input type="text"/>														
<input type="checkbox"/>	Check box if the person will or may receive any deferred compensation (describe the terms below)														
	<input type="text"/>														
⁴ Provide the aggregate value of all securities distributed as compensation, excluding options, warrants or other rights exercisable to acquire additional securities of the issuer. Indicate the security codes for all securities distributed as compensation, including options, warrants or other rights exercisable to acquire additional securities of the issuer.															
⁵ Do not include deferred compensation.															

ITEM 9 – DIRECTORS, EXECUTIVE OFFICERS AND PROMOTERS OF THE ISSUER**If the issuer is an investment fund, do not complete Item 9. Proceed to Item 10.**

Indicate whether the issuer is any of the following (select all that apply).

- Reporting issuer in any jurisdiction of Canada
- Foreign public issuer
- Wholly owned subsidiary of a reporting issuer in any jurisdiction of Canada⁶
Provide name of reporting issuer
- Wholly owned subsidiary of a foreign public issuer⁶
Provide name of foreign public issuer
- Issuer distributing eligible foreign securities only to permitted clients⁷

If the issuer is at least one of the above, do not complete Item 9(a) – (c). Proceed to Item 10.⁶An issuer is a wholly owned subsidiary of a reporting issuer or a foreign public issuer if all of the issuer's outstanding voting securities, other than securities that are required by law to be owned by its directors, are beneficially owned by the reporting issuer or the foreign public issuer, respectively.⁷Check this box if it applies to the current distribution even if the issuer made previous distributions of other types of securities to non-permitted clients. Refer to the definitions of "eligible foreign security" and "permitted client" in Part B(1) of the Instructions.

- If the issuer is none of the above, check this box and complete Item 9(a) – (c).

a) Directors, executive officers and promoters of the issuer

Provide the following information for each director, executive officer and promoter of the issuer. For locations within Canada, state the province or territory, otherwise state the country. For "Relationship to issuer", "D" – Director, "O" – Executive Officer, "P" – Promoter.

Organization or company name	Family name	First given name	Secondary given names	Business location of non-individual or residential jurisdiction of individual		Relationship to issuer (select all that apply)		
				Province or country		D	O	P

b) Promoter information

If the promoter listed above is not an individual, provide the following information for each director and executive officer of the promoter. For locations within Canada, state the province or territory, otherwise state the country. For "Relationship to promoter", "D" – Director, "O" – Executive Officer.

Organization or company name	Family name	First given name	Secondary given names	Residential jurisdiction of individual		Relationship to promoter (select one or both if applicable)	
				Province or country		D	O

c) Residential address of each individual

Complete Schedule 2 of this form providing the full residential address for each individual listed in Item 9(a) and (b) and attach to the completed report. Schedule 2 also requires information to be provided about control persons.

ITEM 10 – CERTIFICATION

Provide the following certification and business contact information of an officer or director of the issuer or underwriter. If the issuer or underwriter is not a company, an individual who performs functions similar to that of a director or officer may certify the report. For example, if the issuer is a trust, the report may be certified by the issuer's trustee. If the issuer is an investment fund, a director or officer of the investment fund manager (or, if the investment fund manager is not a company, an individual who performs similar functions) may certify the report if the director or officer has been authorized to do so by the investment fund.

The certification may not be delegated to an agent or other individual preparing the report on behalf of the issuer or underwriter. If the individual completing and filing the report is different from the individual certifying the report, provide their name and contact details in Item 11.

The signature on the report must be in typed form rather than handwritten form. The report may include an electronic signature provided the name of the signatory is also in typed form.

IT IS AN OFFENCE TO MAKE A MISREPRESENTATION IN THIS REPORT

By completing the information below, I certify to the securities regulatory authority or regulator that:

- I have read and understand this report; and
- all of the information provided in this report is true.

Full legal name

Family name First given name Secondary given names

Title

Name of issuer/underwriter/ investment fund manager

Telephone number Email address

Signature Date

YYYY MM DD

ITEM 11 – CONTACT PERSON

Provide the following business contact information for the individual that the securities regulatory authority or regulator may contact with any questions regarding the contents of this report, if different than the individual certifying the report in Item 10.

Same as individual certifying the report

Full legal name Title
Family name First given name Secondary given names

Name of company

Telephone number Email address

Notice – Collection and use of personal information

The personal information required under this form is collected on behalf of and used by the securities regulatory authority or regulator under the authority granted in securities legislation for the purposes of the administration and enforcement of the securities legislation.

If you have any questions about the collection and use of this information, contact the securities regulatory authority or regulator in the local jurisdiction(s) where the report is filed, at the address(es) listed at the end of this form.

The attached Schedules 1 and 2 may contain personal information of individuals and details of the distribution(s). The information in Schedules 1 and 2 will not be placed on the public file of any securities regulatory authority or regulator. However, freedom of information legislation may require the securities regulatory authority or regulator to make this information available if requested.

By signing this report, the issuer/underwriter confirms that each individual listed in Schedule 1 or 2 of the report who is resident in a jurisdiction of Canada:

- a) has been notified by the issuer/underwriter of the delivery to the securities regulatory authority or regulator of the information pertaining to the individual as set out in Schedule 1 or 2, that this information is being collected by the securities regulatory authority or regulator under the authority granted in securities legislation, that this information is being collected for the purposes of the administration and enforcement of the securities legislation of the local jurisdiction, and of the title, business address and business telephone number of the public official in the local jurisdiction, as set out in this form, who can answer questions about the securities regulatory authority's or regulator's indirect collection of the information, and
- b) has authorized the indirect collection of the information by the securities regulatory authority or regulator.

SCHEDULE 1 TO FORM 45-106F1 (CONFIDENTIAL PURCHASER INFORMATION)

Schedule 1 must be filed in the format of an Excel spreadsheet in a form acceptable to the securities regulatory authority or regulator.

The information in this schedule will not be placed on the public file of any securities regulatory authority or regulator. However, freedom of information legislation may require the securities regulatory authority or regulator to make this information available if requested.

a) General information (provide only once)

1. Name of issuer
2. Certification date (YYYY-MM-DD)

Provide the following information for each purchaser that participated in the distribution. For each purchaser, create separate entries for each distribution date, security type and exemption relied on for the distribution.

b) Legal name of purchaser

1. Family name
2. First given name
3. Secondary given names
4. Full legal name of non-individual (if applicable)

c) Contact information of purchaser

1. Residential street address
2. Municipality
3. Province/State
4. Postal code/Zip code
5. Country
6. Telephone number
7. Email address (if available)

d) Details of securities purchased

1. Date of distribution (YYYY-MM-DD)
2. Number of securities
3. Security code
4. Amount paid (Canadian \$)

e) Details of exemption relied on

1. Regulation, section and subsection number
2. If relying on section 2.3 of Regulation 45-106 respecting Prospectus Exemptions, provide the paragraph number in the definition of "accredited investor" in section 1.1 of the Regulation that applies to the purchaser. (*select only one*)
3. If relying on section 2.5 of Regulation 45-106 respecting Prospectus Exemptions, provide:
 - a. the paragraph number in subsection 2.5(1) that applies to the purchaser (*select only one*); and
 - b. if relying on paragraphs 2.5(1)(b) to (i), provide:
 - i. the name of the director, executive officer, control person, or founder of the issuer or affiliate of the issuer claiming a relationship to the purchaser. (*Note: if Item 9(a) has been completed, the name of the director, executive officer or control person must be consistent with the name provided in Item 9 and Schedule 2.*)
 - ii. the position of the director, executive officer, control person, or founder of the issuer or affiliate of the issuer claiming a relationship to the purchaser.
4. If relying on subsection 2.9(2) or, in Alberta, New Brunswick, Nova Scotia, Ontario, Québec, or Saskatchewan, subsection 2.9(2.1) of Regulation 45-106 respecting Prospectus Exemptions and the purchaser is an eligible investor, provide the paragraph number in the definition of "eligible investor" in section 1.1 of the Regulation that applies to the purchaser. (*select only one*)

f) Other information

1. Is the purchaser a registrant? (Y/N)
2. Is the purchaser an insider of the issuer? (Y/N) (*not applicable if the issuer is an investment fund*)
3. Full legal name of person compensated for distribution to purchaser. *If the person compensated is a registered firm, provide the firm NRD number only. (Note: the name must be consistent with name of the person compensated as provided in Item 8.)*

INSTRUCTIONS FOR SCHEDULE 1

Any securities issued as payment for commissions or finder's fees must be disclosed in Item 8 of the report, not in Schedule 1.

Details of exemption relied on – When identifying the exemption the issuer relied on for the distribution to each purchaser, refer to the regulation, statute or instrument in which the exemption is provided and identify the specific section and, if applicable, subsection or paragraph. For example, if the issuer is relying on an exemption in a Regulation, refer to the number of the Regulation, and the subsection or paragraph number of the specific provision. If the issuer is relying on an exemption in a local blanket order, refer to the blanket order by number.

For exemptions that require the purchaser to meet certain characteristics, such as the exemption in section 2.3, section 2.5 or subsection 2.9(2) or, in Alberta, New Brunswick, Nova Scotia, Ontario, Québec, or Saskatchewan, subsection 2.9(2.1) of Regulation 45-106 respecting Prospectus Exemptions, provide the specific paragraph in the definition of those terms that applies to each purchaser.

Reports filed under paragraph 6.1(1)(j) of Regulation 45-106 respecting Prospectus Exemptions – For reports filed under paragraph 6.1(1)(j) of Regulation 45-106 respecting Prospectus Exemptions, Schedule 1 needs to list the total number of purchasers by jurisdiction only, and is not required to include the name, residential address, telephone number or email address of the purchasers.

SCHEDULE 2 TO FORM 45-106F1 (CONFIDENTIAL DIRECTOR, EXECUTIVE OFFICER, PROMOTER AND CONTROL PERSON INFORMATION)

Schedule 2 must be filed in the format of an Excel spreadsheet in a form acceptable to the securities regulatory authority or regulator.

Complete the following only if Item 9(a) is required to be completed. **This schedule also requires information to be provided about control persons of the issuer at the time of the distribution.**

The information in this schedule will not be placed on the public file of any securities regulatory authority or regulator. However, freedom of information legislation may require the securities regulatory authority or regulator to make this information available if requested.

a) General information (*provide only once*)

1. Name of issuer
2. Certification date (YYYY-MM-DD)

b) Business contact information of Chief Executive Officer (*if not provided in Item 10 or 11 of report*)

1. Email address
2. Telephone number

c) Residential address of directors, executive officers, promoters and control persons of the issuer

Provide the following information for each individual who is a director, executive officer, promoter or control person of the issuer at the time of the distribution. If the promoter or control person is not an individual, provide the following information for each director and executive officer of the promoter and control person. (Note: names of directors, executive officers and promoters must be consistent with the information in Item 9 of the report, if required to be provided.)

1. Family name
2. First given name
3. Secondary given names
4. Residential street address
5. Municipality
6. Province/State
7. Postal code/Zip code
8. Country
9. Indicate whether the individual is a control person, or a director and/or executive officer of a control person (*if applicable*)

d) Non-individual control persons (*if applicable*)

If the control person is not an individual, provide the following information. For locations within Canada, state the province or territory, otherwise state the country.

1. Organization or company name
2. Province or country of business location

Questions:

Refer any questions to:

Alberta Securities Commission

Suite 600, 250 – 5th Street SW
 Calgary, Alberta T2P 0R4
 Telephone: 403 297-6454
 Toll free in Canada: 1 877 355-0585
 Facsimile: 403 297-2082

British Columbia Securities Commission

P.O. Box 10142, Pacific Centre
 701 West Georgia Street
 Vancouver, British Columbia V7Y 1L2
 Inquiries: 604 899-6854
 Toll free in Canada: 1 800 373-6393
 Facsimile: 604 899-6581
 Email: inquiries@bcsc.bc.ca

The Manitoba Securities Commission

500 – 400 St. Mary Avenue
 Winnipeg, Manitoba R3C 4K5
 Telephone: 204 945-2548
 Toll free in Manitoba: 1 800 655-5244
 Facsimile: 204 945-0330

Financial and Consumer Services Commission (New Brunswick)

85 Charlotte Street, Suite 300
 Saint John, New Brunswick E2L 2J2
 Telephone: 506 658-3060
 Toll free in Canada: 1 866 933-2222
 Facsimile: 506 658-3059
 Email: info@fcnb.ca

Government of Newfoundland and Labrador

Financial Services Regulation Division
 P.O. Box 8700
 Confederation Building
 2nd Floor, West Block
 Prince Philip Drive
 St. John's, Newfoundland and Labrador A1B 4J6
 Attention: Director of Securities
 Telephone: 709 729-4189
 Facsimile: 709 729-6187

**Government of the Northwest Territories
Office of the Superintendent of Securities**

P.O. Box 1320
 Yellowknife, Northwest Territories X1A 2L9
 Attention: Deputy Superintendent, Legal & Enforcement
 Telephone: 867 920-8984
 Facsimile: 867 873-0243

Nova Scotia Securities Commission

Suite 400, 5251 Duke Street
 Duke Tower
 P.O. Box 458
 Halifax, Nova Scotia B3J 2P8
 Telephone: 902 424-7768
 Facsimile: 902 424-4625

Government of Nunavut

Department of Justice
 Legal Registries Division
 P.O. Box 1000, Station 570
 1st Floor, Brown Building
 Iqaluit, Nunavut X0A 0H0
 Telephone: 867 975-6590
 Facsimile: 867 975-6594

Ontario Securities Commission

20 Queen Street West, 22nd Floor
 Toronto, Ontario M5H 3S8
 Telephone: 416 593-8314
 Toll free in Canada: 1 877 785-1555
 Facsimile: 416 593-8122
 Email: exemptmarketfilings@osc.gov.on.ca
 Public official contact regarding indirect collection of
 information: Inquiries Officer

Prince Edward Island Securities Office

95 Rochford Street, 4th Floor Shaw Building
 P.O. Box 2000
 Charlottetown, Prince Edward Island C1A 7N8
 Telephone: 902 368-4569
 Facsimile: 902 368-5283

Autorité des marchés financiers

800, Square Victoria, 22^e étage
 C.P. 246, Tour de la Bourse
 Montréal, Québec H4Z 1G3
 Telephone: 514 395-0337 or 1 877 525-0337
 Facsimile: 514 873-6155 (For filing purposes only)
 Facsimile: 514 864-6381 (For privacy requests only)
 Email: financementdessocietes@lautorite.qc.ca (For
 corporate finance issuers);
 fonds_dinvestissement@lautorite.qc.ca (For investment
 fund issuers)

Financial and Consumer Affairs Authority of Saskatchewan

Suite 601 - 1919 Saskatchewan Drive
 Regina, Saskatchewan S4P 4H2
 Telephone: 306 787-5879
 Facsimile: 306 787-5899

Government of Yukon

Department of Community Services
 Law Centre, 3rd Floor
 2130 Second Avenue
 Whitehorse, Yukon Y1A 5H6
 Telephone: 867 667-5314
 Facsimile: 867 393-6251

8. Form 45-106F6 of the Regulation is repealed.
9. This Regulation comes into force on June 30, 2016.

Parliamentary Committees

Committee on Citizen Relations

General consultation

On the document entitled “Québec Immigration Planning for the 2017-2019 Period”

The Committee on Citizen Relations will be holding public hearings and an online consultation as part of its general consultation on the consultation paper entitled “Quebec Immigration Planning for the 2017-2019 Period”. This document is available on the Committee’s web page at www.assnat.qc.ca and from the Committee clerk.

Anyone wishing to express an opinion on this subject can do so by completing the online questionnaire by August 3, 2016. The public can also comment on the document online. Details are available on the National Assembly website at www.assnat.qc.ca

Individuals and organizations wishing to voice their views during the public hearings must submit a brief to the Committee clerk no later than August 3, 2016. Briefs must be on letter-size paper and can be sent by email (unprotected PDF or WORD file) or regular mail. They must include a summary of their contents.

Individuals who do not submit a brief but wish to be heard during the public hearings must file a request to that effect with the Committee clerk no later than August 3, 2016. The request must include a short statement summarizing the nature of the presentation.

The Committee will choose the individuals and organizations it will hear from among those that have submitted a brief. The same holds for the individuals that have filed a request to be heard. Hearings will begin on August 17, 2016.

Unless the Committee decides otherwise, briefs will be made public and posted on the Committee’s web page, along with any personal information they contain.

Deadlines for submitting briefs and requests to be heard are subject to change, as is the opening date for public hearings. If changes are made, the information will be made public via the National Assembly website without further notice being published in the newspapers.

Briefs, requests to be heard and information requests must be sent to: Anne-Marie Larochelle, Clerk of the Committee on Citizen Relations, Édifice Pamphile-Le May, 1035, rue des Parlementaires, 3^e étage, Québec (Québec), G1A 1A3.

Telephone: 418-643-2722

Fax: 418-643-0248

Email: crc@assnat.qc.ca

Toll-free number: 1-866-DÉPUTÉS (377-8837)

102638

Index

Abbreviations: **A**: Abrogated, **N**: New, **M**: Modified

	Page	Comments
Committee on Citizen Relations — General consultation — Québec Immigration Planning for the 2017-2019 Period	2347	Parliamentary committee
Derivatives Act — Derivatives determination — Regulation 91-506 (chapter I-14.01)	2316	M
Derivatives Act — Trade repositories and derivatives data reporting — Regulation 91-507 (chapter I-14.01)	2316	M
Derivatives determination — Regulation 91-506 (Derivatives Act, chapter I-14.01)	2316	M
Ministère des Finances, An Act respecting the... — Multilateral Agreement Respecting Pooled Registered Pension Plans and Voluntary Retirement Savings Plans and of certain amendments to that Agreement between the Autorité des marchés financiers, the Gouvernement du Québec, the Government of Canada and the governments of other provinces and territories of Canada — Approval (chapter M-24.01)	2285	N
Multilateral Agreement Respecting Pooled Registered Pension Plans and Voluntary Retirement Savings Plans and of certain amendments to that Agreement between the Autorité des marchés financiers, the Gouvernement du Québec, the Government of Canada and the governments of other provinces and territories of Canada — Approval (An Act respecting the Ministère des Finances, chapter M-24.01)	2285	N
Prospectus exemptions — Regulation 45-106 (Securities Act, chapter V-1.1)	2327	M
Roads under the management of the Minister of Transport, Sustainable Mobility and Transport Electrification (An Act respecting roads, chapter V-9)	2303	N
Roads, An Act respecting... — Roads under the management of the Minister of Transport, Sustainable Mobility and Transport Electrification. (chapter V-9)	2303	N
Securities Act — Prospectus exemptions — Regulation 45-106 (chapter V-1.1)	2327	M
Securities Act — Securities Regulation. (chapter V-1.1)	2327	M
Securities Regulation (Securities Act, chapter V-1.1)	2327	M
Trade repositories and derivatives data reporting — Regulation 91-507 (Derivatives Act, chapter I-14.01)	2316	M

